YOU ARE HEREBY INVITED TO SUBMIT A BID TO MEET THE REQUIREMENTS OF THE DEVELOPMENT BANK OF SOUTHERN AFRICA LIMITED

<table>
<thead>
<tr>
<th>BID NUMBER:</th>
<th>RFP272/2020</th>
</tr>
</thead>
</table>

**NON - COMPULSORY BRIEFING SESSION**

Tender briefing will be done online via Microsoft teams. Bidders must advise of their interest to participate in this tender by sending an email to fikileSCM@dbsa.org and fikilem@dbsa.org at least two (2) working days before the briefing meeting so as to be invited.

4 DECEMBER 2020 @ 11h00 - VIRTUALLY

<table>
<thead>
<tr>
<th>CLOSING DATE:</th>
<th>17 DECEMBER 2020</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>CLOSING TIME:</th>
<th>23H55 via ONE DRIVE LINK</th>
</tr>
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</table>

<table>
<thead>
<tr>
<th>VALIDITY PERIOD:</th>
<th>120 days</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>DESCRIPTION OF BID:</th>
<th>APPOINTMENT OF A PROFESSIONAL SERVICE PROVIDER FOR THE DEVELOPMENT OF CAPITAL EXPENDITURE FRAMEWORKS FOR FOUR (4) INTERMEDIATE CITY MUNICIPALITIES</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>BID SUBMISSIONS ELECTRONICALLY:</th>
<th>1. Bidders are advised to kindly issue Tender Submission Link requests and all other enquiries to <a href="mailto:fikileSCM@dbsa.org">fikileSCM@dbsa.org</a> – ONLY</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>2. No – Tender Submission Link requests will be accepted after 16h00 on the 15 DECEMBER 2020. Any requests after the stipulated date and time will be disregarded.</td>
</tr>
<tr>
<td></td>
<td>3. Bidders will thereafter receive a OneDrive Link to upload their tender submission documents electronically.</td>
</tr>
<tr>
<td></td>
<td>4. Bidders who have received submission Links that have errors, will be provided with new Links for use.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>NAME OF BIDDER:</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>CONTACT PERSON:</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>EMAIL ADDRESS:</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>TELEPHONE NUMBER:</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>FAX NUMBER:</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>BIDDER’S STAMP OR SIGNATURE</th>
</tr>
</thead>
</table>
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PART A
INVITATION TO BID

YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF DEVELOPMENT BANK OF SOUTHERN AFRICA LIMITED (“DBSA”)

BID NUMBER: RFP272/2020
CLOSING DATE: 17 DECEMBER 2020
CLOSING TIME: 23H55
DESCRIPTION: APPOINTMENT OF A PROFESSIONAL SERVICE PROVIDER FOR THE DEVELOPMENT OF CAPITAL EXPENDITURE FRAMEWORKS FOR FOUR (4) INTERMEDIATE CITY MUNICIPALITIES

The successful Bidder will be required to conclude a service level agreement with the DBSA

Bidders should ensure that Bids are submitted timeously and to the correct One Drive link provided by the SCM Official. If the Bid is late, it will not be considered for evaluation.

The One Drive link provided will be valid till 23H55 on the closing date.

ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS – (NOT TO BE RE-TYPED)

THIS BID IS SUBJECT TO THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER SPECIAL CONDITIONS OF CONTRACT, WHICH ARE SET OUT IN PART C OF THIS DOCUMENT.

THE FOLLOWING PARTICULARS MUST BE FURNISHED (FAILURE TO DO SO MAY RESULT IN YOUR BID BEING DISQUALIFIED).

BIDDERS THAT ARE UNINCORPORATED CONSORTIA CONSISTING OF MORE THAN ONE LEGAL ENTITY MUST SELECT A LEAD ENTITY AND FURNISH THE DETAILS OF THE LEAD ENTITY, UNLESS OTHERWISE SPECIFIED.

<p>| NAME OF BIDDER AND EACH ENTITY IN CONSORTIUM: |
| POSTAL ADDRESS: |
| STREET ADDRESS: |
| CONTACT PERSON (FULL NAME): |
| EMAIL ADDRESS: |</p>
<table>
<thead>
<tr>
<th><strong>TELEPHONE NUMBER:</strong></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>FAX NUMBER:</strong></td>
<td></td>
</tr>
<tr>
<td><strong>BIDDER REGISTRATION NUMBER OR REGISTRATION NUMBER OF EACH ENTITY IN CONSORTIUM</strong></td>
<td></td>
</tr>
<tr>
<td><strong>BIDDER VAT REGISTRATION NUMBER OR VAT REGISTRATION NUMBER OF EACH ENTITY IN CONSORTIUM</strong></td>
<td></td>
</tr>
<tr>
<td><strong>BBBEE STATUS LEVEL VERIFICATION CERTIFICATE /BBBEE STATUS LEVEL SWORN AFFIDAVIT SUBMITTED?</strong></td>
<td>YES</td>
</tr>
<tr>
<td>[TICK APPLICABLE BOX]</td>
<td></td>
</tr>
<tr>
<td><strong>IF YES, WHO ISSUED THE CERTIFICATE?</strong></td>
<td></td>
</tr>
<tr>
<td><strong>REGISTERED WITH THE NATIONAL TREASURY CSD</strong></td>
<td>YES</td>
</tr>
<tr>
<td>[TICK APPLICABLE BOX]</td>
<td></td>
</tr>
<tr>
<td><strong>CSD REGISTRATION NUMBER</strong></td>
<td></td>
</tr>
<tr>
<td><strong>TAX COMPLIANCE STATUS PIN (TCS) NUMBER ISSUED BY SARS</strong></td>
<td></td>
</tr>
<tr>
<td>1.1.1</td>
<td>ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED?</td>
</tr>
<tr>
<td></td>
<td>☐ Yes ☐ No</td>
</tr>
<tr>
<td></td>
<td>[IF YES ENCLOSE PROOF]</td>
</tr>
<tr>
<td>1.1.2</td>
<td>ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED?</td>
</tr>
<tr>
<td></td>
<td>☐ Yes ☐ No</td>
</tr>
<tr>
<td></td>
<td>[IF YES ANSWER PART B:3 BELOW]</td>
</tr>
<tr>
<td>1.1.3</td>
<td>SIGNATURE OF BIDDER</td>
</tr>
<tr>
<td></td>
<td>........................................................</td>
</tr>
<tr>
<td>1.1.4</td>
<td>DATE</td>
</tr>
<tr>
<td>1.1.5</td>
<td>FULL NAME OF AUTHORISED REPRESENTATIVE</td>
</tr>
<tr>
<td>1.1.6</td>
<td>CAPACITY UNDER WHICH THIS BID IS SIGNED (Attach proof of authority to sign this bid; e.g. resolution of directors, etc.)</td>
</tr>
</tbody>
</table>
PART B
TERMS AND CONDITIONS FOR BIDDING

1. BID SUBMISSION:

1.1. BIDS MUST BE SUBMITTED VIA ONE DRIVE LINK. LATE BIDS WILL NOT BE ACCEPTED FOR CONSIDERATION.

1.2. ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED – (NOT TO BE RE-TYPED) OR ONLINE

1.3. BIDDERS MUST REGISTER ON THE CENTRAL SUPPLIER DATABASE (CSD) TO UPLOAD MANDATORY INFORMATION NAMELY: (BUSINESS REGISTRATION/ DIRECTORSHIP/ MEMBERSHIP/IDENTITY NUMBERS; TAX COMPLIANCE STATUS; AND BANKING INFORMATION FOR VERIFICATION PURPOSES). B-BBEE CERTIFICATE OR SWORN AFFIDAVIT FOR B-BBEE MUST BE SUBMITTED TO BIDDING INSTITUTION.

1.4. WHERE A BIDDER IS NOT REGISTERED ON THE CSD, MANDATORY INFORMATION NAMELY: (BUSINESS REGISTRATION/ DIRECTORSHIP/ MEMBERSHIP/IDENTITY NUMBERS; TAX COMPLIANCE STATUS MAY NOT BE SUBMITTED WITH THE BID DOCUMENTATION. B-BBEE CERTIFICATE OR SWORN AFFIDAVIT FOR B-BBEE MUST BE SUBMITTED TO BIDDING INSTITUTION.

1.5. THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT 2000 AND THE PREFERENTIAL PROCUREMENT REGULATIONS, 2017, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER LEGISLATION OR SPECIAL CONDITIONS OF CONTRACT.

2. TAX COMPLIANCE REQUIREMENTS

2.1. BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.

2.2. BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VIEW THE TAXPAYER’S PROFILE AND TAX STATUS.

2.3. APPLICATION FOR TAX COMPLIANCE STATUS (TCS) OR PIN MAY ALSO BE MADE VIA E-FILING. IN ORDER TO USE THIS PROVISION, TAXPAYERS WILL NEED TO REGISTER WITH SARS AS E-FILERS THROUGH THE WEBSITE WWW.SARS.GOV.ZA.

2.4. BIDDERS MAY ALSO SUBMIT A PRINTED TCS TOGETHER WITH THE BID.

2.5. IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE PROOF OF TCS / PIN / CSD NUMBER.

2.6. WHERE NO TCS IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.

3. QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS

3.1. IS THE BIDDER A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)?

☐ YES ☐ NO

3.2. DOES THE BIDDER HAVE A BRANCH IN THE RSA?

☐ YES ☐ NO

3.3. DOES THE BIDDER HAVE A PERMANENT ESTABLISHMENT IN THE RSA?

☐ YES ☐ NO

3.4. DOES THE BIDDER HAVE ANY SOURCE OF INCOME IN THE RSA?

☐ YES ☐ NO

IF THE ANSWER IS “NO” TO ALL OF THE ABOVE, THEN, IT IS NOT A REQUIREMENT TO OBTAIN A TAX COMPLIANCE STATUS / TAX COMPLIANCE SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 2.3 ABOVE.

NB: FAILURE TO PROVIDE ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.
PART C

CHECKLIST OF COMPULSORY RETURNABLE SCHEDULES AND DOCUMENTS

Please adhere to the following instructions

- Tick in the relevant block below
- Ensure that the following documents are completed and signed where applicable:
- Use the prescribed sequence in attaching the annexes that complete the Bid Document

NB: Should all these documents not be included, the Bidder may be disqualified on the basis of non-compliance

<table>
<thead>
<tr>
<th>YES</th>
<th>NO</th>
</tr>
</thead>
</table>
| ☐   | ☐  | Bid document (clearly marked as FOLDER 1 AND FOLDER 2); separated into
|     |    | FOLDER 1 - Pre-Qualifying and functionality proposal documents, and
|     |    | FOLDER 2 – Financial proposal only
|     |    | (Failure to comply will result in disqualification)

Part A: Invitation to Bid

Part B: Terms and Conditions of Bidding

Part C: Checklist of Compulsory Returnable Schedules and Documents

Part D: Conditions of Tendering and Undertakings by Bidders

Part E: Specifications/Terms of Reference

Annexure A: Price Proposal Requirement

Annexure B: SBD4 Declaration of Interest

Annexure C: SBD6.1 and B-BBEE status level certificate

Annexure D: SBD8: Declaration of Bidder’s Past Supply Chain Practices

Annexure E: SBD9: Certificate of Independent Bid Determination
Annexure F: Certified copies of your CIPC company registration documents listing all members with percentages, in case of a lose corporation.

Annexure G: Certified copies of latest share certificates, in case of a company.

Annexure H: (if applicable): A breakdown of how fees and work will be spread between members of the bidding consortium.

Annexure I Supporting documents to responses to Pre-Qualifying Criteria and Functional Evaluation Criteria.

Annexure J: General Condition of Contract

Annexure K: CSD Tax Compliance Status and Registration Requirements Report
PART D

CONDITIONS OF TENDERING AND UNDERTAKINGS BY BIDDER

1. DEFINITIONS
   In this Request for Proposals, unless a contrary intention is apparent:

1.1 B-BBEE means broad-based black economic empowerment as defined in section 1 of the Broad-Based Black Economic Empowerment Act, 2003;

1.2 B-BBEE Act means the Broad-Based Black Economic Empowerment Act, 2003;

1.3 B-BBEE status level of contributor means the B-BBEE status received by a measured entity based on its overall performance used to claim points in terms of regulation 6 and 7 of the Preferential Procurement Regulations, 2017.

1.4 Business Day means a day which is not a Saturday, Sunday or public holiday.

1.5 Bid means a written offer in the prescribed or stipulated form lodged by a Bidder in response to an invitation in this Request for Proposal, containing an offer to provide goods, works or services in accordance with the Specification as provided in this RFP.

1.6 Bidder means a person or legal entity, or an unincorporated group of persons or legal entities that submit a Bid.

1.7 Companies Act means the Companies Act, 2008.

1.8 Compulsory Documents means the list of compulsory schedules and documents set out in Part B.

1.9 Closing Time means the time, specified as such under the clause 4 (Bid Timetable) in Part C, by which Tenders must be received.

1.10 DBSA means the Development Bank of Southern Africa Limited.

1.11 DFI means Development Finance Institution.

1.12 Evaluation Criteria means the criteria set out under the clause 27 (Evaluation Process) of this Part C, which includes the Qualifying Criteria, Functional Criteria and Price and Preferential Points Assessment.

1.13 Functional Criteria means the criteria set out in clause 27 of this Part C.

1.14 Intellectual Property Rights includes copyright and neighbouring rights, and all proprietary rights in relation to inventions (including patents) registered and unregistered trademarks (including service marks), registered designs, confidential information (including trade secrets and know how) and circuit layouts, and all other proprietary rights resulting from intellectual activity in the industrial, scientific, literary or artistic fields.

1.15 PFMA means the Public Finance Management Act, 1999.

1.17 **PPPFA Regulations** means the Preferential Procurement Regulations, 2017 published in terms of the PPPFA.

1.18 **Pre-Qualifying Criteria** means the criteria set out in clause of this Part C.

1.19 **Price and Preferential Points Assessment** means the process described in clause 27.2 of this Part C, as prescribed by the PPPFA.

1.20 **Proposed Contract** means the agreement including any other terms and conditions contained in or referred to in this RFP that may be executed between the DBSA and the successful Bidder.

1.21 **Request for Proposal** or **RFP** means this document (comprising each of the parts identified under Part A, Part B, Part C and Part D) including all annexures and any other documents so designated by the DBSA.

1.22 **SARS** means the South African Revenue Service.

1.23 **Services** means the services required by the DBSA, as specified in this RFP Part D.

1.24 **SLA** means service level agreement.

1.25 **SOE** means State Owned Enterprise, as defined by the Companies’ Act.

1.26 **Specification** means the conditions of tender set and any specification or description of the DBSA’s requirements contained in this RFP.

1.27 **State** means the Republic of South Africa.

1.28 **Statement of Compliance** means the statement forming part of a Tender indicating the Bidders compliance with the Specification.

1.29 **Tendering Process** means the process commenced by the issuing of this Request for Proposals and concluding upon formal announcement by the DBSA of the selection of a successful Bidder(s) or upon the earlier termination of the process.

1.30 **Website** means a website administered by DBSA under its name with web address **www.dbsa.org**

2. **INTERPRETATIONS**

   In this RFP, unless expressly provided otherwise a reference to:

2.1 “includes” or “including” means includes or including without limitation; and

2.2 “R” or “Rand” is a reference to the lawful currency of the Republic of South Africa.

3. **TENDER TECHNICAL AND GENERAL QUERIES**

   Queries pertaining to this tender must be directed to:

   DBSA Supply Chain Management Unit
   Email: fikilem@dbsa.org

   No questions will be answered telephonically.
4. BID TIMETABLE

This timetable is provided as an indication of the timing of the tender process. It is indicative only and subject to change by the DBSA. Bidders are to provide proposals that will allow achievement of the intended commencement date.

<table>
<thead>
<tr>
<th>Activity</th>
<th>Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Advertisement of tender</td>
<td>25 / 11 / 2020</td>
</tr>
<tr>
<td>RFP document available</td>
<td>26 / 11 / 2020</td>
</tr>
<tr>
<td>Non-Compulsory Briefing session</td>
<td>04 / 12 / 2020</td>
</tr>
<tr>
<td>Closing date for tender enquiries</td>
<td>15 / 12 / 2020 at 16h00</td>
</tr>
<tr>
<td>Closing date and time</td>
<td>17 / 12 / 2020 at 23H55</td>
</tr>
<tr>
<td>Intended completion of evaluation of tenders</td>
<td>TBA</td>
</tr>
<tr>
<td>Intended formal notification of successful Bidder(s)</td>
<td>TBA</td>
</tr>
<tr>
<td>Signing of Service Level Agreement</td>
<td>TBA</td>
</tr>
<tr>
<td>Effective date</td>
<td>TBA</td>
</tr>
</tbody>
</table>

5. SUBMISSION OF TENDERS - ELECTRONICALLY

i. Bidders are advised to kindly issue Tender Submission Link requests and all other enquiries to fikileSCM@dbsa.org - ONLY

ii. No – Tender Submission Link requests will be accepted after 16h00 on the 15TH DECEMBER 2020. Any requests after the stipulated date and time will be disregarded.

iii. Bidders will thereafter receive a OneDrive Link to upload their tender submission documents electronically.

iv. Bidders who have received submission Links that have errors, will be provided with new Links for use.

6. RULES GOVERNING THIS RFP AND THE TENDERING PROCESS

6.1 Participation in the tender process is subject to compliance with the rules contained in this RFP Part C.

6.2 All persons (whether or not a participant in this tender process) having obtained or received this RFP may only use it, and the information contained herein, in compliance with the rules contained in this RFP.

6.3 All Bidders are deemed to accept the rules contained in this RFP Part C.

6.4 The rules contained in this RFP Part C apply to:
6.4.1 The RFP and any other information given, received or made available in connection with this RFP, and any revisions or annexure;

6.4.2 the Tendering Process; and

6.4.3 any communications (including any briefings, presentations, meetings and negotiations) relating to the RFP or the Tendering Process.

7. STATUS OF REQUEST FOR PROPOSAL

7.1 This RFP is an invitation for person(s) to submit a proposal(s) for the provision of the services as set out in the Specification contained in this RFP. Accordingly, this RFP must not be construed, interpreted, or relied upon, whether expressly or implicitly, as an offer capable of acceptance by any person(s), or as creating any form of contractual, promissory or other rights. No binding contract or other understanding for the supply of services will exist between the DBSA and any Bidder unless and until the DBSA has executed a formal written contract with the successful Bidder.

8. ACCURACY OF REQUEST FOR PROPOSAL

8.1 Whilst all due care has been taken in connection with the preparation of this RFP, the DBSA makes no representations or warranties that the content in this RFP or any information communicated to or provided to Bidders during the Tendering Process is, or will be, accurate, current or complete. The DBSA, and its officers, employees and advisors will not be liable with respect to any information communicated which is not accurate, current or complete.

8.2 If a Bidder finds or reasonably believes it has found any discrepancy, ambiguity, error or inconsistency in this RFP or any other information provided by the DBSA (other than minor clerical matters), the Bidder must promptly notify the DBSA in writing of such discrepancy, ambiguity, error or inconsistency in order to afford the DBSA an opportunity to consider what corrective action is necessary (if any).

8.3 Any actual discrepancy, ambiguity, error or inconsistency in this RFP or any other information provided by the DBSA will, if possible, be corrected and provided to all Bidders without attribution to the Bidder who provided the written notice.
9. ADDITIONS AND AMENDMENTS TO THE RFP

9.1 The DBSA reserves the right to change any information in, or to issue any addendum to this RFP before the Closing Time. The DBSA and its officers, employees and advisors will not be liable in connection with either the exercise of, or failure to exercise this right.

9.2 If the DBSA exercises its right to change information in terms of clause 9.1, it may seek amended Tenders from all Bidders.

10. REPRESENTATIONS

No representations made by or on behalf of the DBSA in relation to this RFP will be binding on the DBSA unless that representation is expressly incorporated into the contract ultimately entered between the DBSA and the successful Bidder.

11. CONFIDENTIALITY

11.1 All persons (including all Bidders) obtaining or receiving this RFP and any other information in connection with this RFP or the Tendering Process must keep the contents of the RFP and other such information confidential, and not disclose or use the information except as required for the purpose of developing a proposal in response to this RFP.

12. REQUESTS FOR CLARIFICATION OR FURTHER INFORMATION

12.1 All communications relating to this RFP and the Tendering Process must be directed to the Tender Officer.

12.2 All questions or requests for further information or clarification of this RFP or any other document issued in connection with the Tendering Process must be submitted to the Tender Officer in writing, and most preferably by e-mail to fikilescm@dbsa.org

12.3 Any communication by a Bidder to the DBSA will be effective upon receipt by the Tender Officer (provided such communication is in the required format).

12.4 The DBSA has restricted the period during which it will accept questions or requests for further information or clarification and reserves the right not to respond to any enquiry or request, irrespective of when such enquiry or request is received.

12.5 Except where the DBSA is of the opinion that issues raised apply only to an individual Bidder, questions submitted and answers provided will be made available to all Bidders by e-mail, as well as on the DBSA’s website without identifying the person or organisation which submitted the question.
12.6 In all other instances, the DBSA may directly provide any written notification or response to a Bidder by email to the address of the Bidder (as notified by the Bidder to the Tender Manager).

12.7 A Bidder may, by notifying the Tender Officer in writing, withdraw a question submitted in accordance with clause 12, in circumstances where the Bidder does not wish the DBSA to publish its response to the question to all Bidders.

13. UNAUTHORISED COMMUNICATIONS

13.1 Communications (including promotional or advertising activities) with staff of the DBSA or their advisors assisting with the Tendering Process are not permitted during the Tendering Process, or otherwise with the prior consent of the Tender Officer. Nothing in this clause 13 is intended to prevent communications with staff of, or advisors to, the DBSA to the extent that such communications do not relate to this RFP or the Tendering Process.

13.2 Bidders must not otherwise engage in any activities that may be perceived as, or that may have the effect of, influencing the outcomes of the Tendering Process in any way.

14. IMPROPER ASSISTANCE, FRAUD AND CORRUPTION

14.1 Bidders may not seek or obtain the assistance of employees of the DBSA in the preparation of their tender responses.

14.2 The DBSA may in its absolute discretion, immediately disqualify a Bidder that it believes has sought or obtained such improper assistance.

14.3 Bidders are to be familiar with the implications of contravening the Prevention and Combating of Corrupt Activities Act, 2004 and any other relevant legislation.

15. ANTI-COMPETITIVE CONDUCT

15.1 Bidders and their respective officers, employees, agents and advisors must not engage in any collusion, anti-competitive conduct or any other similar conduct in respect of this Tendering Process with any other Bidder or any other person(s) in relation to:

15.1.1 the preparation or lodgement of their Bid

15.1.2 the evaluation and clarification of their Bid; and

15.1.3 the conduct of negotiations with the DBSA.

15.2 For the purposes of this clause 15, collusion, anti-competitive conduct or any other similar conduct may include disclosure, exchange and clarification of information whether or not such information is confidential to the DBSA or any other Bidder or any other person or organisation.
15.3 In addition to any other remedies available to it under law or contract, the DBSA may, in its absolute discretion, immediately disqualify a Bidder that it believes has engaged in any collusive, anti-competitive conduct or any other similar conduct during or before the Tendering Process.

16. COMPLAINTS ABOUT THE TENDERING PROCESS

16.1 Any complaint about the RFP or the Tendering Process must be submitted to the Supply Chain Management Unit in writing, by email, immediately upon the cause of the complaint arising or becoming known to the Bidder, (scmqueries@dbsa.org).

16.2 The written complaint must set out:

16.2.1 the basis for the complaint, specifying the issues involved;
16.2.2 how the subject of the complaint affects the organisation or person making the complaint;
16.2.3 any relevant background information; and
16.2.4 the outcome desired by the person or organisation making the complaint.

16.3 If the matter relates to the conduct of an employee of the DBSA, the complaint should be addressed in writing marked for the attention of the Chief Executive Officer of the DBSA, and delivered to the physical address of the DBSA, as notified.

17. CONFLICT OF INTEREST

17.1 A Bidder must not, and must ensure that its officers, employees, agents and advisors do not place themselves in a position that may give rise to actual, potential or perceived conflict of interest between the interests of the DBSA and the Bidder’s interests during the Tender Process.

17.2 The Bidder is required to provide details of any interests, relationships or clients which may or do give rise to a conflict of interest in relation to the supply of the services under any contract that may result from this RFP. If the Bidder submits its Bid and a subsequent conflict of interest arises, or is likely to arise, which was not disclosed in the Bid, the Bidder must notify the DBSA immediately in writing of that conflict.

17.3 The DBSA may immediately disqualify a Bidder from the Tendering Process if the Bidder fails to notify the DBSA of the conflict as required.

18. LATE BIDS

18.1 Bids must be delivered by the Closing Time. The Closing Time may be extended by the DBSA in its absolute discretion by providing written notice to Bidders.
18.2 Bids delivered after the Closing Time or lodged at a location or in a manner that is contrary to that specified in this RFP will be disqualified from the Tendering Process and will be ineligible for consideration. However, a late Bid may be accepted where the Bidder can clearly demonstrate (to the satisfaction of the DBSA, in its sole discretion) that late lodgement of the Bid was caused by the DBSA; that access was denied or hindered in relation to the physical tender box; or that a major/critical incident hindered the delivery of the Bid and, in all cases, that the integrity of the Tendering Process will not be compromised by accepting a Bid after the Closing Time.

18.3 The determination of the DBSA as to the actual time that a Bid is lodged is final. Subject to clause 18.2, all Bids lodged after the Closing Time will be recorded by the DBSA and will only be opened for the purposes of identifying a business name and address of the Bidder. The DBSA will inform a Bidder whose Bid was lodged after the Closing Time of its ineligibility for consideration. The general operating practice is for the late Bid to be returned within 5 (five) working days of receipt or within 5 (five) working days after determination not to accept a late Bid.

19. **BIDDER’S RESPONSIBILITIES**

19.1 Bidders are responsible for:

19.1.1 examining this RFP and any documents referenced or attached to this RFP and any other information made or to be made available by the DBSA to Bidders in connection with this RFP;

19.1.2 fully informing themselves in relation to all matters arising from this RFP, including all matters regarding the DBSA’s requirements for the provision of the Services;

19.1.3 ensuring that their Bids are accurate and complete;

19.1.4 making their own enquiries and assessing all risks regarding this RFP, and fully considering and incorporating the impact of any known and unknown risks into their Bid;

19.1.5 ensuring that they comply with all applicable laws in regard to the Tendering Process particularly as specified by National Treasury Regulations, Guidelines, Instruction Notes and Practice Notes and other relevant legislation as published from time to time in the Government Gazette; and

19.1.6 submitting all Compulsory Documents.

19.2 Bidders with annual total revenue of R10 million or less qualify as Exempted Micro Enterprises (EMEs) in terms of the B-BBEE Act must submit a certificate issued by a registered, independent
auditor (who or which is not the Bidder or a part of the Bidder) or an accredited verification agency.

19.3 Bidders other than EMEs must submit their original and valid B-BBEE status level verification certificate or a certified copy, or a sworn affidavit thereof, substantiating their B-BBEE status. The submission of such certificates must comply with the requirements of instructions and guidelines issued by National Treasury and be in accordance with the applicable notices published by the Department of Trade and Industry in the Government Gazette.

19.4 The DBSA reserves the right to require of a Bidder, either before a Bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the DBSA.

19.5 Failure to provide the required information may result in disqualification of the Bidder.

20. PREPARATION OF BIDS

20.1 Bidders must ensure that:
   20.1.1 their Bid is submitted in the required format as stipulated in this RFP; and
   20.1.2 all the required information fields in the Bid are completed in full and contain the information requested by the DBSA.

20.2 The DBSA may in its absolute discretion reject a Bid that does not include the information requested or is not in the format required.

20.3 Unnecessarily elaborate responses or other presentations beyond that which is sufficient to present a complete and effective tender proposal are not desired or required. Elaborate and expensive visual and other presentation aids are not necessary.

20.4 Where the Bidder is unwilling to accept a specified condition, the non-acceptance must be clearly and expressly stated. Prominence must be given to the statement detailing the non-acceptance. It is not sufficient that the statement appears only as part of an attachment to the Bid or be included in a general statement of the Bidders usual operating conditions.

20.5 An incomplete Bid may be disqualified or assessed solely on the information completed or received with the Bid.

21. ILLEGIBLE CONTENT, ALTERATION AND ERASURES

21.1 Incomplete Bids may be disqualified or evaluated solely on information contained in the Bid.

21.2 The DBSA may disregard any content in a Tender that is illegible and will be under no obligation whatsoever to seek clarification from the Bidder.
21.3 The DBSA may permit a Bidder to correct an unintentional error in its Bid where that error becomes known or apparent after the Closing Time, but in no event will any correction be permitted if the DBSA reasonably considers that the correction would materially alter the substance of the Bid or effect the fairness of the Tendering Process.

22. OBLIGATION TO NOTIFY ERRORS

If, after a Bidder’s Response has been submitted, the Bidder becomes aware of an error in the Bidders Response (including an error in pricing, but excluding clerical errors which would have no bearing on the evaluation of the Bid), the Bidder must promptly notify the DBSA of such error.

23. RESPONSIBILITY FOR BIDDING COSTS

23.1 The Bidders participation or involvement in any stage of the Tendering Process is at the Bidders sole risk, cost and expense. The DBSA will not be held responsible for, or pay for, any expense or loss that may be incurred by Bidders in relation to the preparation or lodgement of their Bid.

23.2 The DBSA is not liable to the Bidder for any costs on the basis of any contractual, promissory or restitutionary grounds whatsoever as a consequence of any matter relating to the Bidders participation in the Tendering Process, including without limitation, instances where:

   23.2.1 the Bidder is not engaged to perform under any contract; or
   23.2.2 the DBSA exercises any right under this RFP or at law.

24. DISCLOSURE OF BID CONTENTS AND BID INFORMATION

24.1 All Bids received by the DBSA will be treated as confidential. The DBSA will not disclose contents of any Bid and Bid information, except:

   24.1.1 as required by law;
   24.1.2 for the purpose of investigations by other government authorities having relevant jurisdiction;
   24.1.3 to external consultants and advisors of the DBSA engaged to assist with the Tendering Process; or for the general information of Bidders required to be disclosed as per National Treasury Regulations, Guidelines, Instruction Notes or Practice Notes.
25. **USE OF BIDS**

25.1 Upon submission in accordance with the requirements relating to the submission of Bids, all Bids submitted become the property of the DBSA. Bidders will retain all ownership rights in any intellectual property contained in the Bids.

25.2 Each Bidder, by submission of their Bid, is deemed to have licensed the DBSA to reproduce the whole, or any portion, of their Bid for the sole purposes of enabling the DBSA to evaluate the Bid.

26. **BID ACCEPTANCE**

All Bids received must remain open for acceptance for a minimum period of 120 (One hundred and Twenty) days from the Closing Time. This period may be extended by written mutual agreement between the DBSA and the Bidder.

27. **EVALUATION PROCESS**

27.1 The Bids will be evaluated and adjudicated as follows:

27.1.1 **FIRST STAGE – RESPONSIVENESS**

A. Tenderers who do not adhere to those criteria listed a PRE-QUALIFIER, will be disqualified immediately.

<table>
<thead>
<tr>
<th>Responsiveness Criteria</th>
<th>Prequalifying Criteria</th>
<th>Applicable to this Tender (Y/N)</th>
<th>Bidder to indicate Compliance (Y/N)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Adherence in submitting Tender as two-stage folders:</td>
<td>Pre-Qualifier</td>
<td>Y</td>
<td></td>
</tr>
<tr>
<td><strong>Folder 1</strong>: Pre-qualifiers and functionality proposal</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Folder 2</strong>: Financial proposal</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Proof of Registration with a recognized professional body/ institution, relevant to tender requirement (To be determined in line with Tender Requirement) – Sec C</td>
<td>Pre-Qualifier</td>
<td>Y</td>
<td></td>
</tr>
<tr>
<td>3. In terms of the DBSA Transformation Imperative Targets, the DBSA will consider companies that are EME’s and QSE’s with a minimum B-BBEE status of Level 2 who will contribute to meaningful B-BBEE initiatives as part of the tender process</td>
<td>Pre-Qualifier</td>
<td>Y</td>
<td></td>
</tr>
<tr>
<td>4. The successful Tenderer, if not itself an EME or QSE with a minimum B-BBEE status level 2, as per Section 4(1)(a) and (b) of the PPR 2017, must subcontract a minimum of 30% of the value of the contract</td>
<td>Pre-Qualifier</td>
<td>Y</td>
<td></td>
</tr>
</tbody>
</table>
to the following category referred to in Section 4(1)(c) of the PPR 2017:
(i). an EME or QSE which is at least 51% owned by black people.

B. Tenderers who do not adhere to the indicated response time for clarifications requested by the Employer will be deemed to be non-responsive and their submissions will not be evaluated further.

<table>
<thead>
<tr>
<th>Responsiveness Criteria</th>
<th>Clarification Time</th>
<th>Applicable to this Tender (Y/N)</th>
<th>Bidder to indicate Compliance (Y/N)</th>
</tr>
</thead>
<tbody>
<tr>
<td>4</td>
<td>Standard conditions of tender as required.</td>
<td>48 hours</td>
<td>Y</td>
</tr>
<tr>
<td>5</td>
<td>Returnable documents completed and signed.</td>
<td>48 hours</td>
<td>Y</td>
</tr>
<tr>
<td>6</td>
<td>Submission of Registration with National Treasury Central Supplier Database (CSD) Summary Report: - Bidder must be fully registered &amp; compliant in order to do business with the DBSA.</td>
<td>48 hours</td>
<td>Y</td>
</tr>
<tr>
<td>7</td>
<td>A valid and active Tax Compliance Status Pin issued by SARS.</td>
<td>48 hours</td>
<td>Y</td>
</tr>
</tbody>
</table>

C. Only bids which satisfy the following pre-qualification criteria in respect of minimum qualifications will be evaluated for Functionality. Note that it is a requirement that certified copies of educational qualifications, professional registration must be included for each expert. In the case of the project lead proof of completion of the requisite project types listed below in addition to the qualification and professional registration requirements.

<table>
<thead>
<tr>
<th>No.</th>
<th>Key Resource</th>
<th>Minimum Qualification for each key resource</th>
</tr>
</thead>
</table>
| 1   | Spatial planner/ Land Use Planning Specialist (Project Lead) | • Professional Town and Regional Planner registered with the South African Council for Planners (SACPLAN) with a bachelor’s degree in Town and Regional Planning (or equivalent) and a post-graduate qualification in a related planning discipline.  
• A minimum of 10-years’ post graduate experience in integrated land use and infrastructure planning, capital budget planning and prioritization.  
• Must have demonstrable experience in the execution of strategic planning and preparation of infrastructure investment plans and frameworks in different spheres of government, including the preparation of Built Environment Performance Plans (BEPPs). |
| 2   | Financial Analyst                | • Registered Chartered Accountant with a minimum of 5-years’ experience in the financial modelling and the preparation of Long-Term Financial Plans in the municipal environment.                                                  |
An understanding of National Treasury’s’ requirements for the preparation and finalization of annual municipal budgets, as well as the integration of the budgeting process with the requirements of SPLUMA.

### Social Profiling and Infrastructure Demand Analyst

- Bachelor’s degree qualification in Town Planning or Civil Engineering. Registration with relevant professional body as a professional planner or professional engineer/technologist.
- Minimum of 10-years’ experience in development analysis and planning, socio-economic profiling, infrastructure demand analysis, infrastructure investment planning and analysis, development impact assessments, local government financial planning and analysis.

### Infrastructure and Integrated Planning Engineering Specialist

- Registered Professional Engineer (ECSA) with a post graduate degree in Civil Engineering or related specialist engineering field (MEng or equivalent).
- Minimum of 10-years’ post graduate experience, including integrated infrastructure planning, capital project investment planning, analysis, prioritization and budget planning.
- Proven experience and knowledge of the full lifecycle of infrastructure planning and design, including project conceptualization, project preparation and master planning.

Only those Bidders which satisfy all the Pre-Qualifying Criteria will be eligible to participate in the Tendering Process further. Bids which do not satisfy all the Pre-Qualifying Criteria will not be evaluated further.

### 27.2 SECOND STAGE: FUNCTIONAL EVALUATION

#### 27.2.1. STRUCTURE AND CONTENTS OF TECHNICAL BID PROPOSAL

The structure and minimum contents of the proposal required from bidders are shown in the table below. The bidder must provide the following minimum information linked to the functionality evaluation criteria indicated under section 27.2.2 below.

<table>
<thead>
<tr>
<th>SECTION</th>
<th>MINIMUM CONTENTS OF TECHNICAL BID PROPOSAL</th>
<th>WEIGHT</th>
</tr>
</thead>
<tbody>
<tr>
<td>A.</td>
<td>Understanding and interpretation of the requirements of the Terms of Reference</td>
<td>30</td>
</tr>
<tr>
<td>B.</td>
<td>Project team members and leader knowledge and experience</td>
<td>40</td>
</tr>
<tr>
<td></td>
<td>1) Infrastructure and Land Use Planning Specialist</td>
<td></td>
</tr>
<tr>
<td></td>
<td>2) Infrastructure and Integrated Planning Engineering Specialist</td>
<td></td>
</tr>
<tr>
<td></td>
<td>3) Financial Analyst</td>
<td></td>
</tr>
</tbody>
</table>
SECTION A: UNDERSTANDING AND INTERPRETATION OF THE REQUIREMENTS OF THE TERMS OF REFERENCE

Tenderer must clearly illustrate its knowledge and understanding of relevant public sector and local government legislation and policies and how it relates to the development of capital expenditure frameworks as per the “Guideline to preparing a Capital Expenditure Framework (Version 10.0)” prepared by the Department of Cooperative Governance, dated January 2019.

SECTION B: PROJECT TEAM MEMBERS AND LEADER KNOWLEDGE AND EXPERIENCE

The experience of the Tenderer (or that of the constituent member in a joint venture, consortium or association) in the development of capital expenditure framework or related work within the Public and Local Government Sector. Tenderers should very briefly describe their experience in this regard and attach same to this schedule. The description should be put in the Tables provided below and attached to this schedule.
### EXPERIENCE / TRACK RECORD OF THE BIDDING ENTITY IN EXECUTING WORK OF A SIMILAR OR RELATED NATURE

<table>
<thead>
<tr>
<th>Employer/Client, contact person and telephone number and email address</th>
<th>Description of Professional Services Provided in capital expenditure framework projects or similar/related work.</th>
<th>Value of Service provided inclusive of VAT (Rand)</th>
<th>Date Service Commenced</th>
<th>Date Service Ended</th>
</tr>
</thead>
<tbody>
<tr>
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</tbody>
</table>
SECTION C: EXPERIENCE OF THE TENDERER’S PROPOSED KEY RESOURCES

The tenderer shall provide information in respect of the key personnel who will be engaged on the contract by completing this schedule.

(a) Summary of qualifications and experience of key resources as per functional evaluation criteria indicated in 27.2.2 below.
(b) CVs of key resources certified copies of educational qualifications and professional registration (where applicable).
(c) All the key staff shall be proficient in the use (both verbal and written) English language.
(d) In addition to the Personnel Schedule, the Tenderer shall also provide a Contract project organisational chart showing the team composition for each activity/phase/stage giving the team member names (only key team members need be included by name), position on team, and reporting relationship to other team members.
### SUMMARY DETAILS OF QUALIFICATIONS AND EXPERIENCE OF TENDERER’S PROPOSED KEY RESOURCES / EXPERTS

**Table to be completed for the Key Resources.**

| KEY EXPERT 1: Infrastructure and Land Use Planning Specialist (Project Leader) |
|---|---|---|---|---|
| Name | Current Job Title | Qualifications | Professional Registration & Registration Nr. | Relevant experience |
|     |                 |              |                                             |                      |

| KEY EXPERT 2: Infrastructure and Integrated Planning Engineering Specialist |
|---|---|---|---|
| Name | Current Job Title | Qualifications | Professional Registration & Registration Nr. | Relevant experience |
|     |                 |              |                                             |                      |

| KEY EXPERT 3: Financial Analyst |
|---|---|---|---|
| Name | Current Job Title | Qualifications | Professional Registration & Registration Nr. | Relevant experience |
|     |                 |              |                                             |                      |

| KEY EXPERT 4: Social Profiling and Infrastructure Demand Analyst |
|---|---|---|---|
| Name | Current Job Title | Qualifications | Professional Registration & Registration Nr. | Relevant experience |
|     |                 |              |                                             |                      |

**NOTE:** A CV OF EACH OF THE PROPOSED TEAM MEMBERS OF NOT MORE THAN 5 PAGES SHOULD BE ATTACHED TO THIS SCHEDULE AFTER THE ABOVE SUMMARY DETAILS TABLES WITH CERTIFIED COPIES OF QUALIFICATIONS AND PROFESSIONAL REGISTRATION WHERE APPLICABLE.
The undersigned, who warrants that he / she is duly authorized to do so on behalf of the enterprise, confirms that the contents of this schedule are within my personal knowledge and are to the best of my belief both true and correct.

<table>
<thead>
<tr>
<th>Signature:</th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Date:</td>
<td></td>
</tr>
<tr>
<td>Name:</td>
<td></td>
</tr>
<tr>
<td>Position:</td>
<td></td>
</tr>
<tr>
<td>Respondent:</td>
<td></td>
</tr>
</tbody>
</table>
27.2.2. DETAILED EVALUATION CRITERIA

The functional evaluation for this bid will be based on the criteria outlined in the table below:

**SECTION 1:**

<table>
<thead>
<tr>
<th>CRITERIA</th>
<th>SUB-CRITERIA</th>
<th>POINTS ALLOCATION</th>
<th>WEIGHT</th>
</tr>
</thead>
</table>
| Understanding and interpretation of the requirements of the Terms of Reference | 1) Understanding of the requirements of the scope of work  
2) Overall approach and methodology  
3) Literature and document review approach  
4) Sampling and data collection methodology  
5) Activity-based plan aligned to SoW, deliverables, timelines and experts.  
6) Draft outline of the draft CEF  
7) Quality assurance plan  
8) Stakeholder and change management approach | **30 points** = Addressed seven or more of the specified requirements of the approach and methodology. Further, some additional innovative approaches and methodologies proposed for undertaking the project which are likely to increase the use/value of the CEF  
**18 points** = Addressed six of the specified requirements of the approach and methodology.  
**12 points** = Addressed five of the specified requirements of the approach and methodology.  
**8 points** = Addressed three of the specified requirements of the approach and methodology.  
**0 point** = Only one of the specified requirements of the approach and methodology addressed. | 30 |
### Project team members and leader knowledge and experience

1) Infrastructure and Land Use Planning Specialist

Registered Professional Town and Regional Planner (SACPLAN) with a Bachelor’s degree in Town and Regional Planning (or equivalent) and any post graduate qualification in a related planning discipline.

A minimum of 10-years’ post graduate experience in integrated land use and infrastructure planning, capital budget planning and prioritization.

Must have demonstrable experience in the execution of strategic planning and preparation of infrastructure investment plans and frameworks in different spheres of government, including the preparation of Built Environment Performance Plans, an understanding of National Treasury’s’ requirements for the preparation and finalization of annual municipal budgets, as well as the integration of the budgeting process with the requirements of SPLUMA.

| 10 points | = 10 and more years’ experience |
| 7 points  | = more than 7 and up to 9 years’ experience |
| 5 points  | = more than 4 and up to 7 years’ experience |
| 3 points  | = more than 2 and up to 4 years’ experience |
| 0 point   | = 0 and up to 2 years’ experience |

2) Infrastructure and Integrated Planning Engineering Specialist

Registered Professional Engineer (ECSA) with a post graduate degree in Civil Engineering or related specialist engineering field (MEng or equivalent).

Minimum of 10-years’ post graduate experience, including integrated infrastructure planning, capital project investment planning, analysis, prioritization and budget planning.

Proven experience and knowledge of the full lifecycle of infrastructure planning and design, including project conceptualization, project preparation and master planning.

| 10 points | = 10 and more years’ experience |
| 7 points  | = more than 7 and up to 9 years’ experience |
| 5 points  | = more than 4 and up to 7 years’ experience |
| 3 points  | = more than 2 and up to 4 years’ experience |
| 0 point   | = 0 and up to 2 years’ experience |
| 3) Financial Analyst | Registered Chartered Accountant with a minimum of 5-years’ experience in the financial modelling and the preparation of long-term financial plans in the municipal environment. | **10 points** = 5 and more years’ experience  
**7 points** = more than 3 and up to 4 years’ experience  
**5 points** = more than 2 and up to 3 years’ experience  
**3 points** = more than 1 and up to 2 years’ experience  
**0 point** = 0 and up to 1 years’ experience | 10 |
|----------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------|-------|
| 4) Social Profiling and Infrastructure Demand Analyst | Bachelor’s degree qualification in Town Planning or Civil Engineering. Registration with relevant professional body as a professional planner or professional engineer.  
Minimum of 10-years’ experience in development analysis and planning, socio-economic profiling, infrastructure demand analysis, infrastructure investment planning and analysis, development impact assessments, local government financial planning and analysis. | **10 points** = 10 and more years’ experience  
**7 points** = more than 7 and up to 9 years’ experience  
**5 points** = more than 4 and up to 7 years’ experience  
**3 points** = more than 2 and up to 4 years’ experience  
**0 point** = 0 and up to 2 years’ experience | 10 |
| **Bidder’s competency and capacity to deliver the project** | **Bidder’s competency and knowledge in:**  
- Experience in data gathering, collating, analysis and management; | **30 points** = 5 projects and more undertaken  
**20 points** = 4 projects undertaken  
**15 points** = 3 projects undertaken  
**10 points** = 2 projects undertaken  
**0 point** = 1 project undertaken | 30 |
<table>
<thead>
<tr>
<th>Experience in producing focused research outputs similar in government;</th>
<th>Knowledge and evidence of similar research conducted previously by the service provider from at least three similar projects executed in the past).</th>
</tr>
</thead>
<tbody>
<tr>
<td>TOTAL</td>
<td>100</td>
</tr>
<tr>
<td>THRESHOLD</td>
<td>70%</td>
</tr>
</tbody>
</table>

Only those bidders who achieve the minimum qualifying score of 70 points for functionality will have their bid submissions further evaluated in terms of the 80/20 preference points system (Third Stage) as prescribed by the latest Preferential Procurement Policy Framework Act Regulations.

### 27.3 THIRD STAGE: PRICE AND PREFERENTIAL POINTS ASSESSMENT

**27.3.1** The Third stage of evaluation of the Bids will be in respect of price and preferential procurement only. Points will be allocated to Bidders at this stage of the evaluation in accordance with the PPPFA and the PPPFA Regulations, as follows:

- Price points 80
- Preferential procurement points 20

**27.3.2** Price points

The following formula will be used to calculate the points for price:

\[ Ps = 80 \left(1 - \frac{Pt - P_{min}}{P_{min}}\right) \]

Where:
- \( Ps \) = Points scored for comparative price of tender or offer under consideration;
- \( Pt \) = Comparative price of tender or offer under consideration; and
- \( P_{min} \) = Comparative price of lowest acceptable tender or offer.
27.3.3 Preferential procurement points
A maximum of 20 points may be awarded in respect of preferential procurement, which points must be awarded to a tenderer for attaining their B-BBEE Status Level in accordance with the table below:

<table>
<thead>
<tr>
<th>B-BBEE Status Level</th>
<th>Number of Points</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>20</td>
</tr>
<tr>
<td>2</td>
<td>18</td>
</tr>
<tr>
<td>3</td>
<td>14</td>
</tr>
<tr>
<td>4</td>
<td>12</td>
</tr>
<tr>
<td>5</td>
<td>8</td>
</tr>
<tr>
<td>6</td>
<td>6</td>
</tr>
<tr>
<td>7</td>
<td>4</td>
</tr>
<tr>
<td>8</td>
<td>2</td>
</tr>
<tr>
<td>Non-compliant contributor</td>
<td>0</td>
</tr>
</tbody>
</table>

An unincorporated trust, consortium or joint venture will qualify for points for their B-BBEE status level as an unincorporated entity, provided that the entity submits their consolidated B-BBEE scorecard as if they were a group structure and that such a consolidated B-BBEE scorecard is prepared for every separate Bid.

27.3.4 Total
The total points scored by each Bidder will be calculated by adding the points scored for price (out of 80) to the points scored for preferential procurement (out of 20).

The successful Bidder will be the Bidder which has the highest total points (out of 100) for both price and preferential procurement (unless there is a basis for selecting a different successful Bidder in accordance with section 2(1)(f) of the PPPFA).

NB: Bidders are required to submit, as Annexure J to their Bids, any documentation which supports the responses provided in respect of the Eligibility Criteria below.

28. STATUS OF BID

28.1 Each Bid constitutes an irrevocable offer by the Bidder to the DBSA to provide the Services required and otherwise to satisfy the requirements of the Specification as set out in this RFP.

28.2 A Bid must not be conditional on:
28.2.1 the Board approval of the Bidder or any related governing body of the Bidder being obtained;
28.2.2 the Bidder conducting due diligence or any other form of enquiry or investigation;
28.2.3 the Bidder (or any other party) obtaining any regulatory approval or consent;
28.2.4 the Bidder obtaining the consent or approval of any third party; or
28.2.5 the Bidder stating that it wishes to discuss or negotiate any commercial terms of the contract.

28.3 The DBSA may, in its absolute discretion, disregard any Bid that is, or is stated to be, subject to any one or more of the conditions detailed above (or any other relevant conditions).

28.4 The DBSA reserves the right to accept a Bid in part or in whole or to negotiate with a Bidder in accordance with the provisions of this RFP and the applicable laws and regulations.

29. CLARIFICATION OF BIDS

29.1 The DBSA may seek clarification from and enter discussions with any or all of the Bidders in relation to their Bid. The DBSA may use the information obtained when clarification is sought or discussions are had in interpreting the Bid and evaluating the cost and risk of accepting the Bid. Failure to supply clarification to the satisfaction of the DBSA may render the Bid liable to disqualification.

29.2 The DBSA is under no obligation to seek clarification of anything in a Bid and reserves the right to disregard any clarification that the DBSA considers to be unsolicited or otherwise impermissible or irrelevant in accordance with the rules set out in this RFP.

30. DISCUSSION WITH BIDDERS

30.1 The DBSA may elect to engage in detailed discussions with any one or more Bidder(s), with a view to maximising the benefits of this RFP as measured against the evaluation criteria and in fully understanding a Bidder’s offer.

30.2 As part of the evaluation of this Bid, DBSA will invite Bidders to give a presentation to the DBSA in relation to their submissions.

30.3 The DBSA is under no obligation to undertake discussions with, and Bidders.

30.4 In addition to presentations and discussions, the DBSA may request some or all Bidders to:
   30.4.1 conduct a site visit, if applicable;
   30.4.2 provide references or additional information; and/or
   30.4.3 make themselves available for panel interviews.
31. **SUCCESSFUL BIDS**

31.1 Selection as a successful Bidder does not give rise to a contract (express or implied) between the successful Bidder and the DBSA for the supply of the Services. No legal relationship will exist between the DBSA and a successful Bidder for the supply of the Services until such time as a binding contract is executed by them.

31.2 The DBSA may, in its absolute discretion, decide not to enter into pre-contractual negotiations with a successful Bidder.

31.3 A Bidder is bound by its Bid and all other documents forming part of the Bidder’s Response and, if selected as a successful Bidder, must enter into a contract on the basis of the Bid with or without further negotiation.

32. **NO OBLIGATION TO ENTER INTO CONTRACT**

32.1 The DBSA is under no obligation to appoint a successful Bidder or Bidders (as the case may be), or to enter into a contract with a successful Bidder or any other person, if it is unable to identify a Bid that complies in all relevant respects with the requirements of the DBSA, or if due to changed circumstances, there is no longer a need for the Services requested, or if funds are no longer available to cover the total envisaged expenditure. For the avoidance of any doubt, in these circumstances the DBSA will be free to proceed via any alternative process.

32.2 The DBSA may conduct a debriefing session for all Bidders (successful and unsuccessful). Attendance at such debriefing session is optional.

33. **BIDDER WARRANTIES**

33.1 By submitting a Bid, a Bidder warrants that:

33.1.1 it did not rely on any express or implied statement, warranty or representation, whether oral, written, or otherwise made by or on behalf of the DBSA, its officers, employees, or advisers other than any statement, warranty or representation expressly contained in the RFP;

33.1.2 it did not use the improper assistance of DBSA’s employees or information unlawfully obtained from them in compiling its Bid;

33.1.3 it is responsible for all costs and expenses related to the preparation and lodgement of its Bid, any subsequent negotiation, and any future process connected with or relating to the Tendering Process;

33.1.4 it accepts and will comply with the terms set out in this RFP; and
33.1.5 it will provide additional information in a timely manner as requested by the DBSA to clarify any matters contained in the Bid.

34. DBSA’S RIGHTS

34.1 Notwithstanding anything else in this RFP, and without limiting its rights at law or otherwise, the DBSA reserves the right, in its absolute discretion at any time, to:

34.1.1 cease to proceed with, or suspend the Tendering Process prior to the execution of a formal written contract;

34.1.2 alter the structure and/or the timing of this RFP or the Tendering Process;

34.1.3 vary or extend any time or date specified in this RFP;

34.1.4 terminate the participation of any Bidder or any other person in the Tendering Process;

34.1.5 require additional information or clarification from any Bidder or any other person;

34.1.6 provide additional information or clarification;

34.1.7 negotiate with any one or more Bidder;

34.1.8 call for new Bid;

34.1.9 reject any Bid received after the Closing Time; or

34.1.10 to split the award based on the value for money, stock availability and lead time to delivery;

34.1.11 reject any Bid that does not comply with the requirements of this RFP.

35. GOVERNING LAWS

35.1 This RFP and the Tendering Process are governed by the laws of the Republic of South Africa.

35.2 Each Bidder must comply with all relevant laws in preparing and lodging its Bid and in taking part in the Tendering Process.

35.3 All Bids must be completed using the English language and all costing must be in South African Rand.

36. MANDATORY QUESTIONS

36.1 Bidders shall provide full and accurate answers to all (including mandatory) questions posed in this document, and, are required to explicitly state "Comply/Accept" or "Do not comply/Do not accept" (with a √ or an X) regarding compliance with the requirements. Where necessary, the Bidders shall substantiate their response to a specific question.
NOTE: It is mandatory for Bidders to complete or answer this part fully; failure to do so result the Bid being treated as incomplete and the Bid may be disqualified.

36.1.1

| This Bid is subject to the General Conditions of Contract stipulated in this RFP document. | Comply/Accept | Do not comply/Do not accept |

36.1.2

| The laws of the Republic of South Africa shall govern this RFP and the Bidders hereby accept that the courts of the Republic of South Africa shall have the jurisdiction. | Comply/Accept | Do not comply/Do not accept |

36.1.3

| The DBSA shall not be liable for any costs incurred by the Bidder in the preparation of response to this RFP. The preparation of response shall be made without obligation to acquire any of the items included in any Bidder's proposal or to select any proposal, or to discuss the reasons why such vendor's or any other proposal was accepted or rejected. | Comply/Accept | Do not comply/Do not accept |

36.1.4

| The DBSA may request written clarification or further information regarding any aspect of this proposal. The Bidders must supply the requested information in writing within two working days after the request has been made, otherwise the proposal may be disqualified. | Comply/Accept | Do not comply/Do not accept |

36.1.5

| In the case of Consortium, Joint Venture or subcontractors, Bidders are required to provide copies of signed agreements stipulating the work split and Rand value. | Comply/Accept | Do not comply/Do not accept |

36.1.6

| In the case of Consortium, Joint Venture or subcontractors, all Bidders are required to provide mandatory documents as stipulated in Part C: Checklist | Comply/Accept | Do not comply/Do not accept |
### 36.1.7
The DBSA reserves the right to; cancel or reject any proposal and not to award the proposal to the lowest Bidder or award parts of the proposal to different Bidders, or not to award the proposal at all.

<table>
<thead>
<tr>
<th>Compliance</th>
<th>Accept</th>
<th>Do not comply/Do not accept</th>
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### 36.1.8
Where applicable, Bidders who are distributors, resellers and installers of network equipment are required to submit back-to-back agreements and service level agreements with their principals.

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<th>Compliance</th>
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### 36.1.9
By submitting a proposal in response to this RFP, the Bidders accept the evaluation criteria as it stands.

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<th>Compliance</th>
<th>Accept</th>
<th>Do not comply/Do not accept</th>
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### 36.1.10
Where applicable, the DBSA reserves the right to run benchmarks on the requirements equipment during the evaluation and after the evaluation.

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<th>Compliance</th>
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### 36.1.11
The DBSA reserves the right to conduct a pre-award survey during the source selection process to evaluate contractors’ capabilities to meet the requirements specified in the RFP and supporting documents.

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<th>Compliance</th>
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### 36.1.12
Only the solution commercially available at the proposal closing date shall be considered. No Bids for future solutions shall be accepted.

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<tr>
<th>Compliance</th>
<th>Accept</th>
<th>Do not comply/Do not accept</th>
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### 36.1.13

The Bidder should not qualify the proposal with own conditions.

**Caution:** If the Bidder does not specifically withdraw its own conditions of proposal when called upon to do so, the proposal response shall be declared invalid.

<table>
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<tr>
<th>Comply/Accept</th>
<th>Do not comply/Do not accept</th>
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### 36.1.14

Delivery of and acceptance of correspondence between the DBSA and the Bidder sent by prepaid registered post (by air mail if appropriate) in a correctly addressed envelope to either party's postal address or address for service of legal documents shall be deemed to have been received and accepted after (2) two days from the date of postage to the South African Post Office Ltd.

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<th>Comply/Accept</th>
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### 36.1.15

Should the parties at any time before and/or after the award of the proposal and prior to, and-or after conclusion of the contract fail to agree on any significant product price or service price adjustments, change in technical specification, change in services, etc. The DBSA shall be entitled within 14 (fourteen) days of such failure to agree, to recall the letter of award and cancel the proposal by giving the Bidder not less than 90 (ninety) days written notice of such cancellation, in which event all fees on which the parties failed to agree increases or decreases shall, for the duration of such notice period, remain fixed on those fee/price applicable prior to the negotiations. Such cancellation shall mean that the DBSA reserves the right to award the same proposal to next best Bidders as it deems fit.

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<th>Comply/Accept</th>
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### 36.1.16


In the case of a consortium or JV, each of the authorised enterprise’s members and/or partners of the different enterprises must co-sign this document.

<table>
<thead>
<tr>
<th>36.1.17</th>
<th>Any amendment or change of any nature made to this RFP shall only be of force and effect if it is in writing, signed by the DBSA signatory and added to this RFP as an addendum.</th>
<th>Comply/Accept</th>
<th>Do not comply/Do not accept</th>
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<tr>
<th>36.1.18</th>
<th>Failure or neglect by either party to (at any time) enforce any of the provisions of this proposal shall not, in any manner, be construed to be a waiver of any of that party’s right in that regard and in terms of this proposal. Such failure or neglect shall not, in any manner, affect the continued, unaltered validity of this proposal, or prejudice the right of that party to institute subsequent action.</th>
<th>Comply/Accept</th>
<th>Do not comply/Do not accept</th>
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<tr>
<th>36.1.19</th>
<th>Bidders who make use of subcontractors:</th>
<th>Comply/Accept</th>
<th>Do not comply/Do not accept</th>
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</thead>
</table>

1. It is the responsibility of the Bidder to select competent subcontractors that meet all the tender requirements stipulated in this tender document.

2. The Bidder shall be responsible for all due diligence of the selected subcontractors and will be held liable for any non-performance of the subcontractor.

3. Bidders are required to provide documentation (such as BBBEE Certificate/Sworn Affidavit, Valid or Active Tax Compliance Status Pin Issued by SARS, CSD Summary Report, Valid or Active CIDB Certificate etc.) for the relevant subcontractor as a minimum in support of the subcontracting arrangement.
4. Subcontracting must not contradict any Regulation or Legislation.

5. No separate contract shall be entered into between the DBSA and any such subcontractors. Copies of the signed agreements between the relevant parties must be attached to the proposal responses.

<table>
<thead>
<tr>
<th>36.1.20</th>
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<tbody>
<tr>
<td>All services supplied in accordance with this proposal must be certified to all legal requirements as per the South African law.</td>
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<tr>
<th>36.1.21</th>
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<tbody>
<tr>
<td>No interest shall be payable on accounts due to the successful Bidder in an event of a dispute arising on any stipulation in the contract.</td>
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<tr>
<th>36.1.22</th>
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<tbody>
<tr>
<td>Evaluation of Bids shall be performed by an evaluation panel established by the DBSA. Bids shall be evaluated on the basis of conformance to the required specifications (functionality) as outlined in the RFP. For Bids considered for price and preference evaluation, points shall be allocated to each Bidder, on the basis that the maximum number of points that may be scored for price is 80, and the maximum number of preference points that may be claimed for B-BBEE status level of contributor (according to the PPPFA Regulations) is 20.</td>
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<th>36.1.23</th>
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<tbody>
<tr>
<td>If the successful Bidder disregards contractual specifications, this action may result in the termination of the contract.</td>
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</table>

| 36.1.24 |
The Bidders’ response to this Bid, or parts of the response, shall be included as a whole or by reference in the final contract.

<table>
<thead>
<tr>
<th>36.1.25</th>
<th>Should the evaluation of this Bid not be completed within the validity period of the Bid, the DBSA has discretion to extend the validity period.</th>
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<tbody>
<tr>
<td>Comply/Accept</td>
<td>Do not comply/Do not accept</td>
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</table>

Upon receipt of the request to extend the validity period of the Bid, the Bidder must respond within the required time frames and in writing on whether or not he agrees to hold his original Bid response valid under the same terms and conditions for a further period.

<table>
<thead>
<tr>
<th>36.1.26</th>
<th>Upon receipt of the request to extend the validity period of the Bid, the Bidder must respond within the required time frames and in writing on whether or not he agrees to hold his original Bid response valid under the same terms and conditions for a further period.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Comply/Accept</td>
<td>Do not comply/Do not accept</td>
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</table>

Should the Bidder change any wording or phrase in this document, the Bid shall be evaluated as though no change has been effected and the original wording or phrasing shall be used.

<table>
<thead>
<tr>
<th>36.1.27</th>
<th>Should the Bidder change any wording or phrase in this document, the Bid shall be evaluated as though no change has been effected and the original wording or phrasing shall be used.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Comply/Accept</td>
<td>Do not comply/Do not accept</td>
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Tax Compliance Status either on CSD National Treasury Database or SARS eFiling System as a Condition for Appointment/Award of the Bid.

This requirement is mandatory and has to be satisfied by the successful bidder. The successful bidder must be tax compliant prior to appointment/award of the bid as no bid will be awarded to persons who are not tax compliant.

<table>
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<tr>
<th>36.1.28</th>
<th>Tax Compliance Status either on CSD National Treasury Database or SARS eFiling System as a Condition for Appointment/Award of the Bid.</th>
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<td>Comply/Accept</td>
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Company registration with CSD National Treasury Database as a Condition for Appointment/Award of the Bid.

<table>
<thead>
<tr>
<th>36.1.29</th>
<th>Company registration with CSD National Treasury Database as a Condition for Appointment/Award of the Bid.</th>
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<td>Comply/Accept</td>
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</table>
This requirement is mandatory and has to be satisfied by the successful bidder. The successful bidder must be registered on the CSD National Treasury site prior to appointment/award of the bid.

36.1.30

The following will be grounds for disqualification:

- Unsatisfactory performance under a previous public contract in the past 5 years, provided that notice of such unsatisfactory performance has been given to the bidder; and/or
- The bidder or any of its directors have committed a corrupt or fraudulent act in competing for the appointment; and/or
- The bidder or any of its directors have been convicted of fraud or corruption in the last 5 years; and/or
- The bidder or any of its directors have been listed in the Register for Tender Defaulters under section 9 of the Prevention and Combating of Corrupt Activities Act; and/or
- Bids received after the stipulated closure time will be immediately disqualified; and/or
- Bidders whom have recently completed or currently performing, or to commence work on specific categories of services may be excluded to enable the Bank to manage its concentration risk. This threshold is currently set at R10 million for consultancy services.

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<th>Comply/Accept</th>
<th>Do not comply/Do not accept</th>
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</table>

Signature(s) of Bidder or assignee(s) Date

Name of signing person (in block letters)

Capacity

Are you duly authorized to sign this Bid?

Name of Bidder (in block letters)
Postal address (in block letters)
Domicilium citandi et executandi in the RSA (full street address of this place) (in block letters)

………………………………………………………………………………………………………………
………………………………………………………………………………………………………………
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Telephone Number: .......................................FAX number...........................................

Cell Number: ...........................................

Email Address..............................................................
PART E

TERMS OF REFERENCE

APPOINTMENT OF A PROFESSIONAL SERVICE PROVIDER FOR THE DEVELOPMENT OF CAPITAL EXPENDITURE FRAMEWORKS FOR FOUR (4) INTERMEDIATE CITY MUNICIPALITIES
1. Background

The Integrated Urban Development Grant Framework requires intermediate city municipalities to develop a Capital Expenditure Framework. The “Capital Expenditure Framework” (CEF) is referred to as a key component of the SDF in the Spatial Planning and Land Use Management Act, Act 16 of 2013 (SPLUMA) section (21)(n). The legislative context is within the realm of municipal planning and relates specifically to Integrated Development Plans (IDPs), Spatial Development Frameworks (SDFs) and Municipal Budgeting. The Department of Cooperative Governance has prepared guidelines that seeks to assist intermediate cities to develop their CEF.

Section 153 of the Constitution of South Africa states that a municipality must structure and manage its administration, budgeting and planning process to give priority to basic needs of the community and to promote the social and economic development of the community. It instructs municipalities to be developmental in nature, and it states that it should be done through two vehicles namely planning processes and budgeting processes.

In April 2016 cabinet adopted the Integrated Urban Development Framework (IUDF) as the official national urban policy of government under the custodianship of the Department of Cooperative Governance (CoGTA). The IUDF marks a new deal for South Africa’s cities and towns. It sets a policy framework to guide the development of inclusive, resilient and liveable urban settlements, while addressing the unique conditions and challenges facing South Africa’s cities and towns. The key outcome of the IUDF is spatial transformation and to create a growth model of compact, connected and coordinated cities and towns.

Whilst the IUDF responds to the National Development Plan (NDP), it also responds to urbanization challenges that South Africa (SA) is faced with. In its response to urbanisation, the IUDF makes it clear that it does not do so in a manner that treats urbanization as an antithesis of rural development, thereby, acknowledging the importance of urbanization as it has implications to both urban areas, i.e. large cities/metros and small and rural towns. In order to demonstrate this, the implementation of the IUDF was conceptualized across a spectrum of municipal spaces.

As part of the process to implement the IUDF, CoGTA, herein, referred to as the Department, agreed to collaborate with National Treasury (NT) and the South African Local Government Association (SALGA) as key IUDF implementation partners. National Treasury was assigned with the responsibility to implement the IUDF within metropolitan municipalities, and the South African Local Government Association was assigned with the responsibility to implement the IUDF in small towns and rural areas. Implementation of the IUDF in intermediate cities is the responsibility of CoGTA. The NT programme to implement the IUDF in metropolitan municipalities was called the...
City Support Programme (CSP), while the SALGA programme was called the Small Towns Regeneration (STR) Programme.

The Capital Expenditure Framework is the mechanism of the municipality which aims to achieve spatial transformation by aligning capital investment planning and budgeting in such a way that the key outcomes of the IUDF are achieved.

As the state organ responsible for driving the implementation of the IUDF in general and the support to intermediate city municipalities in particular, the Department of Cooperative Governance has collaborated with the Development Bank of Southern Africa (DBSA) amongst other partners for the implementation of specific elements of the ICM Programme

2. Problem Statement

The role of a CEF is to frame the outcomes of a multitude of planning documents within a municipality in order to ensure that implementation is guided by a strategic, spatial, financial and social logic. This is informed by national and provincial strategies and policies and those at municipal level, namely, Integrated Development Plans (IDP), Spatial Development Framework (SDF) and other departmental strategies.

A CEF serves not only as performance evaluation mechanism, but also as a rationale towards capital investment planning that provides business intelligence, data validation, programme and project synchronisation and prioritisation. This fundamental element of a municipality – its planning and investment rationale – is guided, managed and finally implemented through means of numerous processes guided by many more legislative frameworks, guidelines, toolkits, and circulars, each related to a specific component of the municipal planning and implementation process essentially described in the Integrated Development Plan.

The simultaneous management of these processes - processes relating to strategic analysis and planning, best scenario identification, phasing and implementation, as well as monitoring and readjusting; is complex and data intensive. This complexity, together with numerous challenges at local government level that relates to inadequate internal capacity, insufficient backlog-, asset- and budgeting management processes are at the heart of what the CEF seeks to address.

The role of the CEF is therefore to strengthen the process currently institutionalised within the municipality, and to show how capital flows from planning to implementation, whilst aligning to a spatial transformation vision. The aim is that the CEF becomes the main pivot-point around which these processes will rally. It will clearly elevate areas where challenges need to be addressed and it is foreseen that different municipalities will have divergent challenges.
Within the IUDF the Intermediate City Municipality (ICM) programme, targeting 39 municipalities, is intended to provide support for the municipalities in the middle size and density range of the continuum. The purpose of the ICMs support strategy is to help translate IUDF policy into practical programmes of action in the ICMs. In so doing the initiative aims to give impetus to achieve the main IUDF goals, which are forging new integrated forms of spatial development; ensuring that people have access to social economic services, opportunities and choices; harnessing urban dynamism to achieve inclusive and sustainable growth; and enhancing the governance capacity of the state and citizens in ICMs.

One element of the implementation of the IUDF is the introduction of a consolidated infrastructure grant and all 39 ICMs are all eligible for the Integrated Urban Development Grant (IUDG) starting from the 2019/20 financial year. Among other features, the IUDG moves towards programmatic grant monitoring. The business plan for the IUDG is a three-year capital programme that is aligned with a long-term (10-year) Capital Expenditure Framework (CEF).

The CEF will be used as the primary instrument for grant qualification in the application for IUDG funding by the municipality.

The problem is that most intermediate cities, if not all, are not familiar with the concept of long-term planning as required stipulated by the CEF guidelines. This means that while the DCoG is putting systems and a programme in place to support ICMs to develop CEFs, municipalities that qualified for the IUDG required urgent and immediate support to access the IUDG.

Some of the key challenges that municipalities required to develop the IUDG face range from their lack of capacity, human and financial to insufficient and inadequate sector plans. Therefore, these municipalities need urgent assistance to develop CEFs that will meet the minimum requirement of DCoG and while a comprehensive CEF support programme is being developed.

3. Objectives of the project

The key objectives of the assignment include:

- **Demand and Infrastructure Quantification**: Quantification of the Spatial Development Framework (SDF) from a socio-economic point of view, which includes a detailed spatial analysis of the demography of the municipal population as well as economic growth trends per functional area. It must demonstrate the anticipated growth in population as well as economic growth, translating into a land budget indicating the demand for residential, commercial, industrial, institutional land-uses etc. The Growth Strategy will
link space to numbers as per the SDF in order to facilitate well-informed and aligned infrastructure planning.

- **Long Term Financial Strategy**: Develop Long Term Financial Strategies (LTFS) for the municipality based on an approach and methodology that is driven by a financial model that models future expenditure and revenue based on historical trend analysis, changes in the external environment and policy choices. The outcome of which should be the basis under which the municipality will be financially sustainable. The LTFS should also provide guidance as to the optimal funding strategy to follow that will enable the municipality to rehabilitate where needed, and maintain, replace and extend its municipal infrastructure asset base.

- **Capital Expenditure Programme**: The municipal capital expenditure programme should be linked to the spatial vision, demand quantification and LTFS and system support should be provided to the municipality to enhance integrated development planning, project and programme prioritisation, capital expenditure budgeting and implementation monitoring.

4. **Scope of the Assignment**

The scope of this assignment is based on the requirements for the preparation of a Capital Expenditure Framework (CEF) as per the “Guideline to preparing a Capital Expenditure Framework (Version 10.0)” prepared by the Department of Cooperative Governance, dated January 2019.

The primary scope of this assignment is the preparation of Capital Expenditure Frameworks for four (4) Intermediate City Municipalities namely:

a. **Rustenburg Local Municipality**

b. **KwaDukuza Local Municipality**

c. **Nkomazi Local Municipality**

d. **Fetakgomo- Tubatse Local Municipality**

In order to achieve the desired outcomes of this assignment, i.e. develop demand and infrastructure quantification, develop a long-term financial plan, and develop a Capital Expenditure Programme and to compile a Capital Expenditure Framework document the assignment will be phased in two in line with the following steps to be completed:
PHASE 1:

- **Step 1: Align Functional Areas and Priority Development Areas within the municipal area**

  **Aim:**
  - To identify Functional Areas / Priority Development Areas based on the existing Spatial Development Framework, in line with the Spatial Planning and Land Use Management Act (SPLUMA) Principles.
  - To align priority development areas with the functional areas in the municipality.

  **Deliverables:**
  - Spatially referenced (as shape files) Functional Areas / Priority Development Areas and their relevant priority in line with the Spatial Development Framework.
  - A defined hierarchy of priority between Functional Areas / Priority Development Areas.

- **Step 2: Complete Socio-Economic and Spatial Profiling**

  **Aim:**
  - To do develop a socio-economic and spatial profile of the Local Municipal area, highlighting the features that will impact on long-term growth in the municipality.
  - To develop a similar socio-economic and spatial file of each of the Functional Areas identified for the municipality.
  - To determine the population and household growth trends per functional area based on a ten-year horizon for the Local Municipal area.

  **Deliverables:**
  Socio-Economic profile for the Municipal and each functional area describing at least:
  - The demarcation history and spatial relationships of the area/municipality
  - The basic population characteristics
  - Household characteristics
  - Migration and population movement trends.
  - Social and community facilities in the areas, specifically focusing on education, health and safety and security.
  - The settlement footprint and the extent of different uses as depicted in the national land cover datasets hosted by the Department of Environmental Affairs.
Population and household projections for the municipality and each functional area over a 10-year horizon.

An analysis of the customer base in terms of residential and non-residential customers, showing existing access to services and levels of services.

Statement on the extent of backlogs in terms of the municipality's service delivery policies. This should include:

- Infrastructure services
- Community and social services

**Step 3: Compile a land demand and infrastructure investment requirements**

**Aim:**

- To identify current and future available land for development (output from the SDF).
- To determine land demand over a 10 year horizon for the range of land uses and residential mixes appropriate to rural and urban areas - with specific regard to residential, commercial, social and industrial land uses (output from the SDF if the SDF addresses it in the required detail).
- To undertake a high-level demand quantification modelling and infrastructure investment estimation

**Deliverables:**

- Land demand quantification and associated infrastructure levels over a ten-year horizon expressed as:
  - Land use mixes, including residential mixes and densities, business, industrial, recreation and social and community facilities.
  - Land demand expressed as the number of stands required over the programme period
- Year based investment requirements for infrastructure to service the customer demand derived from the land use mixes and land demand showing:
  - Capital expenditure requirements
  - Operating and maintenance expenditure
- The outcomes for the programme period should clearly address, growth demand, service access backlogs and asset renewal requirements for each of the major infrastructure components. The full demand must be addressed and a distinction
between the demand to be addressed by the municipality, other government role players and the private sector should be made,

- **Step 4: Verify the Spatial Development Framework**

  **Aim:**
  
  - To contextual the SDF in terms of the expected growth and land demand
  - To define the policy direction for development for the Municipal area and functional areas based on the SDF
  - To validate population and growth projections with the SDF.

  **Deliverables:**
  
  - Verification of Spatial Development Framework alignment and identify gaps where they exist

- **Step 5: Identify capital projects and prepare a Capital Investment Framework**

  **Aim:**
  
  - To incorporate the current infrastructure projects as identified by the Municipality (if available) and IDP into a Capital Investment Framework.
  - To incorporate infrastructure maintenance requirements as identified by the municipal departments into the Capital Investment Framework.
  - To facilitate the capturing of infrastructure requirements and backlogs into a Capital Investment Framework list / database, based on projected population and land uses within the Functional Areas, by the municipal departments.

  **Deliverables:**
  
  - A project-based capital programme response to functional area demand analysis
  - An unrefined capital project list per Functional Area that can be assessed against the outcomes of Step 4.

**PHASE TWO:**

- **Step 6: Develop a long-term financial model and plan**

  **Aim:**
  
  - To populate a base financial model with the latest audited financial statements from the Municipality.
  - To calibrate the long-term financial planning module.
• To forecast revenue, Operational expenditure and Capital expenditure.
• To enable scenario testing and policy changes.
• To inform a funding envelope of the most sustainable project combination together with the optimal funding mix over a 10-year horizon.

Deliverables:

• A populated and calibrated base financial model.
• Forecasted revenue, Operational expenditure and Capital expenditure.
• Scenario test results.
• Funding envelope and optimal funding mix over a 10-year horizon.

• Step 7: Project Prioritisation and Budget Fitting for Affordability Envelope

Aim:

• To prioritise projects in order to ensure affordability and long-term financial sustainability.
• To prioritise projects in line with the spatial vision of the SDF at the hand of detailed Functional Areas, and individual Functional Area outcomes.
• To budget fit and model the expected requirements over time within the constraints of the Long-Term Financial Model recommendations.

Deliverables:

• Prioritised list of projects based on the municipality's SDF investment priorities and Functional Areas.
• Calculate the affordability envelope from the budget fit process, informed by the affordable budget envelope and funding mix from the Long-Term Financial Model recommendations.

• Step 8: Compile capital expenditure framework per Functional Area

Aim:

• To group prioritised and fitted projects according to Functional Areas into a capital expenditure framework (10-year horizon).

Deliverables:

• List of projects, that is spatially demarcated, related to programmes and Functional Areas (10-year horizon).
- **Step 9: Develop a MTREF (3-year) Project Expenditure Programme**

  **Aim:**
  - To provide a medium term (MTREF) approved budget i.e. the capital expenditure implementation framework.

  **Deliverables:**
  - A 3-year (MTREF) Capital Expenditure Programme, per Functional Area
  - Spatial analysis of the Capital Expenditure Programme
  - Projects in the MTREF capital programme are classified by category (i.e. by sector)
  - Projects in the MTREF capital programme are classified as new/renewal
  - Projects in the MTREF capital programme are classified as % for poor households based on an estimate of customer/demand profile in the area in which they are located.

- **Step 10: Prepare a Capital Expenditure Framework Report**

  **Aim:**
  - To provide a Capital Expenditure Programme report that includes a spatial development vision, functional areas, population projections, future land use estimates, infrastructure requirement and a quantified spatial plan.
  - To indicate how the Capital Expenditure Framework responds to long-term land development needs.
  - To provide strategic outcomes for the different Functional Areas.
  - To guide capital investment in response to the listed preceding steps, according to a 10-year development vision.

  **Deliverables:**
  - Capital Expenditure Framework document.

**5. Assignment Time Frame**

This assignment is expected to be concluded by the 31 May 2021 and the total duration of the assignment shall be **four (4) months**.
6. **Project Deliverables**

The successful bidder is expected to deliver the following:

a. Content working papers in line with steps 1 – 5 above (compiled into a Phase 1 Draft CEF Document), in accordance with the content specifications of the “Guideline to preparing a Capital Expenditure Framework (Version 10.0)” prepared by the Department of Cooperative Governance, dated January 2019, by 31 May 2020.

7. **Competency and Expertise Requirements**

In order to execute this assignment successfully, the service provider must put together a team that possess the required knowledge, experience and expertise. The project team must include the following key staff:

- **Infrastructure and Land Use Planning Specialist**
  
  Registered Professional Town and Regional Planner (SACPLAN) with a Bachelor’s degree in Town and Regional Planning (or equivalent) and any post graduate qualification in a related planning discipline.
  
  A minimum of 10-years' post graduate experience in integrated land use and infrastructure planning, capital budget planning and prioritization.
  
  Must have demonstrable experience in the execution of strategic planning and preparation of infrastructure investment plans and frameworks in different spheres of government, including the preparation of Built Environment Performance Plans, an understanding of National Treasury’s’ requirements for the preparation and finalization of annual municipal budgets, as well as the integration of the budgeting process with the requirements of SPLUMA.

- **Infrastructure and Integrated Planning Engineering Specialist**
  
  Registered Professional Engineer (ECSA) with a post graduate degree in Civil Engineering or related specialist engineering field (MEng or equivalent).
  
  Minimum of 10-years' post graduate experience, including integrated infrastructure planning, capital project investment planning, analysis, prioritization and budget planning.
  
  Proven experience and knowledge of the full lifecycle of infrastructure planning and design, including project conceptualization, project preparation and master planning.

- **Financial Analyst**
  
  Registered Chartered Accountant with a minimum of 5-years’ experience in the financial modelling and the preparation of long-term financial plans in the municipal environment.

- **Social Profiling and Infrastructure Demand Analyst**
Bachelor’s degree qualification in Town Planning or Civil Engineering. Registration with relevant professional body as a professional planner or professional engineer.

Minimum of 10-years’ experience in development analysis and planning, socio-economic profiling, infrastructure demand analysis, infrastructure investment planning and analysis, development impact assessments, local government financial planning and analysis.

- **Support staff**

At least one junior team member per municipality with a minimum of 3-years’ post graduate experience with a bachelor's or equivalent degree in Town and Regional Planning.

**The successful bidder must provide documented proof of the following:**

- Successful completion of long-term (7 to 10 year) financial plans in a municipal environment.
- Registration with the Financial Services Conduct Authority (formerly FSB) as a long-term financial planner.
- Relevant local government experience knowledge of municipal powers and functions, service delivery and governance related issues in the South African local government sector.
- Sound understanding of the IUDF and demonstrable knowledge of the execution of CEF’s in line the relevant guideline documents.
- Successful completion of social profiling and infrastructure demand planning and analysis projects.
- Access to a spatially enabled database with the ability to capture capital project needs for at least a 10-year planning horizon. The database should enable the analysis and prioritisation of all capital needs within the municipal boundaries, with the ability to conduct detailed analysis and prioritisation of capital needs and spatial targeting with identified priority areas within the municipality. The spatial analysis should include the evaluation of projects based national, provincial and municipal strategic objectives. CEF project lists should be presented in standard National Treasury formats, such as SA36, SA6 and MSCOA IDP reports.

8. **Reporting Requirements**

To facilitate the performance of Service Provider and monitor their scope of work, CoGTA will:

- Enter into a Service Level Agreement (SLA) with the DBSA that will govern the relationship between CoGTA and the service provider.
- Establish a Project Steering Committee to manage, monitor and oversee the project.
9. Contract Period and Adjustment of Scope

- As discussed in Section 5, the contract with the successful service provider will be for the period of four (4) months;
- The professional service provider will be contracted by the DBSA which will be responsible for the contract administration and the management of project finances. The delivery of project outputs (what the service provider will be contracted to do) will be managed by the designated official within the Chief Directorate: Urban Development Planning within the Department of Cooperative Governance.
- The department may wish to adjust the precise scope and timeframes of this project subject to compliance with SCM and audit processes and the inception date of the project.
- There will be no extension of the project financially once agreed between the department and the service provider, but scope may be given for extension of the timeframe if so required.

10. Form of proposal

The bidder’s proposal will be measured in terms of the level of detail, innovation, approach and methodology, practically in terms of the implementation as well as the ability to meet the project timelines.

Bidders must include a detailed work-plan/methodology with the detailed budget reflecting all costs and the implementation plan as per the deliverables. Failure to submit the detailed work-plan with implementation plan and budget containing cost-breakdowns as per deliverables (as per proposal) together with the bid will result in the bidder’s bid to be viewed as invalid and therefore rejected.

The following information must be included in the detailed work plan / methodology:

- **Project implementation Plan that indicates the following:**
  - Clearly defined milestones that are aligned to each of the key objectives as well as each of the expected outputs/deliverables as outlined in the scope of work;
  - Well defined timelines for each of the activities and deliverables, and;
  - Allocation of cost-breakdown of each of the activities and deliverables.

- **Proposed Governance Arrangements to support project implementation which may include but not limited to:**
  - The establishment of a project steering committee, and;
- The establishment of a project management team inclusive of the service provider and the CoGTA team.
- Risk management of the project, detailing mitigation measures on how to deliver on the project on time given the COVID-19 pandemic.

**References and project experience:**

Previous and current similar contracts awarded to the bidder

- At least three (3) client references in relation to integrated municipal land use and infrastructure planning, capital budget planning and prioritization preparation of statutory reports in relation to municipal capital investment planning such as Built Environment Performance Plans (BEPPs), Capital Expenditure Frameworks (CEFs), Long Term Financial Plans (LTFPs) etc.
Annexure A

PRICING SCHEDULE

(Note: This section must be separated from the pre-qualifying and functional electronic proposal. ELECTRONIC PRICING SUBMISSION MUST BE IN A SEPARATE FOLDER MARKED “PRICING”. Failure to separate this, will lead to disqualification of the bid)

This template must be completed in full and included as Annexure A to Pricing Proposal submitted. Failure to complete this form in full may result in the disqualification of the Bid.

This assignment (phase 1) will be based on a lump sum (fixed price) contract in ZAR Rands. Bidders are required to price total contract price using the following table:

<table>
<thead>
<tr>
<th>PHASE 1</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Reference</strong></td>
</tr>
<tr>
<td>-----------</td>
</tr>
<tr>
<td>1.</td>
</tr>
<tr>
<td>2.</td>
</tr>
<tr>
<td>3.</td>
</tr>
<tr>
<td>4.</td>
</tr>
<tr>
<td>5.</td>
</tr>
<tr>
<td><strong>SUB TOTAL</strong></td>
</tr>
</tbody>
</table>

****** rates/prices quoted are inclusive of all costs, Professional fees including disbursements (travel, accommodation, printing and stationery and any relevant administrative work)
THE RATES FOR PHASE 2 IS FOR THE CLIENT INFORMATION ONLY AND DOESN’T FORM PART OF THE SCOPE OF WORK AND PRICE OFFERED UNDER THIS BID

<table>
<thead>
<tr>
<th>Reference</th>
<th>Activity</th>
<th>No of ICM’s</th>
<th>Rate/ICM</th>
</tr>
</thead>
<tbody>
<tr>
<td>6.</td>
<td>Step 6: Develop a long-term financial model and plan</td>
<td>4</td>
<td></td>
</tr>
<tr>
<td>7.</td>
<td>Step 7: Project Prioritisation and Budget Fitting for Affordability Envelope</td>
<td>4</td>
<td></td>
</tr>
<tr>
<td>8.</td>
<td>Step 8: Compile capital expenditure framework per Functional Area</td>
<td>4</td>
<td></td>
</tr>
<tr>
<td>9.</td>
<td>Step 9: Develop a MTREF (3-year) Project Expenditure Programme</td>
<td>4</td>
<td></td>
</tr>
<tr>
<td>10.</td>
<td>Step 10: Prepare a Capital Expenditure Framework Report</td>
<td>4</td>
<td></td>
</tr>
</tbody>
</table>

Note: The Tenderer is to attach an Electronic Breakdown of the total proposed fee per deliverable to this page. The breakdown is to clearly indicate the scope of work or key deliverable, the elements of the scope of work, the resources applied, the estimated duration and rates of the applied resources for each element of the scope of work.

I, the undersigned, do hereby declare that the above is a proper pricing data forming part of this Contract Document upon which my/our tender for RFP272/2020

SIGNED ON BEHALF OF TENDERER:__________________________

Date:____________
DECLARATION OF INTEREST

1. Any legal person, including persons employed by the state¹, or persons having a kinship with persons employed by the state, including a blood relationship, may make an offer or offers in terms of this invitation to bid (includes a price quotation, advertised competitive bid, limited bid or proposal). In view of possible allegations of favouritism, should the resulting bid, or part thereof, be awarded to persons employed by the state, or to persons connected with or related to them, it is required that the bidder or his/her authorised representative declare his/her position in relation to the evaluating/adjudicating authority where-

- the bidder is employed by the state; and/or

- the legal person on whose behalf the bidding document is signed, has a relationship with persons/a person who are/is involved in the evaluation and or adjudication of the bid(s), or where it is known that such a relationship exists between the person or persons for or on whose behalf the declarant acts and persons who are involved with the evaluation and or adjudication of the bid.

2. In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.

2.1 Full Name of bidder or his or her representative: ……………………………………………………………………………

2.2 Identity Number: ..............................................................................................................................................

2.3 Position occupied in the Company (director, trustee, shareholder²): .................................................................

2.4 Company Registration Number: ........................................................................................................................

2.5 Tax Reference Number: ......................................................................................................................................

2.6 VAT Registration Number: ...................................................................................................................................

2.6.1 The names of all directors / trustees / shareholders / members, their individual identity numbers, tax reference numbers and, if applicable, employee / persal numbers must be indicated in paragraph 3 below.

¹“State” means –
(a) any national or provincial department, national or provincial public entity or constitutional institution within the meaning of the Public Finance Management Act, 1999 (Act No. 1 of 1999);
(b) any municipality or municipal entity;
(c) provincial legislature;
(d) national Assembly or the national Council of provinces; or
(e) Parliament.
2.7 Are you or any person connected with the bidder presently employed by the state? **YES / NO**

2.7.1 If so, furnish the following particulars:

Name of person / director / trustee / shareholder / member: ..................................................
Name of state institution at which you or the person connected to the bidder is employed: ..................................................
Position occupied in the state institution: ..................................................

Any other particulars:

..........................................................................................................................
..........................................................................................................................
..........................................................................................................................

2.7.2 If you are presently employed by the state, did you obtain **YES / NO** the appropriate authority to undertake remunerative work outside employment in the public sector?

2.7.2.1 If yes, did you attached proof of such authority to the bid **YES / NO** document?

(Note: Failure to submit proof of such authority, where applicable, may result in the disqualification of the bid.

2.7.2.2 If no, furnish reasons for non-submission of such proof:

..........................................................................................................................
..........................................................................................................................
..........................................................................................................................

2.8 Did you or your spouse, or any of the company’s directors / trustees / shareholders / members or their spouses conduct business with the state in the previous twelve months? **YES / NO**

2.8.1 If so, furnish particulars:

..........................................................................................................................
..........................................................................................................................
..........................................................................................................................

2.9 Do you, or any person connected with the bidder, have any relationship (family, friend, other) with a person employed by the state and who may be involved with the evaluation and or adjudication of this bid? **YES / NO**

2.9.1 If so, furnish particulars.

..........................................................................................................................
2.10 Are you, or any person connected with the bidder, YES/NO aware of any relationship (family, friend, other) between any other bidder and any person employed by the state who may be involved with the evaluation and or adjudication of this bid?

2.10.1 If so, furnish particulars.

………………………………………………………………
………………………………………………………………
………………………………………………………………

2.11 Do you or any of the directors / trustees / shareholders / members YES/NO of the company have any interest in any other related companies whether or not they are bidding for this contract?

2.11.1 If so, furnish particulars:

………………………………………………………………
………………………………………………………………
………………………………………………………………

3. Full details of directors / trustees / members / shareholders.

<table>
<thead>
<tr>
<th>Full Name</th>
<th>Identity Number</th>
<th>Personal Reference Number</th>
<th>State Number</th>
<th>Employee / Persal</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td></td>
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<td></td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

4. DECLARATION

I, THE UNDERSIGNED (NAME)………………………………………………………………………………

CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 2 and 3 ABOVE IS CORRECT.

I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 23 OF THE GENERAL CONDITIONS OF CONTRACT SHOULD THIS DECLARATION PROVE TO BE FALSE.

…………………………………  ……………………………………
Signature                     Date

…………………………………  ……………………………………
Position                     Name of bidder
PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL PROCUREMENT REGULATIONS 2017

1.1.6.1

This preference form must form part of all bids invited. It contains general information and serves as a claim form for preference points for Broad-Based Black Economic Empowerment (B-BBEE) Status Level of Contribution


1. GENERAL CONDITIONS

1.1 The following preference point systems are applicable to all bids:

- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
- the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

1.2

a) The value of this bid is estimated not exceed R50 000 000 (all applicable taxes included) and therefore the 80/20 preference point system shall be applicable; or

b) Either the 80/20 or 90/10 preference point system will be applicable to this tender

1.3 Points for this bid shall be awarded for:

(e) Price; and

(f) B-BBEE Status Level of Contributor.

1.4 The maximum points for this bid are allocated as follows:

<table>
<thead>
<tr>
<th>Points</th>
<th>PRICE</th>
<th>B-BBEE STATUS LEVEL OF CONTRIBUTION</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>80</td>
<td>20</td>
</tr>
</tbody>
</table>

Total points for Price and B-BBEE must not exceed 100

1.5 Failure on the part of a bidder to submit proof of B-BBEE Status level of contributor together with the bid, will be interpreted to mean that preference points for B-BBEE status level of contribution are not claimed.

1.6 The purchaser reserves the right to require of a bidder, either before a bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the purchaser.
2. DEFINITIONS

(a) “B-BBEE” means broad-based black economic empowerment as defined in section 1 of the Broad-Based Black Economic Empowerment Act;

(b) “B-BBEE status level of contributor” means the B-BBEE status of an entity in terms of a code of good practice on black economic empowerment, issued in terms of section 9(1) of the Broad-Based Black Economic Empowerment Act;

(c) “bid” means a written offer in a prescribed or stipulated form in response to an invitation by an organ of state for the provision of goods or services, through price quotations, advertised competitive bidding processes or proposals;

(d) “Broad-Based Black Economic Empowerment Act” means the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);

(e) “EME” means an Exempted Micro Enterprise in terms of a code of good practice on black economic empowerment issued in terms of section 9 (1) of the Broad-Based Black Economic Empowerment Act;

(f) “functionality” means the ability of a tenderer to provide goods or services in accordance with specifications as set out in the tender documents.

(g) “prices” includes all applicable taxes less all unconditional discounts;

(h) “proof of B-BBEE status level of contributor” means:
   1) B-BBEE Status level certificate issued by an authorized body or person;
   2) A sworn affidavit as prescribed by the B-BBEE Codes of Good Practice;
   3) Any other requirement prescribed in terms of the B-BBEE Act;

(i) “QSE” means a qualifying small business enterprise in terms of a code of good practice on black economic empowerment issued in terms of section 9 (1) of the Broad-Based Black Economic Empowerment Act;

(j) “rand value” means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes;

3. POINTS AWARDED FOR PRICE

3.1 THE 80/20 OR 90/10 PREFERENCE POINT SYSTEMS

A maximum of 80 or 90 points is allocated for price on the following basis:

\[
P_s = 80 \left(1 - \frac{P_t - P_{\min}}{P_{\min}}\right) \quad \text{or} \quad P_s = 90 \left(1 - \frac{P_t - P_{\min}}{P_{\min}}\right)
\]

Where

\[
P_s \quad = \quad \text{Points scored for price of bid under consideration}
\]
Pt = Price of bid under consideration
Pmin = Price of lowest acceptable bid

4. POINTS AWARDED FOR B-BBEE STATUS LEVEL OF CONTRIBUTOR

4.1 In terms of Regulation 6 (2) and 7 (2) of the Preferential Procurement Regulations, preference points must be awarded to a bidder for attaining the B-BBEE status level of contribution in accordance with the table below:

<table>
<thead>
<tr>
<th>B-BBEE Status Level of Contributor</th>
<th>Number of points</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>20</td>
</tr>
<tr>
<td>2</td>
<td>18</td>
</tr>
<tr>
<td>3</td>
<td>14</td>
</tr>
<tr>
<td>4</td>
<td>12</td>
</tr>
<tr>
<td>5</td>
<td>8</td>
</tr>
<tr>
<td>6</td>
<td>6</td>
</tr>
<tr>
<td>7</td>
<td>4</td>
</tr>
<tr>
<td>8</td>
<td>2</td>
</tr>
<tr>
<td>Non-compliant contributor</td>
<td>0</td>
</tr>
</tbody>
</table>

5. BID DECLARATION

5.1 Bidders who claim points in respect of B-BBEE Status Level of Contribution must complete the following:

6. B-BBEE STATUS LEVEL OF CONTRIBUTOR CLAIMED IN TERMS OF PARAGRAPHS 1.4 AND 4.1

6.1 B-BBEE Status Level of Contributor: ... (maximum of 10 or 20 points)

(Points claimed in respect of paragraph 7.1 must be in accordance with the table reflected in paragraph 4.1 and must be substantiated by relevant proof of B-BBEE status level of contributor.

7. SUB-CONTRACTING

7.1 Will any portion of the contract be sub-contracted?
7.1.1 If yes, indicate:

i) What percentage of the contract will be subcontracted: \[
\text{\%}
\]

ii) The name of the sub-contractor:

iii) The B-BBEE status level of the sub-contractor:

iv) Whether the sub-contractor is an EME or QSE

(Tick applicable box)

| YES | NO |

v) Specify, by ticking the appropriate box, if subcontracting with an enterprise in terms of Preferential Procurement Regulations, 2017:

<table>
<thead>
<tr>
<th>Designated Group: An EME or QSE which is at last 51% owned by:</th>
<th>EME</th>
<th>QSE</th>
</tr>
</thead>
<tbody>
<tr>
<td>Black people</td>
<td>√</td>
<td>√</td>
</tr>
<tr>
<td>Black people who are youth</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Black people who are women</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Black people with disabilities</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Black people living in rural or underdeveloped areas or townships</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Cooperative owned by black people</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Black people who are military veterans</td>
<td></td>
<td></td>
</tr>
<tr>
<td>OR</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Any EME</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Any QSE</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

8. DECLARATION WITH REGARD TO COMPANY/FIRM

8.1 Name of company/firm:

8.2 VAT registration number:

8.3 Company registration number:

8.4 TYPE OF COMPANY/ FIRM
Partnership/Joint Venture / Consortium
☐ One person business/sole propriety
☐ Close corporation
☐ Company
☐ (Pty) Limited
[TICK APPLICABLE BOX]

8.5 DESCRIBE PRINCIPAL BUSINESS ACTIVITIES

………………………………………………………………………………………………………………
………………………………………………………………………………………………………………
………………………………………………………………………………………………………………
………………………………………………………………………………………………………………

8.6 COMPANY CLASSIFICATION

☐ Manufacturer
☐ Supplier
☐ Professional service provider
☐ Other service providers, e.g. transporter, etc.
[TICK APPLICABLE BOX]

8.7 Total number of years the company/firm has been in business:.................................

8.8 I/we, the undersigned, who is / are duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the B-BBE status level of contributor indicated in paragraphs 1.4 and 6.1 of the foregoing certificate, qualifies the company/firm for the preference(s) shown and I / we acknowledge that:

i) The information furnished is true and correct;

ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;

iii) In the event of a contract being awarded as a result of points claimed as shown in paragraphs 1.4 and 6.1, the contractor may be required to furnish documentary proof to the satisfaction of the purchaser that the claims are correct;

iv) If the B-BBEE status level of contributor has been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the purchaser may, in addition to any other remedy it may have –

   (a) disqualify the person from the bidding process;
   (b) recover costs, losses or damages it has incurred or suffered as a result of that person’s conduct;
   (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
   (d) recommend that the bidder or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted by the National Treasury from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been
applied; and
(e) forward the matter for criminal prosecution.

WITNESSES
1. .............................................
2. .............................................

SIGNATURE(S) OF BIDDERS(S)

DATE:.............................................
ADDRESS:.............................................

.............................................
.............................................
DECLARATION OF BIDDER’S PAST SUPPLY CHAIN MANAGEMENT PRACTICES

1. This Standard Bidding Document must form part of all bids invited.

2. It serves as a declaration to be used by institutions in ensuring that when goods and services are being procured, all reasonable steps are taken to combat the abuse of the supply chain management system.

3. The bid of any bidder may be disregarded if that bidder, or any of its directors have:
   
   a. abused the institution’s supply chain management system;
   b. committed fraud or any other improper conduct in relation to such system; or
   c. failed to perform on any previous contract.

4. In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.

<table>
<thead>
<tr>
<th>Item</th>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>4.1</td>
<td>Is the bidder or any of its directors listed on the National Treasury’s Database of Restricted Suppliers as companies or persons prohibited from doing business with the public sector? (Companies or persons who are listed on this Database were informed in writing of this restriction by the Accounting Officer/Authority of the institution that imposed the restriction after the audi alteram partem rule was applied). The Database of Restricted Suppliers now resides on the National Treasury’s website (<a href="http://www.treasury.gov.za">www.treasury.gov.za</a>) and can be accessed by clicking on its link at the bottom of the home page.</td>
<td>Yes</td>
<td>No</td>
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<tr>
<td>4.1.1</td>
<td>If so, furnish particulars:</td>
<td></td>
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<tr>
<td>4.2</td>
<td>Is the bidder or any of its directors listed on the Register for Tender Defaulters in terms of section 29 of the Prevention and Combating of Corrupt Activities Act (No 12 of 2004)? The Register for Tender Defaulters can be accessed on the National Treasury’s website (<a href="http://www.treasury.gov.za">www.treasury.gov.za</a>) by clicking on its link at the bottom of the home page.</td>
<td>Yes</td>
<td>No</td>
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<td>4.2.1</td>
<td>If so, furnish particulars:</td>
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<td>4.3</td>
<td>Was the bidder or any of its directors convicted by a court of law (including a court outside of the Republic of South Africa) for fraud or corruption during the past five years?</td>
<td>Yes</td>
<td>No</td>
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<td>If so, furnish particulars:</td>
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<td>4.4</td>
<td>Was any contract between the bidder and any organ of state terminated during the past five years on account of failure to perform on or comply with the contract?</td>
<td>Yes</td>
<td>No</td>
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<td></td>
<td>If so, furnish particulars:</td>
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**CERTIFICATION**

I, THE UNDERSIGNED (FULL NAME)……………………………………………………………………………….

CERTIFY THAT THE INFORMATION FURNISHED ON THIS DECLARATION FORM IS TRUE AND CORRECT.

I ACCEPT THAT, IN ADDITION TO CANCELLATION OF A CONTRACT, ACTION MAY BE TAKEN AGAINST ME SHOULD THIS DECLARATION PROVE TO BE FALSE.

<table>
<thead>
<tr>
<th>Signature</th>
<th>Date</th>
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<tr>
<th>Position</th>
<th>Name of Bidder</th>
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</table>
CERTIFICATE OF INDEPENDENT BID DETERMINATION

1 This Standard Bidding Document (SBD) must form part of all bids¹ invited.

2 Section 4 (1) (b) (iii) of the Competition Act No. 89 of 1998, as amended, prohibits an agreement between, or concerted practice by, firms, or a decision by an association of firms, if it is between parties in a horizontal relationship and if it involves collusive bidding (or bid rigging).² Collusive bidding is a *pe se* prohibition meaning that it cannot be justified under any grounds.

3 Treasury Regulation 16A9 prescribes that accounting officers and accounting authorities must take all reasonable steps to prevent abuse of the supply chain management system and authorizes accounting officers and accounting authorities to:
   a. disregard the bid of any bidder if that bidder, or any of its directors have abused the institution’s supply chain management system and or committed fraud or any other improper conduct in relation to such system.
   b. cancel a contract awarded to a supplier of goods and services if the supplier committed any corrupt or fraudulent act during the bidding process or the execution of that contract.

4 This SBD serves as a certificate of declaration that would be used by institutions to ensure that, when bids are considered, reasonable steps are taken to prevent any form of bid-rigging.

5 In order to give effect to the above, the attached Certificate of Bid Determination (SBD 9) must be completed and submitted with the bid:

¹ Includes price quotations, advertised competitive bids, limited bids and proposals.

² Bid rigging (or collusive bidding) occurs when businesses, that would otherwise be expected to compete, secretly conspire to raise prices or lower the quality of goods and / or services for purchasers who wish to acquire goods and / or services through a bidding process. Bid rigging is, therefore, an agreement between competitors not to compete.
CERTIFICATE OF INDEPENDENT BID DETERMINATION

I, the undersigned, in submitting the accompanying bid:

________________________________________________________

(Bid Number and Description)

in response to the invitation for the bid made by:

________________________________________________________

(Name of Institution)

do hereby make the following statements that I certify to be true and complete in every respect:

I certify, on behalf of:______________________________________________________________that:

(Name of Bidder)

1. I have read and I understand the contents of this Certificate;
2. I understand that the accompanying bid will be disqualified if this Certificate is found not to be true and complete in every respect;
3. I am authorized by the bidder to sign this Certificate, and to submit the accompanying bid, on behalf of the bidder;
4. Each person whose signature appears on the accompanying bid has been authorized by the bidder to determine the terms of, and to sign the bid, on behalf of the bidder;
5. For the purposes of this Certificate and the accompanying bid, I understand that the word “competitor” shall include any individual or organization, other than the bidder, whether or not affiliated with the bidder, who:

(a) has been requested to submit a bid in response to this bid invitation;
(b) could potentially submit a bid in response to this bid invitation, based on their qualifications, abilities or experience; and
(c) provides the same goods and services as the bidder and/or is in the same line of business as the bidder

6. The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However communication between partners in a joint venture or consortium³ will not be construed as collusive bidding.

7. In particular, without limiting the generality of paragraphs 6 above, there has been no consultation, communication, agreement or arrangement with any competitor regarding:
   (a) prices;
   (b) geographical area where product or service will be rendered (market allocation)
   (c) methods, factors or formulas used to calculate prices;
   (d) the intention or decision to submit or not to submit, a bid;
   (e) the submission of a bid which does not meet the specifications and conditions of the bid; or
   (f) bidding with the intention not to win the bid.

8. In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications and conditions or delivery particulars of the products or services to which this bid invitation relates.

9. The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.

³ Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.
10. I am aware that, in addition and without prejudice to any other remedy provided to
combat any restrictive practices related to bids and contracts, bids that are
suspicious will be reported to the Competition Commission for investigation and
possible imposition of administrative penalties in terms of section 59 of the
Competition Act No 89 of 1998 and or may be reported to the National Prosecuting
Authority (NPA) for criminal investigation and or may be restricted from conducting
business with the public sector for a period not exceeding ten (10) years in terms
of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any
other applicable legislation.

Signature
Date

Position
Name of Bidder
Bidders are required to include, as Annexure F to their Bids, certified copies of all relevant CIPC registration documents listing all members with percentages, in the case of a close corporation.
Bidders are required, as annexure G to their Bids, to submit certified copies of the latest share certificates of all relevant companies.
Bidders which submit Bids as an unincorporated joint venture, consortium or other association of persons are required to submit, as Annexure H, a breakdown of how the percentage (%) fees and work will be split between the various people or entities which constitute the Bidder.
Bidders are required to include, as Annexure I to their Bids, supporting documents to their responses to the Pre- Qualifying Criteria and Evaluation Criteria.

Where the supporting document is the profile of a member of the Bidder’s proposed team, this should be indicated.
[General Conditions of Contract]

PLEASE NOTE THAT ALL BIDDERS ARE REQUIRED TO READ THROUGH THE GENERAL CONDITIONS OF CONTRACT PRESCRIBED BY THE NATIONAL TREASURY. SUCH GENERAL CONDITIONS OF CONTRACT CAN BE ACCESSED ON THE NATIONAL TREASURY WEBSITE.

PLEASE NOTE FURTHER THAT ALL BIDDERS MUST ENSURE THAT THEY ARE WELL ACQUINTED WITH THE RIGHTS AND OBLIGATIONS OF ALL PARTIES INVOLVED IN DOING BUSINESS WITH GOVERNMENT.

NOTE: All Bidders are required to confirm *(Tick applicable box)* below:

<table>
<thead>
<tr>
<th>Item</th>
<th>YES</th>
<th>NO</th>
</tr>
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<tbody>
<tr>
<td>Is the Bidder familiar with the General Conditions of Contract prescribed by the National Treasury?</td>
<td></td>
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</table>
Tax Compliant Status and CSD Registration Requirements

ALL PROSPECTIVE BIDDERS MUST HAVE A TAX COMPLIANT STATUS EITHER ON THE CENTRAL SUPPLIER DATABASE (CSD) OF THE NATIONAL TREASURY OR SARS E FILING PRIOR TO APPOINTMENT/AWARD OF THE BID.

REGISTRATION ON THE CSD SITE OF THE NATIONAL TREASURY IS A COMPULSORY REQUIREMENT FOR A BIDDER TO BE APPOINTED, TO CONDUCT BUSINESS WITH THE DBSA. THE ONUS IS ON THE SUCCESSFUL BIDDER TO REGISTER ON THE CSD SITE AND PROVIDE PROOF OF SUCH REGISTRATION PRIOR TO APPOINTMENT/AWARD OF THE BID.

CSD Registration Number: