

<b>YOU ARE HEREBY INVITED TO SUBMIT A BID TO MEET THE REQUIREMENTS OF THE DEVELOPMENT BANK OF SOUTHERN AFRICA LIMITED</b>	
<b>BID NUMBER:</b>	RFP261/2021
<b>NON - COMPULSORY BRIEFING SESSION</b>	None-applicable
<b>CLOSING DATE:</b>	5 November 2021
<b>CLOSING TIME:</b>	23H55 via <b>ONE DRIVE LINK</b>
<b>VALIDITY PERIOD:</b>	120 days
<b>DESCRIPTION OF BID:</b>	APPOINTMENT OF A SERVICE PROVIDER TO ASSIST WITH THE LOCALISATION AND INSTITUTIONALISATION OF THE UNITED NATIONS SUSTAINABLE DEVELOPMENT GOALS IN SIX (6) INTERMEDIATE CITY MUNICIPALITIES
<b>BID SUBMISSIONS ELECTRONICALLY:</b>	<ol style="list-style-type: none"> <li>1. Bidders are advised to kindly issue Tender Submission Link requests and all other enquiries to <a href="mailto:fikileSCM@dbsa.org">fikileSCM@dbsa.org</a> – ONLY</li> <li>2. No – Tender Submission Link requests will be accepted after 16h00 on the 2 November 2021. Any requests after the stipulated date and time will be disregarded.</li> <li>3. Bidders will thereafter receive a OneDrive Link to upload their tender submission documents electronically.</li> <li>4. Bidders who have received submission Links that have errors, will be provided with new Links for use.</li> </ol>
<b>NAME OF BIDDER:</b>	
<b>CONTACT PERSON:</b>	
<b>EMAIL ADDRESS:</b>	
<b>TELEPHONE NUMBER:</b>	
<b>FAX NUMBER:</b>	
<b>BIDDER'S STAMP OR SIGNATURE</b>	



The Development Bank of Southern Africa has a Zero Tolerance on Fraud and Corruption. Report any incidents of Fraud and Corruption to Whistle Blowers on any of the following:

TollFree : 0800 20 49 33  
Email : [dbsa@whistleblowing.co.za](mailto:dbsa@whistleblowing.co.za)  
Free Post : Free Post KZN 665 | Musgrave | 4062  
SMS : 33490

## Table of Contents

<b>PART A .....</b>	<b>3</b>
<b>PART B .....</b>	<b>6</b>
<b>PART C.....</b>	<b>7</b>
<b>PART D .....</b>	<b>9</b>
<b>PART E.....</b>	<b>45</b>
<b>Annexure A.....</b>	<b>45</b>
<b>Annexure B.....</b>	<b>55</b>
<b>Annexure C.....</b>	<b>58</b>
<b>Annexure D.....</b>	<b>63</b>
<b>Annexure E .....</b>	<b>66</b>
<b>Annexure F .....</b>	<b>70</b>
<b>Annexure G.....</b>	<b>71</b>
<b>Annexure H .....</b>	<b>72</b>
<b>Annexure I .....</b>	<b>73</b>
<b>Annexure J .....</b>	<b>74</b>
<b>Annexure K.....</b>	<b>75</b>

## PART A INVITATION TO BID

**YOU ARE HEREBY INVITED TO BID FOR REQUIREMENTS OF DEVELOPMENT BANK OF SOUTHERN AFRICA LIMITED ("DBSA")**

**BID NUMBER: RFP261/2021**

**CLOSING DATE: 5 NOVEMBER 2021**

**CLOSING TIME: 23H55**

**DESCRIPTION: APPOINTMENT OF A SERVICE PROVIDER TO ASSIST WITH THE LOCALISATION AND INSTITUTIONALISATION OF THE UNITED NATIONS SUSTAINABLE DEVELOPMENT GOALS IN SIX (6) INTERMEDIATE CITY MUNICIPALITIES**

---

**The successful Bidder will be required to conclude a service level agreement with the DBSA**

---

**Bidders should ensure that Bids are submitted timeously and to the correct One Drive link provided by the SCM Official. If the Bid is late, it will not be considered for evaluation.**

**The One Drive link provided will be valid till 23H55 on the closing date.**

**ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS – (NOT TO BE RE-TYPED)**

**THIS BID IS SUBJECT TO THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER SPECIAL CONDITIONS OF CONTRACT, WHICH ARE SET OUT IN PART C OF THIS DOCUMENT.**

**THE FOLLOWING PARTICULARS MUST BE FURNISHED (FAILURE TO DO SO MAY RESULT IN YOUR BID BEING DISQUALIFIED).**

**BIDDERS THAT ARE UNINCORPORATED CONSORTIA CONSISTING OF MORE THAN ONE LEGAL ENTITY MUST SELECT A LEAD ENTITY AND FURNISH THE DETAILS OF THE LEAD ENTITY, UNLESS OTHERWISE SPECIFIED.**

<b>NAME OF BIDDER AND EACH ENTITY IN CONSORTIUM:</b>	
<b>POSTAL ADDRESS:</b>	
<b>STREET ADDRESS:</b>	
<b>CONTACT PERSON (FULL NAME):</b>	
<b>EMAIL ADDRESS:</b>	

<b>TELEPHONE NUMBER:</b>				
<b>FAX NUMBER:</b>				
<b>BIDDER REGISTRATION NUMBER OR REGISTRATION NUMBER OF EACH ENTITY IN CONSORTIUM</b>				
<b>BIDDER VAT REGISTRATION NUMBER OR VAT REGISTRATION NUMBER OF EACH ENTITY IN CONSORTIUM</b>				
<b>BBBEE STATUS LEVEL VERIFICATION CERTIFICATE /BBBEE STATUS LEVEL SWORN AFFIDAVIT SUBMITTED?</b>  <b>[TICK APPLICABLE BOX]</b>	<b>YES</b>		<b>NO</b>	
<b>IF YES, WHO ISSUED THE CERTIFICATE?</b>				
<b>REGISTERED WITH THE NATIONAL TREASURY CSD</b>  <b>[TICK APPLICABLE BOX]</b>	<b>YES</b>		<b>NO</b>	
<b>CSD REGISTRATION NUMBER</b>				
<b>TAX COMPLIANCE STATUS PIN (TCS) NUMBER ISSUED BY SARS</b>				

<b>1.1.1 ARE YOU THE ACCREDITED REPRESENTATIVE IN SOUTH AFRICA FOR THE GOODS /SERVICES /WORKS OFFERED?</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No  [IF YES ENCLOSE PROOF]
<b>1.1.2 ARE YOU A FOREIGN BASED SUPPLIER FOR THE GOODS /SERVICES /WORKS OFFERED?</b>	<input type="checkbox"/> Yes <input type="checkbox"/> No  [IF YES ANSWER PART B:3 BELOW]
<b>1.1.3 SIGNATURE OF BIDDER</b>	.....
<b>1.1.4 DATE</b>	
<b>1.1.5 FULL NAME OF AUTHORISED REPRESENTATIVE</b>	
<b>1.1.6 CAPACITY UNDER WHICH THIS BID IS SIGNED (Attach proof of authority to sign this bid; e.g. resolution of directors, etc.)</b>	

## PART B

### TERMS AND CONDITIONS FOR BIDDING

<b>1. BID SUBMISSION:</b>
<p><b>1.1.</b> BIDS MUST BE SUBMITTED VIA ONE DRIVE LINK. LATE BIDS WILL NOT BE ACCEPTED FOR CONSIDERATION.</p> <p><b>1.2.</b> ALL BIDS MUST BE SUBMITTED ON THE OFFICIAL FORMS PROVIDED– (NOT TO BE RE-TYPED) OR ONLINE</p> <p><b>1.3.</b> BIDDERS MUST REGISTER ON THE CENTRAL SUPPLIER DATABASE (CSD) TO UPLOAD MANDATORY INFORMATION NAMELY: (BUSINESS REGISTRATION/ DIRECTORSHIP/ MEMBERSHIP/IDENTITY NUMBERS; TAX COMPLIANCE STATUS; AND BANKING INFORMATION FOR VERIFICATION PURPOSES). B-BBEE CERTIFICATE OR SWORN AFFIDAVIT FOR B-BBEE MUST BE SUBMITTED TO BIDDING INSTITUTION.</p> <p><b>1.4.</b> WHERE A BIDDER IS NOT REGISTERED ON THE CSD, MANDATORY INFORMATION NAMELY: (BUSINESS REGISTRATION/ DIRECTORSHIP/ MEMBERSHIP/IDENTITY NUMBERS; TAX COMPLIANCE STATUS MAY NOT BE SUBMITTED WITH THE BID DOCUMENTATION. B-BBEE CERTIFICATE OR SWORN AFFIDAVIT FOR B-BBEE MUST BE SUBMITTED TO BIDDING INSTITUTION.</p> <p><b>1.5.</b> THIS BID IS SUBJECT TO THE PREFERENTIAL PROCUREMENT POLICY FRAMEWORK ACT 2000 AND THE PREFERENTIAL PROCUREMENT REGULATIONS, 2017, THE GENERAL CONDITIONS OF CONTRACT (GCC) AND, IF APPLICABLE, ANY OTHER LEGISLATION OR SPECIAL CONDITIONS OF CONTRACT.</p>
<b>2. TAX COMPLIANCE REQUIREMENTS</b>
<p><b>2.1</b> BIDDERS MUST ENSURE COMPLIANCE WITH THEIR TAX OBLIGATIONS.</p> <p><b>2.2</b> BIDDERS ARE REQUIRED TO SUBMIT THEIR UNIQUE PERSONAL IDENTIFICATION NUMBER (PIN) ISSUED BY SARS TO ENABLE THE ORGAN OF STATE TO VIEW THE TAXPAYER'S PROFILE AND TAX STATUS.</p> <p><b>2.3</b> APPLICATION FOR TAX COMPLIANCE STATUS (TCS) OR PIN MAY ALSO BE MADE VIA E-FILING. IN ORDER TO USE THIS PROVISION, TAXPAYERS WILL NEED TO REGISTER WITH SARS AS E-FILERS THROUGH THE WEBSITE WWW.SARS.GOV.ZA.</p> <p><b>2.4</b> BIDDERS MAY ALSO SUBMIT A PRINTED TCS TOGETHER WITH THE BID.</p> <p><b>2.5</b> IN BIDS WHERE CONSORTIA / JOINT VENTURES / SUB-CONTRACTORS ARE INVOLVED, EACH PARTY MUST SUBMIT A SEPARATE PROOF OF TCS / PIN / CSD NUMBER.</p> <p><b>2.6</b> WHERE NO TCS IS AVAILABLE BUT THE BIDDER IS REGISTERED ON THE CENTRAL SUPPLIER DATABASE (CSD), A CSD NUMBER MUST BE PROVIDED.</p>
<b>3. QUESTIONNAIRE TO BIDDING FOREIGN SUPPLIERS</b>
<p><b>3.1.</b> IS THE BIDDER A RESIDENT OF THE REPUBLIC OF SOUTH AFRICA (RSA)? <span style="float: right;"><input type="checkbox"/> YES <input type="checkbox"/> NO</span></p> <p><b>3.2.</b> DOES THE BIDDER HAVE A BRANCH IN THE RSA? <span style="float: right;"><input type="checkbox"/> YES <input type="checkbox"/> NO</span></p> <p><b>3.3.</b> DOES THE BIDDER HAVE A PERMANENT ESTABLISHMENT IN THE RSA? <span style="float: right;"><input type="checkbox"/> YES <input type="checkbox"/> NO</span></p> <p><b>3.4.</b> DOES THE BIDDER HAVE ANY SOURCE OF INCOME IN THE RSA? <span style="float: right;"><input type="checkbox"/> YES <input type="checkbox"/> NO</span></p> <p><b>IF THE ANSWER IS "NO" TO ALL OF THE ABOVE, THEN, IT IS NOT A REQUIREMENT TO OBTAIN A TAX COMPLIANCE STATUS / TAX COMPLIANCE SYSTEM PIN CODE FROM THE SOUTH AFRICAN REVENUE SERVICE (SARS) AND IF NOT REGISTER AS PER 2.3 ABOVE.</b></p>

**NB: FAILURE TO PROVIDE ANY OF THE ABOVE PARTICULARS MAY RENDER THE BID INVALID.**

## PART C

### CHECKLIST OF COMPULSORY RETURNABLE SCHEDULES AND DOCUMENTS

Please adhere to the following instructions

- Tick in the relevant block below
- Ensure that the following documents are completed and signed where applicable:
- Use the prescribed sequence in attaching the annexes that complete the Bid Document

**NB:** Should all these documents not be included, the Bidder may be disqualified on the basis of non-compliance

**YES      NO**

<input type="checkbox"/>	<input type="checkbox"/>	Bid document (clearly marked as FOLDER 1 AND FOLDER 2); separated into <b>FOLDER 1 - Pre-Qualifying and functionality proposal documents, and FOLDER 2 – Financial proposal only – Annexure A only</b> <b>(Failure to comply will result in disqualification)</b>
<input type="checkbox"/>	<input type="checkbox"/>	<b>Part A:</b> Invitation to Bid
<input type="checkbox"/>	<input type="checkbox"/>	<b>Part B:</b> Terms and Conditions of Bidding
<input type="checkbox"/>	<input type="checkbox"/>	<b>Part C:</b> Checklist of Compulsory Returnable Schedules and Documents
<input type="checkbox"/>	<input type="checkbox"/>	<b>Part D:</b> Conditions of Tendering and Undertakings by Bidders
<input type="checkbox"/>	<input type="checkbox"/>	<b>Part E: Specifications/Terms of Reference</b>
<input type="checkbox"/>	<input type="checkbox"/>	<b>Annexure A:</b> Price Proposal Requirement – <b><u>Folder 2</u></b>
<input type="checkbox"/>	<input type="checkbox"/>	<b>Annexure B:</b> SBD4 Declaration of Interest
<input type="checkbox"/>	<input type="checkbox"/>	<b>Annexure C:</b> SBD6.1 and B-BBEE status level certificate
<input type="checkbox"/>	<input type="checkbox"/>	<b>Annexure D:</b> SBD8: Declaration of Bidder's Past Supply Chain Practices
<input type="checkbox"/>	<input type="checkbox"/>	<b>Annexure E:</b> SBD9: Certificate of Independent Bid Determination

- |                          |                          |   |
|--------------------------|--------------------------|---|
| <input type="checkbox"/> | <input type="checkbox"/> | <b>Annexure F:</b> Certified copies of your CIPC company registration documents listing all members with percentages, in case of a lose corporation |
| <input type="checkbox"/> | <input type="checkbox"/> | <b>Annexure G:</b> Certified copies of latest share certificates, in case of a company.   |
| <input type="checkbox"/> | <input type="checkbox"/> | <b>Annexure H: (if applicable):</b> A breakdown of how fees and work will be spread between members of the bidding consortium.                      |
| <input type="checkbox"/> | <input type="checkbox"/> | <b>Annexure I</b> Supporting documents to responses to Pre-Qualifying Criteria and Functional Evaluation Criteria.                                  |
| <input type="checkbox"/> | <input type="checkbox"/> | <b>Annexure J:</b> General Condition of Contract  |
| <input type="checkbox"/> | <input type="checkbox"/> | <b>Annexure K:</b> CSD Tax Compliance Status and Registration Requirements Report   |

## **PART D**

### **CONDITIONS OF TENDERING AND UNDERTAKINGS BY BIDDER**

#### **1. DEFINITIONS**

In this Request for Proposals, unless a contrary intention is apparent:

- 1.1 B-BBEE** means broad-based black economic empowerment as defined in section 1 of the Broad-Based Black Economic Empowerment Act, 2003;
- 1.2 B-BBEE Act** means the Broad-Based Black Economic Empowerment Act, 2003;
- 1.3 B-BBEE status level of contributor** means the B-BBEE status received by a measured entity based on its overall performance used to claim points in terms of regulation 6 and 7 of the Preferential Procurement Regulations, 2017.
- 1.4 Business Day** means a day which is not a Saturday, Sunday or public holiday.
- 1.5 Bid** means a written offer in the prescribed or stipulated form lodged by a Bidder in response to an invitation in this Request for Proposal, containing an offer to provide goods, works or services in accordance with the Specification as provided in this RFP.
- 1.6 Bidder** means a person or legal entity, or an unincorporated group of persons or legal entities that submit a Bid.
- 1.7 Companies Act** means the Companies Act, 2008.
- 1.8 Compulsory Documents** means the list of compulsory schedules and documents set out in Part B.
- 1.9 Closing Time** means the time, specified as such under the clause 4 (Bid Timetable) in Part C, by which Tenders must be received.
- 1.10 DBSA** means the Development Bank of Southern Africa Limited.
- 1.11 DFI** means Development Finance Institution.
- 1.12 Evaluation Criteria** means the criteria set out under the clause 27 (Evaluation Process) of this Part C, which includes the Qualifying Criteria, Functional Criteria and Price and Preferential Points Assessment.
- 1.13 Functional Criteria** means the criteria set out in clause 27 of this Part C.
- 1.14 Intellectual Property Rights** includes copyright and neighbouring rights, and all proprietary rights in relation to inventions (including patents) registered and unregistered trademarks (including service marks), registered designs, confidential information (including trade secrets and know how) and circuit layouts, and all other proprietary rights resulting from intellectual activity in the industrial, scientific, literary or artistic fields.
- 1.15 PFMA** means the Public Finance Management Act, 1999.
- 1.16 PPPFA** means the Preferential Procurement Policy Framework Act, 2000.

- 1.17 PPPFA Regulations** means the Preferential Procurement Regulations, 2017 published in terms of the PPPFA.
- 1.18 Pre-Qualifying Criteria** means the criteria set out in clause of this Part C.
- 1.19 Price and Preferential Points Assessment** means the process described in clause 27.2 of this Part C, as prescribed by the PPPFA.
- 1.20 Proposed Contract** means the agreement including any other terms and conditions contained in or referred to in this RFP that may be executed between the DBSA and the successful Bidder.
- 1.21 Request for Proposal or RFP** means this document (comprising each of the parts identified under Part A, Part B, Part C and Part D) including all annexures and any other documents so designated by the DBSA.
- 1.22 SARS** means the South African Revenue Service.
- 1.23 Services** means the services required by the DBSA, as specified in this RFP Part D.
- 1.24 SLA** means service level agreement.
- 1.25 SOE** means State Owned Enterprise, as defined by the Companies' Act.
- 1.26 Specification** means the conditions of tender set and any specification or description of the DBSA's requirements contained in this RFP.
- 1.27 State** means the Republic of South Africa.
- 1.28 Statement of Compliance** means the statement forming part of a Tender indicating the Bidders compliance with the Specification.
- 1.29 Tendering Process** means the process commenced by the issuing of this Request for Proposals and concluding upon formal announcement by the DBSA of the selection of a successful Bidder(s) or upon the earlier termination of the process.
- 1.30 Website** means a website administered by DBSA under its name with web address [www.dbsa.org](http://www.dbsa.org)

## **2. INTERPRETATIONS**

In this RFP, unless expressly provided otherwise a reference to:

- 2.1** "includes" or "including" means includes or including without limitation; and
- 2.2** "R" or "Rand" is a reference to the lawful currency of the Republic of South Africa.

## **3. TENDER TECHNICAL AND GENERAL QUERIES**

Queries pertaining to this tender must be directed to: -

DBSA Supply Chain Management Unit

Email: [fikilem@dbsa.org](mailto:fikilem@dbsa.org)

No questions will be answered telephonically.

#### 4. BID TIMETABLE

This timetable is provided as an indication of the timing of the tender process. It is indicative only and subject to change by the DBSA. Bidders are to provide proposals that will allow achievement of the intended commencement date.

Activity	Date
Advertisement of tender	13 OCTOBER 2021
RFP document available	13 OCTOBER 2021
Non-Compulsory Briefing session	NOT APPLICABLE
Closing date for tender enquiries	2 NOVEMBER 2021 at 16h00
<b>Closing date and time</b>	5 NOVEMBER 2021 at 23H55
Intended completion of evaluation of tenders	TBA
Intended formal notification of successful Bidder(s)	TBA
Signing of Service Level Agreement	TBA
Effective date	TBA

#### 5. SUBMISSION OF TENDERS - ELECTRONICALLY

- i. Bidders are advised to kindly issue Tender Submission Link requests and all other enquiries to [fikileSCM@dbsa.org](mailto:fikileSCM@dbsa.org) - ONLY
- ii. No – Tender Submission Link requests will be accepted after 16h00 on the 2 NOVEMBER 2021. Any requests after the stipulated date and time will be disregarded.
- iii. Bidders will thereafter receive a OneDrive Link to upload their tender submission documents electronically.
- iv. Bidders who have received submission Links that have errors, will be provided with new Links for use.

#### 6. RULES GOVERNING THIS RFP AND THE TENDERING PROCESS

- 6.1 Participation in the tender process is subject to compliance with the rules contained in this RFP Part C.
- 6.2 All persons (whether or not a participant in this tender process) having obtained or received this RFP may only use it, and the information contained herein, in compliance with the rules contained in this RFP.
- 6.3 All Bidders are deemed to accept the rules contained in this RFP Part C.
- 6.4 The rules contained in this RFP Part C apply to:

- 6.4.1** The RFP and any other information given, received or made available in connection with this RFP, and any revisions or annexure;
- 6.4.2** the Tendering Process; and
- 6.4.3** any communications (including any briefings, presentations, meetings and negotiations) relating to the RFP or the Tendering Process.

## **7. STATUS OF REQUEST FOR PROPOSAL**

- 7.1** This RFP is an invitation for person(s) to submit a proposal(s) for the provision of the services as set out in the Specification contained in this RFP. Accordingly, this RFP must not be construed, interpreted, or relied upon, whether expressly or implicitly, as an offer capable of acceptance by any person(s), or as creating any form of contractual, promissory or other rights. No binding contract or other understanding for the supply of services will exist between the DBSA and any Bidder unless and until the DBSA has executed a formal written contract with the successful Bidder.

## **8. ACCURACY OF REQUEST FOR PROPOSAL**

- 8.1** Whilst all due care has been taken in connection with the preparation of this RFP, the DBSA makes no representations or warranties that the content in this RFP or any information communicated to or provided to Bidders during the Tendering Process is, or will be, accurate, current or complete. The DBSA, and its officers, employees and advisors will not be liable with respect to any information communicated which is not accurate, current or complete.
- 8.2** If a Bidder finds or reasonably believes it has found any discrepancy, ambiguity, error or inconsistency in this RFP or any other information provided by the DBSA (other than minor clerical matters), the Bidder must promptly notify the DBSA in writing of such discrepancy, ambiguity, error or inconsistency in order to afford the DBSA an opportunity to consider what corrective action is necessary (if any).
- 8.3** Any actual discrepancy, ambiguity, error or inconsistency in this RFP or any other information provided by the DBSA will, if possible, be corrected and provided to all Bidders without attribution to the Bidder who provided the written notice.

## **9. ADDITIONS AND AMENDMENTS TO THE RFP**

- 9.1** The DBSA reserves the right to change any information in, or to issue any addendum to this RFP before the Closing Time. The DBSA and its officers, employees and advisors will not be liable in connection with either the exercise of, or failure to exercise this right.
- 9.2** If the DBSA exercises its right to change information in terms of clause 9.1, it may seek amended Tenders from all Bidders.

## **10. REPRESENTATIONS**

No representations made by or on behalf of the DBSA in relation to this RFP will be binding on the DBSA unless that representation is expressly incorporated into the contract ultimately entered between the DBSA and the successful Bidder.

## **11. CONFIDENTIALITY**

- 11.1** All persons (including all Bidders) obtaining or receiving this RFP and any other information in connection with this RFP or the Tendering Process must keep the contents of the RFP and other such information confidential, and not disclose or use the information except as required for the purpose of developing a proposal in response to this RFP.

## **12. REQUESTS FOR CLARIFICATION OR FURTHER INFORMATION**

- 12.1** All communications relating to this RFP and the Tendering Process must be directed to the Tender Officer.
- 12.2** All questions or requests for further information or clarification of this RFP or any other document issued in connection with the Tendering Process must be submitted to the Tender Officer in writing, and most preferably by e-mail to [fikilescm@dbsa.org](mailto:fikilescm@dbsa.org)
- 12.3** Any communication by a Bidder to the DBSA will be effective upon receipt by the Tender Officer (provided such communication is in the required format).
- 12.4** The DBSA has restricted the period during which it will accept questions or requests for further information or clarification and reserves the right not to respond to any enquiry or request, irrespective of when such enquiry or request is received.
- 12.5** Except where the DBSA is of the opinion that issues raised apply only to an individual Bidder, questions submitted and answers provided will be made available to all Bidders by e-mail, as well as on the DBSA's website without identifying the person or organisation which submitted the question.

- 12.6** In all other instances, the DBSA may directly provide any written notification or response to a Bidder by email to the address of the Bidder (as notified by the Bidder to the Tender Manager).
- 12.7** A Bidder may, by notifying the Tender Officer in writing, withdraw a question submitted in accordance with clause 12, in circumstances where the Bidder does not wish the DBSA to publish its response to the question to all Bidders.

### **13. UNAUTHORISED COMMUNICATIONS**

- 13.1** Communications (including promotional or advertising activities) with staff of the DBSA or their advisors assisting with the Tendering Process are not permitted during the Tendering Process, or otherwise with the prior consent of the Tender Officer. Nothing in this clause 13 is intended to prevent communications with staff of, or advisors to, the DBSA to the extent that such communications do not relate to this RFP or the Tendering Process.
- 13.2** Bidders must not otherwise engage in any activities that may be perceived as, or that may have the effect of, influencing the outcomes of the Tendering Process in any way.

### **14. IMPROPER ASSISTANCE, FRAUD AND CORRUPTION**

- 14.1** Bidders may not seek or obtain the assistance of employees of the DBSA in the preparation of their tender responses.
- 14.2** The DBSA may in its absolute discretion, immediately disqualify a Bidder that it believes has sought or obtained such improper assistance.
- 14.3** Bidders are to be familiar with the implications of contravening the Prevention and Combating of Corrupt Activities Act, 2004 and any other relevant legislation.

### **15. ANTI-COMPETITIVE CONDUCT**

- 15.1** Bidders and their respective officers, employees, agents and advisors must not engage in any collusion, anti-competitive conduct or any other similar conduct in respect of this Tendering Process with any other Bidder or any other person(s) in relation to:
- 15.1.1** the preparation or lodgement of their Bid
  - 15.1.2** the evaluation and clarification of their Bid; and
  - 15.1.3** the conduct of negotiations with the DBSA.
- 15.2** For the purposes of this clause 15, collusion, anti-competitive conduct or any other similar conduct may include disclosure, exchange and clarification of information whether or not such information is confidential to the DBSA or any other Bidder or any other person or organisation.

- 15.3** In addition to any other remedies available to it under law or contract, the DBSA may, in its absolute discretion, immediately disqualify a Bidder that it believes has engaged in any collusive, anti-competitive conduct or any other similar conduct during or before the Tendering Process.

## **16. COMPLAINTS ABOUT THE TENDERING PROCESS**

- 16.1** Any complaint about the RFP or the Tendering Process must be submitted to the Supply Chain Management Unit in writing, by email, immediately upon the cause of the complaint arising or becoming known to the Bidder, ([scmqueries@dbsa.org](mailto:scmqueries@dbsa.org))
- 16.2** The written complaint must set out:
- 16.2.1** the basis for the complaint, specifying the issues involved;
  - 16.2.2** how the subject of the complaint affects the organisation or person making the complaint;
  - 16.2.3** any relevant background information; and
  - 16.2.4** the outcome desired by the person or organisation making the complaint.
- 16.3** If the matter relates to the conduct of an employee of the DBSA, the complaint should be addressed in writing marked for the attention of the Chief Executive Officer of the DBSA, and delivered to the physical address of the DBSA, as notified.

## **17. CONFLICT OF INTEREST**

- 17.1** A Bidder must not, and must ensure that its officers, employees, agents and advisors do not place themselves in a position that may give rise to actual, potential or perceived conflict of interest between the interests of the DBSA and the Bidder's interests during the Tender Process.
- 17.2** The Bidder is required to provide details of any interests, relationships or clients which may or do give rise to a conflict of interest in relation to the supply of the services under any contract that may result from this RFP. If the Bidder submits its Bid and a subsequent conflict of interest arises, or is likely to arise, which was not disclosed in the Bid, the Bidder must notify the DBSA immediately in writing of that conflict.
- 17.3** The DBSA may immediately disqualify a Bidder from the Tendering Process if the Bidder fails to notify the DBSA of the conflict as required.

## **18. LATE BIDS**

- 18.1** Bids must be delivered by the Closing Time. The Closing Time may be extended by the DBSA in its absolute discretion by providing written notice to Bidders.

- 18.2** Bids delivered after the Closing Time or lodged at a location or in a manner that is contrary to that specified in this RFP will be disqualified from the Tendering Process and will be ineligible for consideration. However, a late Bid may be accepted where the Bidder can clearly demonstrate (to the satisfaction of the DBSA, in its sole discretion) that late lodgement of the Bid was caused by the DBSA; that access was denied or hindered in relation to the physical tender box; or that a major/critical incident hindered the delivery of the Bid and, in all cases, that the integrity of the Tendering Process will not be compromised by accepting a Bid after the Closing Time.
- 18.3** The determination of the DBSA as to the actual time that a Bid is lodged is final. Subject to clause 18.2, all Bids lodged after the Closing Time will be recorded by the DBSA and will only be opened for the purposes of identifying a business name and address of the Bidder. The DBSA will inform a Bidder whose Bid was lodged after the Closing Time of its ineligibility for consideration. The general operating practice is for the late Bid to be returned within 5 (five) working days of receipt or within 5 (five) working days after determination not to accept a late Bid.

## **19. BIDDER'S RESPONSIBILITIES**

### **19.1** Bidders are responsible for:

- 19.1.1** examining this RFP and any documents referenced or attached to this RFP and any other information made or to be made available by the DBSA to Bidders in connection with this RFP;
  - 19.1.2** fully informing themselves in relation to all matters arising from this RFP, including all matters regarding the DBSA's requirements for the provision of the Services;
  - 19.1.3** ensuring that their Bids are accurate and complete;
  - 19.1.4** making their own enquiries and assessing all risks regarding this RFP, and fully considering and incorporating the impact of any known and unknown risks into their Bid;
  - 19.1.5** ensuring that they comply with all applicable laws in regard to the Tendering Process particularly as specified by National Treasury Regulations, Guidelines, Instruction Notes and Practice Notes and other relevant legislation as published from time to time in the Government Gazette; and
  - 19.1.6** submitting all Compulsory Documents.
- 19.2** Bidders with annual total revenue of R10 million or less qualify as Exempted Micro Enterprises (EMEs) in terms of the B-BBEE Act must submit a certificate issued by a registered, independent

auditor (who or which is not the Bidder or a part of the Bidder) or an accredited verification agency.

- 19.3** Bidders other than EMEs must submit their original and valid B-BBEE status level verification certificate or a certified copy, or a sworn affidavit thereof, substantiating their B-BBEE status. The submission of such certificates must comply with the requirements of instructions and guidelines issued by National Treasury and be in accordance with the applicable notices published by the Department of Trade and Industry in the Government Gazette.
- 19.4** The DBSA reserves the right to require of a Bidder, either before a Bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the DBSA.
- 19.5** Failure to provide the required information may result in disqualification of the Bidder.

## **20. PREPARATION OF BIDS**

**20.1** Bidders must ensure that:

**20.1.1** their Bid is submitted in the required format as stipulated in this RFP; and

**20.1.2** all the required information fields in the Bid are completed in full and contain the information requested by the DBSA.

- 20.2** The DBSA may in its absolute discretion reject a Bid that does not include the information requested or is not in the format required.
- 20.3** Unnecessarily elaborate responses or other representations beyond that which is sufficient to present a complete and effective tender proposal are not desired or required. Elaborate and expensive visual and other presentation aids are not necessary.
- 20.4** Where the Bidder is unwilling to accept a specified condition, the non-acceptance must be clearly and expressly stated. Prominence must be given to the statement detailing the non-acceptance. It is not sufficient that the statement appears only as part of an attachment to the Bid or be included in a general statement of the Bidders usual operating conditions.
- 20.5** An incomplete Bid may be disqualified or assessed solely on the information completed or received with the Bid.

## **21. ILLEGIBLE CONTENT, ALTERATION AND ERASURES**

- 21.1** Incomplete Bids may be disqualified or evaluated solely on information contained in the Bid.
- 21.2** The DBSA may disregard any content in a Tender that is illegible and will be under no obligation whatsoever to seek clarification from the Bidder.

- 21.3** The DBSA may permit a Bidder to correct an unintentional error in its Bid where that error becomes known or apparent after the Closing Time, but in no event will any correction be permitted if the DBSA reasonably considers that the correction would materially alter the substance of the Bid or effect the fairness of the Tendering Process.

## **22. OBLIGATION TO NOTIFY ERRORS**

If, after a Bidder's Response has been submitted, the Bidder becomes aware of an error in the Bidders Response (including an error in pricing, but excluding clerical errors which would have no bearing on the evaluation of the Bid), the Bidder must promptly notify the DBSA of such error.

## **23. RESPONSIBILITY FOR BIDDING COSTS**

- 23.1** The Bidders participation or involvement in any stage of the Tendering Process is at the Bidders sole risk, cost and expense. The DBSA will not be held responsible for, or pay for, any expense or loss that may be incurred by Bidders in relation to the preparation or lodgement of their Bid.
- 23.2** The DBSA is not liable to the Bidder for any costs on the basis of any contractual, promissory or restitutionary grounds whatsoever as a consequence of any matter relating to the Bidders participation in the Tendering Process, including without limitation, instances where:
- 23.2.1** the Bidder is not engaged to perform under any contract; or
  - 23.2.2** the DBSA exercises any right under this RFP or at law.

## **24. DISCLOSURE OF BID CONTENTS AND BID INFORMATION**

- 24.1** All Bids received by the DBSA will be treated as confidential. The DBSA will not disclose contents of any Bid and Bid information, except:
- 24.1.1** as required by law;
  - 24.1.2** for the purpose of investigations by other government authorities having relevant jurisdiction;
  - 24.1.3** to external consultants and advisors of the DBSA engaged to assist with the Tendering Process; or for the general information of Bidders required to be disclosed as per National Treasury Regulations, Guidelines, Instruction Notes or Practice Notes.

## 25. USE OF BIDS

- 25.1** Upon submission in accordance with the requirements relating to the submission of Bids, all Bids submitted become the property of the DBSA. Bidders will retain all ownership rights in any intellectual property contained in the Bids.
- 25.2** Each Bidder, by submission of their Bid, is deemed to have licensed the DBSA to reproduce the whole, or any portion, of their Bid for the sole purposes of enabling the DBSA to evaluate the Bid.

## 26. BID ACCEPTANCE

All Bids received must remain open for acceptance for a minimum period of 120 (One hundred and Twenty) days from the Closing Time. This period may be extended by written mutual agreement between the DBSA and the Bidder.

## 27. EVALUATION PROCESS

- 27.1** The Bids will be evaluated and adjudicated as follows:

### 27.1.1 FIRST STAGE – RESPONSIVENESS

- A. Tenderers who do not adhere to those criteria listed a PRE-QUALIFIER, will be disqualified immediately.**

Responsiveness Criteria		Prequalifying Criteria	Applicable to this Tender (Y/N)	Bidder to indicate Compliance (Y/N)
1.	Adherence in submitting Tender as two-stage folders: <b>Folder 1:</b> Pre-qualifiers and functionality proposal <b>Folder 2:</b> Financial proposal	Pre-Qualifier	Y	
2.	Proof of Registration with a recognized professional body/ institution, relevant to tender requirement (To be determined in line with Tender Requirement).	Pre-Qualifier	Y	
3.	In terms of the DBSA Transformation Imperative Targets, the DBSA will consider companies that are EME's and QSE's with a minimum B-BBEE status of Level 2 who will contribute to meaningful B-BBEE initiatives as part of the tender process	Pre-Qualifier	Y	
4.	The successful Tenderer, if not itself an EME or QSE with a minimum B-BBEE status level 2, as per Section 4(1)(a) and (b) of the PPR 2017,	Pre-Qualifier	Y	

must subcontract a minimum of 30% of the value of the contract to the following category referred to in Section 4(1)(c) of the PPR 2017:			
(i). an EME or QSE which is at least 51% owned by black people.			

**B.** Tenderers who do not adhere to the indicated response time for clarifications requested by the Employer will be deemed to be non-responsive and their submissions will not be evaluated further.

Responsiveness Criteria		Clarification Time	Applicable to this Tender (Y/N)	Bidder to indicate Compliance (Y/N)
1.	Standard conditions of tender as required.	48 hours	Y	
2.	Returnable documents completed and signed.	48 hours	Y	
3.	Submission of Registration with National Treasury Central Supplier Database (CSD) Summary Report: - Bidder must be fully registered & compliant in order to do business with the DBSA.	48 hours	Y	

**C.** Only bids which satisfy the following pre-qualification criteria in respect of minimum qualifications will be evaluated for Functionality. Note that it is a requirement that certified copies of educational qualifications and professional registration must be included for each expert. Proof of SAQA accreditation in respect of non-South African qualifications and professional body must be attached. In the case of Project Manager, proof of each relevant project completed in South Africa i.e. Reference Letters must be attached. The Reference Letters must confirm the individual's role as the project leader, be on the company's letter head and signed.

No.	Key Resource	Minimum Qualification for each key resource
1.	<b>Project Manager</b>	Recognised Bachelor's qualification in Development Planning or related field. Qualification in Project Management
2.	<b>Municipal Planning Expert/s</b>	Post-graduate qualification in Public Policy, Public Management/ Administration or related field.
3.	<b>Monitoring and Evaluation Expert/s</b>	Relevant post-graduate qualification in Monitoring & Evaluation, Public Management/Administration or related field.
4.	<b>Senior Researcher</b>	Relevant post-graduate qualification in Research Methodology, Development Planning, Public Management/ Administration or related field.
5.	<b>Workshop Facilitator/s</b>	Relevant degree in Training & Development, Communications, Organisational Development or related field.

Only those Bidders which satisfy all the Pre-Qualifying Criteria will be eligible to participate in the Tendering Process further. Bids which do not satisfy all the Pre-Qualifying Criteria will not be evaluated further.

#### D. Tenderer's Conflict of Interest

Tenderers whose entities and/or employees are currently employed by or providing services to the DBSA directly or indirectly, and such relationship will pose a potential conflict of interest on this project, will be deemed non-responsive and not be evaluated further:

<p><b>1a.</b> Is the Tenderer, its entities and/or employees currently employed and or contracted by or providing services to the DBSA directly or indirectly:</p> <p>Yes or No: _____</p>
<p><b>1b.</b> If yes, please provide details:</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>.....</p>
<p><b>2a.</b> If yes, does the relationship(s) pose a potential conflict of interest on this project:</p> <p>Yes or No: _____</p>
<p><b>2b.</b> If no, please provide details:</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>.....</p>

#### E. Tenderer's Capacity

DBSA will appoint one Professional Service Provider (PSP) for all the ICMs mentioned in the Terms of Reference. The Pricing should also reflect all the municipalities. Tenderers will be required to allocate a dedicated team of experts to this project for entire duration. Tenderers who do not comply with the above will be deemed non-responsive and not be evaluated further. Replacement of the resource(s) during implementation should be compliant with the required educational qualifications, professional body registration and experience.

## **27.2 SECOND STAGE: FUNCTIONAL EVALUATION**

### **27.2.1. STRUCTURE AND CONTENTS OF TECHNICAL BID PROPOSAL**

The structure and minimum contents of the proposal required from bidders are shown in the table below. The bidder must provide the following minimum information linked to the functionality evaluation criteria indicated under section 27.2.2 below.

SECTION	DESCRIPTION	WEIGHT
A.	Demonstrated experience / track record of the bidding entity in executing work of similar or related nature within the public and local government sector, which must include client references in relation to previous sustainable urban development projects executed.	15%
B.	Demonstrated knowledge and understanding of applicable public sector/local government legislation and policies pertaining to sustainable development, integrated planning, monitoring and evaluation, etc.	10%
C.	Proposed Approach and Methodology	35%
D.	Key Resources: Minimum Qualifications and Experience	40%

#### **SECTION A: DEMONSTRATED EXPERIENCE / TRACK RECORD OF THE BIDDING ENTITY IN EXECUTING WORK OF SIMILAR OR RELATED NATURE WITHIN THE PUBLIC AND LOCAL GOVERNMENT SECTOR, WHICH MUST INCLUDE CLIENT REFERENCES IN RELATION TO PREVIOUS SUSTAINABLE URBAN DEVELOPMENT PROJECTS EXECUTED**

Tenderer must clearly illustrate its knowledge and understanding of relevant public sector and local government legislation and policies and how it relates to the implementation of the SDG's.

**DEMONSTRATED EXPERIENCE / TRACK RECORD OF THE BIDDING ENTITY IN EXECUTING WORK OF SIMILAR OR RELATED NATURE WITHIN THE PUBLIC AND LOCAL GOVERNMENT SECTOR, WHICH MUST INCLUDE CLIENT REFERENCES IN RELATION TO PREVIOUS SUSTAINABLE URBAN DEVELOPMENT PROJECTS EXECUTED.**

[illegible]


**SECTION B: DEMONSTRATED KNOWLEDGE AND UNDERSTANDING OF APPLICABLE PUBLIC SECTOR/LOCAL GOVERNMENT LEGISLATION AND POLICIES PERTAINING TO SUSTAINABLE DEVELOPMENT, INTEGRATED PLANNING, MONITORING AND EVALUATION, ETC.**

This is by demonstrating an understanding of the underlying legislative framework and setting out previous experience in projects conducted of a similar nature.

**SECTION C: PROPOSED APPROACH AND METHODOLOGY**

The experience of the Tenderer (or that of the constituent member in a joint venture, consortium or association) in the related work within the Public and Local Government Sector. Tenderers should very briefly describe their experience in this regard and attach same to this schedule. The description should be put in the Tables provided below and attached to this schedule.

**SECTION D: KEY RESOURCES: MINIMUM QUALIFICATIONS AND EXPERIENCE**

The tenderer shall provide information in respect of the key personnel who will be engaged on the contract by completing this schedule.

- (a)** Summary of qualifications and experience of key resources as per functional evaluation criteria.
- (b)** CVs of key resources certified copies of educational qualifications and professional registration (where applicable).
- (c)** All the key staff shall be proficient in the use (both verbal and written) English language.
- (d)** In addition to the Personnel Schedule, the Tenderer shall also provide a Contract project organisational chart showing the team composition for each activity/phase/stage giving the team member names (only key team members need be included by name), position on team, and reporting relationship to other team members.

## SUMMARY DETAILS OF QUALIFICATIONS AND EXPERIENCE OF TENDERER'S PROPOSED KEY RESOURCES / EXPERTS

*Table to be completed for the Key Resources.*

<b>KEY EXPERT 1: Project Manager</b>				
<b>Name</b>	<b>Current Job Title</b>	<b>Qualifications</b>	<b>Professional Registration &amp; Registration Nr.</b>	<b>Relevant experience</b>
<b>KEY EXPERT 2: Municipal Planning Expert/s</b>				
<b>Name</b>	<b>Current Job Title</b>	<b>Qualifications</b>	<b>Professional Registration &amp; Registration Nr.</b>	<b>Relevant experience</b>
<b>KEY EXPERT 3: Monitoring and Evaluation Expert/s</b>				
<b>Name</b>	<b>Current Job Title</b>	<b>Qualifications</b>	<b>Professional Registration &amp; Registration Nr.</b>	<b>Relevant experience</b>
<b>KEY EXPERT 4: Senior Researcher</b>				
<b>Name</b>	<b>Current Job Title</b>	<b>Qualifications</b>	<b>Professional Registration &amp; Registration Nr.</b>	<b>Relevant experience</b>

KEY EXPERT 5: Workshop Facilitator/s				
Name	Current Job Title	Qualifications	Professional Registration & Registration Nr.	Relevant experience

**NOTE: A CV OF EACH OF THE PROPOSED TEAM MEMBERS OF NOT MORE THAN 5 PAGES SHOULD BE ATTACHED TO THIS SCHEDULE AFTER THE ABOVE SUMMARY DETAILS TABLES WITH CERTIFIED COPIES OF QUALIFICATIONS AND PROFESSIONAL REGISTRATION WHERE APPLICABLE.**

The undersigned, who warrants that he / she is duly authorized to do so on behalf of the enterprise, confirms that the contents of this schedule are within my personal knowledge and are to the best of my belief both true and correct.

<b>Signature:</b>	
<b>Date:</b>	
<b>Name:</b>	
<b>Position:</b>	
<b>Respondent:</b>	

## 27.2.2. DETAILED EVALUATION CRITERIA

The functional evaluation for this bid will be based on the criteria outlined in the table below:

### SECTION 1: FINAL EVALUATION

FUNCTIONALITY EVALUATION CRITERIA - TECHNICAL SCORECARD	POINTS ALLOCATION	WEIGHT
<b>A. Demonstrated experience / track record of the bidding entity in executing work of similar or related nature within the public and local government sector, which must include client references in relation to previous sustainable urban development projects executed.</b>		<b>15</b>
Tenderer has undertaken preferably 5 similar projects or related work within the public and local government space in the past ten years, which should include demonstrated experience in working with the localisation of the SDGs and other global and national instruments; and developing frameworks, toolkits, guidelines for sustainable urban development and local planning and development.	15 points = 5 projects and more undertaken 10 points = 4 projects undertaken 7 points = 3 projects undertaken 5 points = 2 projects undertaken 0 point = 1 project undertaken	
<b>B. Demonstrated knowledge and understanding of applicable public sector/local government legislation and policies pertaining to sustainable development, integrated planning, monitoring and evaluation, etc.</b>		<b>10</b>
Tenderer has demonstrated knowledge and understanding of public sector and local government legislation and policies clearly illustrating how it relates to sustainable development, integrated planning, monitoring and evaluation, etc.: <ul style="list-style-type: none"> <li>Public sector legislation and policies listed and explained</li> <li>Local government legislation and policies listed and explained</li> <li>Demonstrated how the above legislation and policies relate to sustainable development, integrated planning, monitoring and evaluation, etc.</li> </ul>	10 points = Excellent understanding and application of legislation and policies 7 points = Good understanding and application of legislation and policies 5 points = Acceptable understanding and application of legislation and policies 3 points = Poor understanding and application of legislation and policies 0 point = No understanding and application of legislation and policies	

<b>C. Proposed Approach and Methodology</b>			<b>35</b>
<p>The bidder clearly articulated the requirements of the project's Scope of Works by including at least the following:</p> <ol style="list-style-type: none"> <li>1) Understanding and interpretation of the requirements of the scope of work.</li> <li>2) Overall approach and methodology.</li> <li>3) Literature and document review.</li> <li>4) Project implementation plan with clearly defined activities, milestones aligned to each of the project deliverables as outlined in the scope of work.</li> <li>5) Risk management approach and plan</li> <li>6) Quality assurance methodology and plan.</li> <li>7) Stakeholder management approach and plan.</li> <li>8) Capacity building and skills transfer plan.</li> </ol>			<p>35 points= Addressed eight or more of the specified requirements of the approach and methodology. Further, some additional innovative approaches and methodologies proposed for undertaking the project which are likely to increase the use/value of the framework.</p> <p>30 points = Addressed seven of the specified requirements of the approach and methodology.</p> <p>25 points = Addressed five of the specified requirements of the approach and methodology.</p> <p>15 points = Addressed three of the specified requirements of the approach and methodology.</p> <p>10 points = Only one of the specified requirements of the approach and methodology addressed.</p>
<b>D. Key Resources: Minimum Qualifications and Experience</b>			<b>40</b>
<b>Key resource</b>	<b>Minimum qualifications</b>	<b>Minimum relevant experience</b>	
<b>1. Project Manager</b>	<p>Recognised Bachelor's qualification in Development Planning or related field.</p> <p>Qualification in Project Management</p> <p>Must have preferably 10 years' experience in project management.</p>	<p>10 points = more than 10 years' project management experience</p> <p>7 points = more than 7 and up to 10 years' project management experience</p> <p>5 points = more than 4 and up to 7 years' project management experience</p> <p>3 points = more than 2 and up to 4 years' project management experience</p> <p>0 point = 0 and up to 2 years' project management experience</p>	10

<b>2. Municipal Planning Expert/s</b>	<p>Post-graduate qualification in Public Policy, Public Management/ Administration or related field.</p> <p>Must have preferably 10 years' experience in local government planning and sustainable urban development.</p>	<p>10 points = more than 10 years' experience in the field of local government planning and sustainable urban development</p> <p>7 points = more than 7 and up to 10 years' experience in the field of local government planning and sustainable urban development</p> <p>5 points = more than 4 and up to 7 years' experience in the field of local government planning and sustainable urban development</p> <p>3 points = more than 2 and up to 4 years' experience in the field of local government planning and sustainable urban development</p> <p>0 point = 0 and up to 2 years' experience in the field of local government planning and sustainable urban development</p>	<p>10</p>
<b>3. Monitoring and Evaluation Expert/s</b>	<p>Relevant post-graduate qualification in Monitoring &amp; Evaluation, Public Management/Administration or related field.</p> <p>Must have preferably 5 years' experience in the field of public sector monitoring and evaluation.</p>	<p>5 points = 5 or more years' experience in the field of public sector monitoring and evaluation</p> <p>4 points = 4 years' experience in the field of public sector monitoring and evaluation</p> <p>3 points = 3 years' experience in the field of public sector monitoring and evaluation</p> <p>2 points = 2 years' experience in the field of public sector monitoring and evaluation</p> <p>0 point = less than 2 years' experience in the field of public sector monitoring and evaluation</p>	<p>10</p>
<b>4. Senior Researcher</b>	<p>Relevant post-graduate qualification in Research Methodology, Development Planning, Public Management/ Administration or related field.</p> <p>Must have preferably 10 years' experience in the field of local government planning</p>	<p>5 points = 5 or more years' experience in the field of research</p> <p>4 points = 4 years' experience in the field of research</p> <p>3 points = 3 years' experience in the field of research</p> <p>2 points = 2 years' experience in the field of research</p>	<p>5</p>

	and sustainable urban development research.	0 point = 1 years' experience in the field of research 0 point = 0 and up to 2 years' experience in the field of research	
<b>5. Workshop Facilitator/s</b>	Relevant degree in Training & Development, Communications, Organisational Development or related field.  Must have preferably 5 years' experience in designing and facilitating participatory and interactive workshops.	5 points = 5 or more years' experience in designing and facilitating participatory and interactive workshops 4 points = 4 years' experience in designing and facilitating participatory and interactive workshops 3 points = 3 years' experience in designing and facilitating participatory and interactive workshops 2 points = 2 years' experience in designing and facilitating participatory and interactive workshops 0 point = 1 years' experience in designing and facilitating participatory and interactive workshops	5
<b>Total</b>			<b>100</b>
<b>Threshold</b>			<b>70</b>

Only those bidders who achieve the minimum qualifying score of 70 points for functionality will have their bid submissions further evaluated in terms of the 80/20 preference points system (Third Stage) as prescribed by the latest Preferential Procurement Policy Framework Act Regulations.

### **27.3 THIRD STAGE: PRICE AND PREFERENTIAL POINTS ASSESSMENT**

**27.3.1** The Third stage of evaluation of the Bids will be in respect of price and preferential procurement only. Points will be allocated to Bidders at this stage of the evaluation in accordance with the PPPFA and the PPPFA Regulations, as follows:

Price points 80

Preferential procurement points 20

#### **27.3.2 Price points**

The following formula will be used to calculate the points for price:

$$P_s = 80(1 - (P_t - P_{min}) / P_{min})$$

Where:

$P_s$  = Points scored for comparative price of tender or offer under consideration;

$P_t$  = Comparative price of tender or offer under consideration; and

$P_{min}$  = Comparative price of lowest acceptable tender or offer.

### 27.3.3 Preferential procurement points

A maximum of 20 points may be awarded in respect of preferential procurement, which points must be awarded to a tenderer for attaining their B-BBEE Status Level in accordance with the table below:

B-BBEE Status Level	Number of Points
1	20
2	18
3	14
4	12
5	8
6	6
7	4
8	2
Non-compliant contributor	0

An unincorporated trust, consortium or joint venture will qualify for points for their B-BBEE status level as an unincorporated entity, provided that the entity submits their consolidated B-BBEE scorecard as if they were a group structure and that such a consolidated B-BBEE scorecard is prepared for every separate Bid.

### 27.3.4 Total

The total points scored by each Bidder will be calculated by adding the points scored for price (out of 80) to the points scored for preferential procurement (out of 20).

The successful Bidder will be the Bidder which has the highest total points (out of 100) for both price and preferential procurement (unless there is a basis for

selecting a different successful Bidder in accordance with section 2(1)(f) of the PPPFA).

**NB: Bidders are required to submit, as Annexure J to their Bids, any documentation which supports the responses provided in respect of the Eligibility Criteria below.**

## **28. STATUS OF BID**

**28.1** Each Bid constitutes an irrevocable offer by the Bidder to the DBSA to provide the Services required and otherwise to satisfy the requirements of the Specification as set out in this RFP.

**28.2** A Bid must not be conditional on:

- 28.2.1** the Board approval of the Bidder or any related governing body of the Bidder being obtained;
- 28.2.2** the Bidder conducting due diligence or any other form of enquiry or investigation;
- 28.2.3** the Bidder (or any other party) obtaining any regulatory approval or consent;
- 28.2.4** the Bidder obtaining the consent or approval of any third party; or
- 28.2.5** the Bidder stating that it wishes to discuss or negotiate any commercial terms of the contract.

**28.3** The DBSA may, in its absolute discretion, disregard any Bid that is, or is stated to be, subject to any one or more of the conditions detailed above (or any other relevant conditions).

**28.4** The DBSA reserves the right to accept a Bid in part or in whole or to negotiate with a Bidder in accordance with the provisions of this RFP and the applicable laws and regulations.

## **29. CLARIFICATION OF BIDS**

**29.1** The DBSA may seek clarification from and enter discussions with any or all of the Bidders in relation to their Bid. The DBSA may use the information obtained when clarification is sought or discussions are had in interpreting the Bid and evaluating the cost and risk of accepting the Bid. Failure to supply clarification to the satisfaction of the DBSA may render the Bid liable to disqualification.

**29.2** The DBSA is under no obligation to seek clarification of anything in a Bid and reserves the right to disregard any clarification that the DBSA considers to be unsolicited or otherwise impermissible or irrelevant in accordance with the rules set out in this RFP.

## **30. DISCUSSION WITH BIDDERS**

- 30.1** The DBSA may elect to engage in detailed discussions with any one or more Bidder(s), with a view to maximising the benefits of this RFP as measured against the evaluation criteria and in fully understanding a Bidder's offer.
- 30.2** As part of the evaluation of this Bid, DBSA will invite Bidders to give a presentation to the DBSA in relation to their submissions.
- 30.3** The DBSA is under no obligation to undertake discussions with, and Bidders.
- 30.4** In addition to presentations and discussions, the DBSA may request some or all Bidders to:
  - 30.4.1** conduct a site visit, if applicable;
  - 30.4.2** provide references or additional information; and/or
  - 30.4.3** make themselves available for panel interviews.

## **31. SUCCESSFUL BIDS**

- 31.1** Selection as a successful Bidder does not give rise to a contract (express or implied) between the successful Bidder and the DBSA for the supply of the Services. No legal relationship will exist between the DBSA and a successful Bidder for the supply of the Services until such time as a binding contract is executed by them.
- 31.2** The DBSA may, in its absolute discretion, decide not to enter into pre-contractual negotiations with a successful Bidder.
- 31.3** A Bidder is bound by its Bid and all other documents forming part of the Bidder's Response and, if selected as a successful Bidder, must enter into a contract on the basis of the Bid with or without further negotiation.

## **32. NO OBLIGATION TO ENTER INTO CONTRACT**

- 32.1** The DBSA is under no obligation to appoint a successful Bidder or Bidders (as the case may be), or to enter into a contract with a successful Bidder or any other person, if it is unable to identify a Bid that complies in all relevant respects with the requirements of the DBSA, or if due to changed circumstances, there is no longer a need for the Services requested, or if funds are no longer available to cover the total envisaged expenditure. For the avoidance of any doubt, in these circumstances the DBSA will be free to proceed via any alternative process.
- 32.2** The DBSA may conduct a debriefing session for all Bidders (successful and unsuccessful). Attendance at such debriefing session is optional.

### **33. BIDDER WARRANTIES**

#### **33.1** By submitting a Bid, a Bidder warrants that:

- 33.1.1** it did not rely on any express or implied statement, warranty or representation, whether oral, written, or otherwise made by or on behalf of the DBSA, its officers, employees, or advisers other than any statement, warranty or representation expressly contained in the RFP;
- 33.1.2** it did not use the improper assistance of DBSA's employees or information unlawfully obtained from them in compiling its Bid;
- 33.1.3** it is responsible for all costs and expenses related to the preparation and lodgement of its Bid, any subsequent negotiation, and any future process connected with or relating to the Tendering Process;
- 33.1.4** it accepts and will comply with the terms set out in this RFP; and
- 33.1.5** it will provide additional information in a timely manner as requested by the DBSA to clarify any matters contained in the Bid.

### **34. DBSA'S RIGHTS**

#### **34.1** Notwithstanding anything else in this RFP, and without limiting its rights at law or otherwise, the DBSA reserves the right, in its absolute discretion at any time, to:

- 34.1.1** cease to proceed with, or suspend the Tendering Process prior to the execution of a formal written contract;
- 34.1.2** alter the structure and/or the timing of this RFP or the Tendering Process;
- 34.1.3** vary or extend any time or date specified in this RFP
- 34.1.4** terminate the participation of any Bidder or any other person in the Tendering Process;
- 34.1.5** require additional information or clarification from any Bidder or any other person;
- 34.1.6** provide additional information or clarification;
- 34.1.7** negotiate with any one or more Bidder;
- 34.1.8** call for new Bid;
- 34.1.9** reject any Bid received after the Closing Time; or
- 34.1.10** to split the award based on the value for money, stock availability and lead time to delivery;
- 34.1.11** reject any Bid that does not comply with the requirements of this RFP.

## 35. GOVERNING LAWS

- 35.1** This RFP and the Tendering Process are governed by the laws of the Republic of South Africa.
- 35.2** Each Bidder must comply with all relevant laws in preparing and lodging its Bid and in taking part in the Tendering Process.
- 35.3** All Bids must be completed using the English language and all costing must be in South African Rand.

## 36. MANDATORY QUESTIONS

- 36.1** Bidders shall provide full and accurate answers to all (including mandatory) questions posed in this document, and, are required to explicitly state "Comply/Accept" or "Do not comply/Do not accept" (with a ✓ or an X) regarding compliance with the requirements. Where necessary, the Bidders shall substantiate their response to a specific question.

**NOTE: It is mandatory for Bidders to complete or answer this part fully; failure to do so result the Bid being treated as incomplete and the Bid may be disqualified.**

### 36.1.1

This Bid is subject to the General Conditions of Contract stipulated in this RFP document.	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

### 36.1.2

The laws of the Republic of South Africa shall govern this RFP and the Bidders hereby accept that the courts of the Republic of South Africa shall have the jurisdiction.	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

### 36.1.3

The DBSA shall not be liable for any costs incurred by the Bidder in the preparation of response to this RFP. The preparation of response shall be made without obligation to acquire any of the items included in any Bidder's proposal or to select any proposal, or to discuss the reasons why such vendor's or any other proposal was accepted or rejected.	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

#### 36.1.4

The DBSA may request written clarification or further information regarding any aspect of this proposal. The Bidders must supply the requested information in writing within two working days after the request has been made, otherwise the proposal may be disqualified.	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

#### 36.1.5

In the case of Consortium, Joint Venture or subcontractors, Bidders are required to provide copies of signed agreements stipulating the work split and Rand value.	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

#### 36.1.6

In the case of Consortium, Joint Venture or subcontractors, all Bidders are required to provide mandatory documents as stipulated in Part C: Checklist of Compulsory Returnable Schedules and Documents of the Tender Document.	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

#### 36.1.7

The DBSA reserves the right to; cancel or reject any proposal and not to award the proposal to the lowest Bidder or award parts of the proposal to different Bidders, or not to award the proposal at all.	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

#### 36.1.8

Where applicable, Bidders who are distributors, resellers and installers of network equipment are required to submit back-to-back agreements and service level agreements with their principals.	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

### 36.1.9

By submitting a proposal in response to this RFP, the Bidders accept the evaluation criteria as it stands.	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

### 36.1.10

Where applicable, the DBSA reserves the right to run benchmarks on the requirements equipment during the evaluation and after the evaluation.	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

### 36.1.11

The DBSA reserves the right to conduct a pre-award survey during the source selection process to evaluate contractors' capabilities to meet the requirements specified in the RFP and supporting documents.	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

### 36.1.12

Only the solution commercially available at the proposal closing date shall be considered. No Bids for future solutions shall be accepted.	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

### 36.1.13

<p>The Bidder should not qualify the proposal with own conditions.</p> <p><b>Caution:</b> If the Bidder does not specifically withdraw its own conditions of proposal when called upon to do so, the proposal response shall be declared invalid.</p>	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

#### 36.1.14

Delivery of and acceptance of correspondence between the DBSA and the Bidder sent by prepaid registered post (by air mail if appropriate) in a correctly addressed envelope to either party's postal address or address for service of legal documents shall be deemed to have been received and accepted after (2) two days from the date of postage to the South African Post Office Ltd.	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

#### 36.1.15

Should the parties at any time before and/or after the award of the proposal and prior to, and-or after conclusion of the contract fail to agree on any significant product price or service price adjustments, change in technical specification, change in services, etc. The DBSA shall be entitled within 14 (fourteen) days of such failure to agree, to recall the letter of award and cancel the proposal by giving the Bidder not less than 90 (ninety) days written notice of such cancellation, in which event all fees on which the parties failed to agree increases or decreases shall, for the duration of such notice period, remain fixed on those fee/price applicable prior to the negotiations. Such cancellation shall mean that the DBSA reserves the right to award the same proposal to next best Bidders as it deems fit.	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

#### 36.1.16

In the case of a consortium or JV, each of the authorised enterprise's members and/or partners of the different enterprises must co-sign this document.	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

#### 36.1.17

Any amendment or change of any nature made to this RFP shall only be of force and effect if it is in writing, signed by the DBSA signatory and added to this RFP as an addendum.	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

### 36.1.18

Failure or neglect by either party to (at any time) enforce any of the provisions of this proposal shall not, in any manner, be construed to be a waiver of any of that party's right in that regard and in terms of this proposal. Such failure or neglect shall not, in any manner, affect the continued, unaltered validity of this proposal, or prejudice the right of that party to institute subsequent action.	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

### 36.1.19

<b>Bidders who make use of subcontractors:</b>	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>
1. It is the responsibility of the Bidder to select competent subcontractors that meet all the tender requirements stipulated in this tender document.		
2. The Bidder shall be responsible for all due diligence of the selected subcontractors and will be held liable for any non-performance of the subcontractor.		
3. Bidders are required to provide documentation (such as BBBEE Certificate/Sworn Affidavit, Valid or Active Tax Compliance Status Pin Issued by SARS, CSD Summary Report, Valid or Active CIDB Certificate etc.) for the relevant subcontractor as a minimum in support of the subcontracting arrangement.		
4. Subcontracting must not contradict any Regulation or Legislation.		
5. No separate contract shall be entered into between the DBSA and any such subcontractors. Copies of the signed agreements between the relevant parties must be attached to the proposal responses.		

### 36.1.20

All services supplied in accordance with this proposal must be certified to all legal requirements as per the South African law.	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

### 36.1.21

No interest shall be payable on accounts due to the successful Bidder in an event of a dispute arising on any stipulation in the contract.	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

### 36.1.22

<p>Evaluation of Bids shall be performed by an evaluation panel established by the DBSA.</p> <p>Bids shall be evaluated on the basis of conformance to the required specifications (functionality) as outlined in the RFP. For Bids considered for price and preference evaluation, points shall be allocated to each Bidder, on the basis that the maximum number of points that may be scored for price is 80, and the maximum number of preference points that may be claimed for B-BBEE status level of contributor (according to the PPPFA Regulations) is 20.</p>	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

### 36.1.23

If the successful Bidder disregards contractual specifications, this action may result in the termination of the contract.	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

### 36.1.24

The Bidders' response to this Bid, or parts of the response, shall be included as a whole or by reference in the final contract.	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

### 36.1.25

Should the evaluation of this Bid not be completed within the validity period of the Bid, the DBSA has discretion to extend the validity period.	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

### 36.1.26

Upon receipt of the request to extend the validity period of the Bid, the Bidder must respond within the required time frames and in writing on whether or not he agrees to hold his original Bid response valid under the same terms and conditions for a further period.	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

### 36.1.27

Should the Bidder change any wording or phrase in this document, the Bid shall be evaluated as though no change has been effected and the original wording or phrasing shall be used.	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

### 36.1.28

<p>Tax Compliance Status either on CSD National Treasury Database or SARS eFiling System as a Condition for Appointment/Award of the Bid.</p> <p>This requirement is mandatory and has to be satisfied by the successful bidder. The successful bidder must be tax compliant prior to appointment/award of the bid as no bid will be awarded to persons who are not tax compliant.</p>	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

### 36.1.29

<p>Company registration with CSD National Treasury Database as a Condition for Appointment/Award of the Bid.</p> <p>This requirement is mandatory and has to be satisfied by the successful bidder. The successful bidder must be registered on the CSD National Treasury site prior to appointment/award of the bid.</p>	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

**36.1.30**

<b>The following will be grounds for disqualification:</b> <ul style="list-style-type: none"> <li>• Unsatisfactory performance under a previous public contract in the past 5 years, provided that notice of such unsatisfactory performance has been given to the bidder; and/or</li> <li>• The bidder or any of its directors have committed a corrupt or fraudulent act in competing for the appointment; and/or</li> <li>• The bidder or any of its directors have been convicted of fraud or corruption in the last 5 years; and/or</li> <li>• The bidder or any of its directors have been listed in the Register for Tender Defaulters under section 9 of the Prevention and Combating of Corrupt Activities Act; and/or</li> <li>• Bids received after the stipulated closure time will be immediately disqualified; and/or</li> <li>• Bidders whom have recently completed or currently performing, or to commence work on specific categories of services may be excluded to enable the Bank to manage its concentration risk. This threshold is currently set at R10 million for consultancy services.</li> </ul>	<b>Comply/Accept</b>	<b>Do not comply/Do not accept</b>

Signature(s) of Bidder or assignee(s)

Date

Name of signing person (in block letters)

Capacity

Are you duly authorized to sign this Bid?

Name of Bidder (in block letters)

Postal address (in block letters)

Domicilium citandi et executandi in the RSA (full street address of this place) (in block letters)

.....

.....

.....

Telephone Number:.....FAX number.....

Cell Number: .....

Email Address.....

## **PART E**

### **APPOINTMENT OF A SERVICE PROVIDER TO ASSIST WITH THE LOCALISATION AND INSTITUTIONALISATION OF THE UNITED NATIONS SUSTAINABLE DEVELOPMENT GOALS IN INTERMEDIATE CITY MUNICIPALITIES (SUBNATIONAL LEVEL)**

## 1. BACKGROUND TO THE SDGs AND LOCALISATION

The world has set a clear path for responding to the development challenges of the 21st century. The Sustainable Development Goals (SDGs) are based in a dynamic contextual background from a national and international perspective. From a national view, the SDGs have a clear purpose which is aligned to existing public sector regulatory and policy instruments, *inter alia*, including the National Development Plan (“NDP”), Integrated Urban Development Framework (IUDF), the District Development Model (DDM). The Sustainable Development Goals (SDGs) form part of the Agenda 2030 for Sustainable Development, which is a global agenda for sustainability adopted in 2015 by the UN General Assembly. The 2030 Agenda for Sustainable Development is a plan of action for the people, planet, prosperity, peace and partnerships underpinned by the three dimensions of sustainable development: - social, environment and economic. It acknowledges the need for urgent, bold and transformative steps “to shift the world onto a sustainable and resilient path” and for all stakeholders to act in collaborative partnership, to free humans from poverty and “to heal and secure our planet”. This ambitious Agenda includes 17 goals and 169 targets that “are integrated, indivisible and balance the three dimensions of sustainable development, namely the economic, social and environmental”. As ratified by all UN member states in 2015, all countries and spheres of government as well as business; and civil society are responsible for the attainment of the SDGs. Importantly, the SDGs are part of a broader post-2015 development agenda which includes other global agreements such as the Paris Climate Agreement, Sendai Agreement for Disaster Risk Reduction, Addis Ababa Action Agenda on Financing for Development as well as the New Urban Agenda (NUA).

In 2012 already, South Africa endorsed the National Development Plan (NDP) as a policy driver for development. Given obvious synergies, it would render a futile exercise to internalize the SDGs in isolation from the National Development Plan and key policies and programs of Government. As a matter of fact, there is significant convergence between South Africa’s NDP and Agenda 2030. Alignment is also needed at all levels in the South African context in order to achieve the global sustainability agenda through localisation mechanisms such as the NDP and Integrated Urban Development Framework (IUDF) Also, important to note is the fact that South Africa is a signatory to the African Union’s Agenda 2063 and the SADC Regional Indicative Strategic Development Programme (RISDP) as such, the country is expected to contribute and report on these alongside other global commitments.

Local government is responsible for making the aspirations of the NDP, SDGs, Agenda 2063, RISDP, the Sendai Framework for Disaster Risk Reduction as well as the Paris Agreement become a real to communities, households and individuals, particularly to those who are at the risk of falling behind. Therefore, scaling and accelerating local implementation of the sustainable development goals in municipalities across the country can no longer be over-emphasised

According to an unpublished analysis by the Department of Planning, Monitoring and Evaluation (DPME) and the UN Development Programme (UNDP), 74% of the SDG targets are directly addressed by the NDP, and sectoral programmes address 19% of the remaining targets (DPME, 2019). Seen in this way, the SDGs have the potential to accelerate the realisation of the NDP's vision, notably by fostering greater policy coherence and reducing duplication and inefficiencies. There are similar synergies between South Africa's Integrated Urban Development Framework (IUDF) and the NUA.

Localising is not the parachuting of global goals into local contexts. Instead, it is about implementing global agendas to achieve both local and global goals. More than a technical process, localising is a political process based on harnessing local opportunities, priorities and ideas. Local governments have a crucial role to play in this process of localising the SDGs. As policymakers, catalysts for change and key actors in development, they have a unique capacity to implement and monitor sustainable development, prosperity and well-being at the local level. In order to fulfil their role in achieving the SDGs, local governments will need sufficient support from all levels of government and adequate financial resources. Moreover, it will be essential for them to increase their capacities using appropriate methods and knowledge platforms.

The first step of SDG localisation is to raise awareness of the SDGs, include all stakeholders in the process, and promote clear and accountable local leadership for the SDGs. Former UN General-Secretary Ban Ki-moon has emphasised that the SDGs are the 'People's Agenda'. Local governments, as the level of government closest to the people, are in the frontlines of ensuring that no person, no place, and no partner is left behind in the pursuit of sustainable development. For this, SDG localisation should be an inclusive and participatory process.

Localization begins with raising awareness and understanding of the SDGs among all stakeholders and continues with a dialogue on implementation that is participatory and partnership based. The transformational change needed to achieve the SDGs requires broad-based public support and engagement, and a long-term shift in policy priorities towards sustainable development.

Some local governments around the world, including South Africa, have taken the lead and have started producing SDG Voluntary Local Reviews (VLRs), even though a universal methodology has not been agreed to yet. Indeed, the VLRs are a great opportunity to foster the localisation of the SDGs and to boost their implementation. However, in pursuit of the long-term goal of preparing a VLR, much work needs to be done to ensure that each local government is prepared and capacitated to report on the SDGs in a way that makes sense in their local contexts. This assignment is therefore a step towards preparing municipalities to be able to undertake Voluntary Local Reviews in the future that can feed into national reporting on the SDGs and other related global policy agendas, among others, through the national coordination mechanism on the implementation of the SDGs that is led by DPME.

South Africa has already made some strides in terms of tracking the SDGs through a process led by Statistics South Africa (Stats SA) and DPME. In 2017, the country produced a SDG Baseline report followed by a Country SDG Report in 2019 which contains all available indicators, using official and other statistics. In 2019, it also reported its first Voluntary National Review (VNR) on SDG progress to the UN High Level Political Forum. Stats SA has also established a platform called Goal Tracker which gives citizens, government and policymakers a means to track progress made towards achieving the SDGs, identify gaps and ultimately detect areas where greater action is needed. Work done under this assignment will therefore be fed into the Goal Tracker.

It must be noted that although the Global SDG framework has a total of 231 unique indicators, countries could generally only report on 199 of these, since these had agreed standards and methodologies. South Africa was able to report on 128 (64%) of the 199 reportable indicators. In addition, the country reported on an additional 26 indicators that were not part of the original set of SDG indicators. In executing this assignment, it will be imperative for the successful bidder to familiarise themselves with the 154 indicators that South Africa has been reporting on.

## **2. OBJECTIVES OF THE ASSIGNMENT**

The purpose of the assignment is to familiarise participants from the participating Intermediate City Municipalities (ICMs) with the 17 SDGs, their relevance to local developmental agendas, and develop a robust framework through which the SDGs can be localised in their municipal contexts. The assignment will be carried out, *inter alia*, through participatory and interactive workshops aimed as working sessions among participants.

Accordingly, the workshops must provide a space for reflection, idea sharing, learning, and co-creation. The facilitation of the workshop must be therefore done in such a manner that they are neutral, flexible, and non-prescriptive, allowing for meaningful dialogue and participation among participants. The assignment must foster a spirit of collaboration and innovation, with the acknowledgement that the localisation of the SDGs is context specific. In this way, the project will be adaptive and will aim to respond to specific local needs and conversations as they arise.

The objectives of the assignment are therefore:

- To deepen awareness and understanding of the SDGs and what they mean for local government.
- To highlight the critical role of local government in achieving the SDGs and to demonstrate the need for an integrated, participatory, collaborative, whole-of-society approach to planning and development, identifying key stakeholders to enable this approach.

- To align the global sustainable development agenda with the priorities of participating municipalities, in preparation for enhancing both sectoral and integrated planning processes in the future.
- To take stock of where each ICM currently is and share learnings, experiences, challenges, and opportunities as they are relevant in their municipal contexts.
- To co-design robust frameworks for implementation of and reporting on the SDGs going forward, in line with current implementation practices and reporting requirements for each participating municipality.

Accordingly, the intended outcomes are:

- To co-develop a framework in which the SDGs are meaningfully applied in the context of the selected municipalities.
- To identify current gaps and opportunities in further institutionalising the SDGs through their alignment with local planning, reporting and financing frameworks across the different spheres of government.
- To empower officials to use the SDGs as enablers of effective planning and project and programme design. Those who have participated in the sessions would, in turn, be expected to share learnings with colleagues and across different departments as well as political leadership.
- To co-create a plan of action for each municipality to use and report on the SDGs going forward.
- To co-define a clear roadmap of next steps over the course of the next few years.
- To contribute to the creation of a community of practice among local governments for continuous exchange and learning on the localisation of global policies.

At the end of the assignment a report must be compiled that provides comprehensive details of the work done through the workshops. This report will give a snapshot of where each municipality is at currently and show its localised plans. It will also demonstrate a localised framework and roadmap for action, as well as provide a robust monitoring and reporting framework. Key learnings and considerations will also be included.

### **3. SCOPE OF THE ASSIGNMENT**

The primary scope of this assignment is the preparation of localisation frameworks for SDG implementation for the following ICMs:

- a. MOGALE CITY LOCAL MUNICIPALITY**
- b. SOL PLAATJE LOCAL MUNICIPALITY**
- c. STEVE TSHWETE LOCAL MUNICIPALITY**
- d. MERAFONG LOCAL MUNICIPALITY**
- e. KWADUKUZA LOCAL MUNICIPALITY**
- f. CITY OF MBOMBELA LOCAL MUNICIPALITY**

In order to achieve the desired outcomes of this assignment, the service provider should propose a clear and detailed methodology on how the SDGs can be localised in the six municipalities listed above. The methodology should be relevant to the South African context, based on best practice and at a minimum should include the considerations below:

#### **i. Awareness raising**

This should entail amongst other things awareness-raising campaigns which should be carried out at both national and subnational level, mobilisation and building of partnerships with different local stakeholders, bringing together all sectors of society, boosting their participation and ensuring that diversity is embraced (drawing knowledge, legitimacy, participation and enhanced effectiveness from all local people).

#### **ii. Embedding**

This includes considerations of how the ICMs can strategically embed the SDGs within their existing sector plans and strategies to ensure that the SDGs find expression throughout municipal operations and do not become a function or responsibility of one or few departments.

#### **iii. Implementation**

While bearing in mind that the SDGs in itself cannot be implemented but that it is rather projects and programmes related to the SDGs that should be implemented; considerations here should include how municipalities can prioritise the SDGs, and associated targets and indicators to respond to its specific contexts and needs.

#### **iv. Monitoring, Evaluation, Reporting and Learning**

This entails amongst other things the collection of data and monitoring progress at the subnational level, thereby adapting global and national indicators to the local context. There should be a mechanism of how municipalities can participate in the monitoring and evaluation of the SDGs at national level and also promote the participation of other stakeholders and ensure that the information gathered at local level is used in national SDG monitoring and reporting.

#### **4. ASSIGNMENT TIME FRAME AND CONTRACT PERIOD**

This assignment is expected to be concluded by the 31 March 2022 and the estimated total duration of the assignment shall be **five (5) months**.

#### **5. PROJECT DELIVERABLES**

**The successful bidder is expected to deliver the following:**

- a.** A two- to three-day workshop with each municipality covering at least the following:
  - i. An introductory, interactive awareness session on what SDGs are their monitoring framework and implications for local government with all the participating municipalities.
  - ii. Creating a “whole-municipality approach” to the SDG localisation agenda. The workshops must include a session on how to embed SDGs in existing plans and policies.
  - iii. The South African Integrated Indicator Framework which will culminate in a set of indicators to be tracked by each municipality.
- b.** A generic SDG localisation framework for South African municipalities.
- c.** An action plan/programme for each participating municipality on how they will embed SDG targets in their policies, planning and M&E processes.

#### **6. SKILLS AND EXPERTISE REQUIREMENTS**

**In order to execute this assignment successfully, the service provider must put together a team that possess the required knowledge, experience and expertise. The project team must include at least the following key members:**

- a.** Project Manager who will ensure that the project is delivered on time, within scope (quality) and budget.

- b.** Municipal Planning Expert/s with extensive knowledge, experience and skills in local government planning processes and sustainable urban development.
- c.** Monitoring and Evaluation Specialist/s with demonstrated knowledge, experience and skills in the application of government monitoring and evaluation frameworks and instruments.
- d.** Senior Researcher with extensive knowledge, experience and skills in advanced qualitative and quantitative research.
- e.** Workshop Facilitator/s with demonstrated experience in designing and facilitating participatory and interactive workshops with government officials and political leadership that provide a space for reflection, idea sharing, learning and co-creation.
- f.** Global Policy Experts with experience working with local governments, and experience in working with the localisation of the SDGs and other global and national instruments etc.
- g.** Demonstrated experience of developing frameworks, toolkits, guidelines for sustainable urban development and local planning and development.
- h.** Excellent report writing and synthesis skills.

## **7. REPORTING REQUIREMENTS**

**To facilitate the performance of Service Provider and monitor their scope of work, the DBSA will:**

- a.** Enter into a Service Level Agreement (SLA) with the service provider.
- b.** Establish a Project Steering Committee chaired by COGTA to manage, monitor and oversee the execution of the project.

## **8. BID PRICES**

- a.** Bidders must indicate prices for their services in South African currency (Rand). All prices must be inclusive of Value Added Tax and costs to be incurred that are necessary for the execution and completion of the contract in accordance with the bid document.
- b.** Prices will remain firm for the duration of the contract.

## Annexure A

### PRICING SCHEDULE

**(Note: This section must be separated from the pre-qualifying and functional electronic proposal. ELECTRONIC PRICING SUBMISSION MUST BE IN A SEPARATE FOLDER MARKED “FOLDER 2 - PRICING”. Failure to separate this, will lead to disqualification of the bid)**

This template must be completed in full and included as Annexure A to Pricing Proposal submitted.

**Failure to complete this form in full may result in the disqualification of the Bid.**

All prices must be quoted in South African Rand (ZAR) and inclusive of disbursements, contingencies and VAT as per the Schedule below.

ACTIVITY / OUTPUT		PRICING
1.	Project Inception Report outlining the approach, methodology, timelines, etc. in accordance with the project scope and deliverables.	
2.	Workshops for each participating municipality including workshop guide, training pack, participant evaluation sheet and other related activities. <ul style="list-style-type: none"> <li>i. An introductory, interactive awareness session on what SDGs are their monitoring framework and implications for local government with all the participating municipalities.</li> <li>ii. Creating a “whole-municipality approach” to the SDG localisation agenda. The workshops must include a session on how to embed SDGs in existing plans and policies.</li> <li>iii. The South African Integrated Indicator Framework which will culminate in a set of indicators to be tracked by each municipality</li> </ul>	
3.	A draft generic SDG localization framework for South African municipalities	
4.	A final generic SDG localization framework for South African municipalities	
5.	An action plan/programme for each participating municipality on how they will embed SDG targets in their policies, planning and M&E processes.	
6.	Project close-out report, including lessons learned, recommendations, etc.	
	<b>Sub-total</b>	
	<b>VAT @15%</b>	
	<b>Total</b>	

\*\*\*\*\* rates/prices quoted are inclusive of all costs, Professional fees including disbursements (travel, accommodation, printing and stationery and any relevant administrative work)

Note: Bidders are requested to **separately provide a financial proposal with a detailed cost breakdown of all activities/deliverables**, which are required to successfully execute the project as indicated in the project scope. The price that will be quoted by the bidder should be an all-inclusive price, including all disbursements, travel, printing and other costs as the services will be based on fixed price despite the cost breakdown.

I, the undersigned, do hereby declare that the above is a proper pricing data forming part of this Contract Document upon which my/our tender for **RFP261/ 2021**

**TENDERER NAME:** \_\_\_\_\_

**SIGNED ON BEHALF OF TENDERER:** \_\_\_\_\_

**DATE:** \_\_\_\_\_

## Annexure B

### SBD 4

#### DECLARATION OF INTEREST

1. Any legal person, including persons employed by the state<sup>1</sup>, or persons having a kinship with persons employed by the state, including a blood relationship, may make an offer or offers in terms of this invitation to bid (includes a price quotation, advertised competitive bid, limited bid or proposal). In view of possible allegations of favouritism, should the resulting bid, or part thereof, be awarded to persons employed by the state, or to persons connected with or related to them, it is required that the bidder or his/her authorised representative declare his/her position in relation to the evaluating/adjudicating authority where-

- the bidder is employed by the state; and/or
- the legal person on whose behalf the bidding document is signed, has a relationship with persons/a person who are/is involved in the evaluation and or adjudication of the bid(s), or where it is known that such a relationship exists between the person or persons for or on whose behalf the declarant acts and persons who are involved with the evaluation and or adjudication of the bid.

2. **In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.**

2.1 Full Name of bidder or his or her representative: .....

2.2 Identity ..... Number: .....

2.3 Position occupied in the Company (director, trustee, shareholder<sup>2</sup>): .....

2.4 Company ..... Registration ..... Number: .....

2.5 Tax ..... Reference ..... Number: .....

2.6 VAT Registration Number: .....

2.6.1 The names of all directors / trustees / shareholders / members, their individual identity numbers, tax reference numbers and, if applicable, employee / persal numbers must be indicated in paragraph 3 below.

<sup>1</sup>"State" means –

- (a) any national or provincial department, national or provincial public entity or constitutional institution within the meaning of the Public Finance Management Act, 1999 (Act No. 1 of 1999);
- (b) any municipality or municipal entity;
- (c) provincial legislature;
- (d) national Assembly or the national Council of provinces; or
- (e) Parliament.

<sup>2</sup>"Shareholder" means a person who owns shares in the company and is actively involved in the management of the enterprise or business and exercises control over the enterprise.

2.7 Are you or any person connected with the bidder **YES / NO**  
presently employed by the state?

2.7.1 If so, furnish the following particulars:

Name of person / director / trustee / shareholder/ member: .....  
Name of state institution at which you or the person  
connected to the bidder is employed : .....  
Position occupied in the state institution: .....

Any other particulars:

.....  
.....  
.....

2.7.2 If you are presently employed by the state, did you obtain **YES / NO**  
the appropriate authority to undertake remunerative  
work outside employment in the public sector?

2.7.2.1 If yes, did you attached proof of such authority to the bid **YES / NO**  
document?

(Note: Failure to submit proof of such authority, where  
applicable, may result in the disqualification of the bid.

2.7.2.2 If no, furnish reasons for non-submission of such proof:

.....  
.....  
.....

2.8 Did you or your spouse, or any of the company's directors / **YES / NO**  
trustees / shareholders / members or their spouses conduct  
business with the state in the previous twelve months?

2.8.1 If so, furnish particulars:

.....  
.....  
.....

2.9 Do you, or any person connected with the bidder, have **YES / NO**  
any relationship (family, friend, other) with a person  
employed by the state and who may be involved with  
the evaluation and or adjudication of this bid?

2.9.1 If so, furnish particulars.

.....  
.....

2.10 Are you, or any person connected with the bidder, **YES/NO** aware of any relationship (family, friend, other) between any other bidder and any person employed by the state who may be involved with the evaluation and or adjudication of this bid?

2.10.1 If so, furnish particulars.

.....  
.....  
.....

2.11 Do you or any of the directors / trustees / shareholders / members **YES/NO** of the company have any interest in any other related companies whether or not they are bidding for this contract?

2.11.1 If so, furnish particulars:

.....  
.....  
.....

### 3. Full details of directors / trustees / members / shareholders.

Full Name	Identity Number	Personal Reference Number	Tax State Number / Employee Persal Number

### 4. DECLARATION

I, THE UNDERSIGNED (NAME).....

CERTIFY THAT THE INFORMATION FURNISHED IN PARAGRAPHS 2 and 3 ABOVE IS CORRECT.

I ACCEPT THAT THE STATE MAY REJECT THE BID OR ACT AGAINST ME IN TERMS OF PARAGRAPH 23 OF THE GENERAL CONDITIONS OF CONTRACT SHOULD THIS DECLARATION PROVE TO BE FALSE.

.....  
Signature

.....  
Date

.....  
Position

.....  
Name of bidder

## Annexure C

### SBD 6.1

#### PREFERENCE POINTS CLAIM FORM IN TERMS OF THE PREFERENTIAL PROCUREMENT REGULATIONS 2017

##### 1.1.6.1

This preference form must form part of all bids invited. It contains general information and serves as a claim form for preference points for Broad-Based Black Economic Empowerment (B-BBEE) Status Level of Contribution

**NB: BEFORE COMPLETING THIS FORM, BIDDERS MUST STUDY THE GENERAL CONDITIONS, DEFINITIONS AND DIRECTIVES APPLICABLE IN RESPECT OF B-BBEE, AS PRESCRIBED IN THE PREFERENTIAL PROCUREMENT REGULATIONS, 2017.**

#### 1. GENERAL CONDITIONS

1.1 The following preference point systems are applicable to all bids:

- the 80/20 system for requirements with a Rand value of up to R50 000 000 (all applicable taxes included); and
- the 90/10 system for requirements with a Rand value above R50 000 000 (all applicable taxes included).

1.2

- a) The value of this bid is estimated **not exceed** R50 000 000 (all applicable taxes included) and therefore the 80/20 preference point system shall be applicable; or
- b) Either the 80/20 or 90/10 preference point system will be applicable to this tender

1.3 Points for this bid shall be awarded for:

- (e) Price; and
- (f) B-BBEE Status Level of Contributor.

1.4 The maximum points for this bid are allocated as follows:

	POINTS
PRICE	80
B-BBEE STATUS LEVEL OF CONTRIBUTION	20
<b>Total points for Price and B-BBEE must not exceed</b>	<b>100</b>

1.5 Failure on the part of a bidder to submit proof of B-BBEE Status level of contributor together with the bid, will be interpreted to mean that preference points for B-BBEE status level of contribution are not claimed.

1.6 The purchaser reserves the right to require of a bidder, either before a bid is adjudicated or at any time subsequently, to substantiate any claim in regard to preferences, in any manner required by the purchaser.

## 2. DEFINITIONS

- (a) **“B-BBEE”** means broad-based black economic empowerment as defined in section 1 of the Broad-Based Black Economic Empowerment Act;
- (b) **“B-BBEE status level of contributor”** means the B-BBEE status of an entity in terms of a code of good practice on black economic empowerment, issued in terms of section 9(1) of the Broad-Based Black Economic Empowerment Act;
- (c) **“bid”** means a written offer in a prescribed or stipulated form in response to an invitation by an organ of state for the provision of goods or services, through price quotations, advertised competitive bidding processes or proposals;
- (d) **“Broad-Based Black Economic Empowerment Act”** means the Broad-Based Black Economic Empowerment Act, 2003 (Act No. 53 of 2003);
- (e) **“EME”** means an Exempted Micro Enterprise in terms of a code of good practice on black economic empowerment issued in terms of section 9 (1) of the Broad-Based Black Economic Empowerment Act;
- (f) **“functionality”** means the ability of a tenderer to provide goods or services in accordance with specifications as set out in the tender documents.
- (g) **“prices”** includes all applicable taxes less all unconditional discounts;
- (h) **“proof of B-BBEE status level of contributor”** means:
  - 1) B-BBEE Status level certificate issued by an authorized body or person;
  - 2) A sworn affidavit as prescribed by the B-BBEE Codes of Good Practice;
  - 3) Any other requirement prescribed in terms of the B-BBEE Act;
- (i) **“QSE”** means a qualifying small business enterprise in terms of a code of good practice on black economic empowerment issued in terms of section 9 (1) of the Broad-Based Black Economic Empowerment Act;
- (j) **“rand value”** means the total estimated value of a contract in Rand, calculated at the time of bid invitation, and includes all applicable taxes;

## 3. POINTS AWARDED FOR PRICE

### 3.1 THE 80/20 OR 90/10 PREFERENCE POINT SYSTEMS

A maximum of 80 or 90 points is allocated for price on the following basis:

<b>80/20</b>	<b>or</b>	<b>90/10</b>	
$P_s = 80 \left( 1 - \frac{P_t - P_{\min}}{P_{\min}} \right)$	or	$P_s = 90 \left( 1 - \frac{P_t - P_{\min}}{P_{\min}} \right)$	

Where

$P_s$  = Points scored for price of bid under consideration

Pt = Price of bid under consideration  
Pmin = Price of lowest acceptable bid

#### 4. POINTS AWARDED FOR B-BBEE STATUS LEVEL OF CONTRIBUTOR

- 4.1 In terms of Regulation 6 (2) and 7 (2) of the Preferential Procurement Regulations, preference points must be awarded to a bidder for attaining the B-BBEE status level of contribution in accordance with the table below:

B-BBEE Status Level of Contributor	Number of points
1	20
2	18
3	14
4	12
5	8
6	6
7	4
8	2
Non-compliant contributor	0

#### 5. BID DECLARATION

- 5.1 Bidders who claim points in respect of B-BBEE Status Level of Contribution must complete the following:

#### 6. B-BBEE STATUS LEVEL OF CONTRIBUTOR CLAIMED IN TERMS OF PARAGRAPHS 1.4 AND 4.1

- 6.1 B-BBEE Status Level of Contributor:.. = .....(maximum of 10 or 20 points)  
(Points claimed in respect of paragraph 7.1 must be in accordance with the table reflected in paragraph 4.1 and must be substantiated by relevant proof of B-BBEE status level of contributor.

#### 7. SUB-CONTRACTING

- 7.1 Will any portion of the contract be sub-contracted?

(Tick applicable box)

YES	<input type="checkbox"/>	NO	<input type="checkbox"/>
-----	--------------------------	----	--------------------------

7.1.1 If yes, indicate:

- i) What percentage of the contract will be subcontracted.....%
- ii) The name of the sub-contractor.....
- iii) The B-BBEE status level of the sub-contractor.....
- iv) Whether the sub-contractor is an EME or QSE

(Tick applicable box)

YES	<input type="checkbox"/>	NO	<input type="checkbox"/>
-----	--------------------------	----	--------------------------

- v) Specify, by ticking the appropriate box, if subcontracting with an enterprise in terms of Preferential Procurement Regulations, 2017:

Designated Group: An EME or QSE which is at least 51% owned by:	EME √	QSE √
Black people		
Black people who are youth		
Black people who are women		
Black people with disabilities		
Black people living in rural or underdeveloped areas or townships		
Cooperative owned by black people		
Black people who are military veterans		
<b>OR</b>		
Any EME		
Any QSE		

## 8. DECLARATION WITH REGARD TO COMPANY/FIRM

- 8.1 Name ..... of  
company/firm:.....
- 8.2 VAT ..... registration  
number:.....
- 8.3 Company ..... registration  
number:.....
- 8.4 TYPE OF COMPANY/ FIRM

- ☐ Partnership/Joint Venture / Consortium
- ☐ One person business/sole propriety
- ☐ Close corporation
- ☐ Company
- ☐ (Pty) Limited

[TICK APPLICABLE BOX]

#### 8.5 DESCRIBE PRINCIPAL BUSINESS ACTIVITIES

.....

.....

.....

.....

#### 8.6 COMPANY CLASSIFICATION

- ☐ Manufacturer
- ☐ Supplier
- ☐ Professional service provider
- ☐ Other service providers, e.g. transporter, etc.

[TICK APPLICABLE BOX]

8.7 Total number of years the company/firm has been in business:.....

8.8 I/we, the undersigned, who is / are duly authorised to do so on behalf of the company/firm, certify that the points claimed, based on the B-BBE status level of contributor indicated in paragraphs 1.4 and 6.1 of the foregoing certificate, qualifies the company/ firm for the preference(s) shown and I / we acknowledge that:

- i) The information furnished is true and correct;
- ii) The preference points claimed are in accordance with the General Conditions as indicated in paragraph 1 of this form;
- iii) In the event of a contract being awarded as a result of points claimed as shown in paragraphs 1.4 and 6.1, the contractor may be required to furnish documentary proof to the satisfaction of the purchaser that the claims are correct;
- iv) If the B-BBEE status level of contributor has been claimed or obtained on a fraudulent basis or any of the conditions of contract have not been fulfilled, the purchaser may, in addition to any other remedy it may have –
  - (a) disqualify the person from the bidding process;
  - (b) recover costs, losses or damages it has incurred or suffered as a result of that person's conduct;
  - (c) cancel the contract and claim any damages which it has suffered as a result of having to make less favourable arrangements due to such cancellation;
  - (d) recommend that the bidder or contractor, its shareholders and directors, or only the shareholders and directors who acted on a fraudulent basis, be restricted by the National Treasury from obtaining business from any organ of state for a period not exceeding 10 years, after the *audi alteram partem* (hear the other side) rule has been

- applied; and
- (e) forward the matter for criminal prosecution.

<p>WITNESSES</p> <p>1. ....</p> <p>2. ....</p>	<p>..... SIGNATURE(S) OF BIDDERS(S)</p> <p>DATE:.....</p> <p>ADDRESS.....</p> <p>.....</p> <p>.....</p>
--	---

## Annexure D

### SBD 8

## DECLARATION OF BIDDER'S PAST SUPPLY CHAIN MANAGEMENT PRACTICES

- 1 This Standard Bidding Document must form part of all bids invited.
- 2 It serves as a declaration to be used by institutions in ensuring that when goods and services are being procured, all reasonable steps are taken to combat the abuse of the supply chain management system.
- 3 The bid of any bidder may be disregarded if that bidder, or any of its directors have-
  - a. abused the institution's supply chain management system;
  - b. committed fraud or any other improper conduct in relation to such system; or
  - c. failed to perform on any previous contract.
- 4 **In order to give effect to the above, the following questionnaire must be completed and submitted with the bid.**

Item	Question	Yes	No
4.1	<p><b>Is the bidder or any of its directors listed on the National Treasury's Database of Restricted Suppliers as companies or persons prohibited from doing business with the public sector?</b></p> <p>(Companies or persons who are listed on this Database were informed in writing of this restriction by the Accounting Officer/Authority of the institution that imposed the restriction after the <i>audi alteram partem</i> rule was applied).</p> <p><b>The Database of Restricted Suppliers now resides on the National Treasury's website(<a href="http://www.treasury.gov.za">www.treasury.gov.za</a>) and can be accessed by clicking on its link at the bottom of the home page.</b></p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.1.1	If so, furnish particulars:		
4.2	<p>Is the bidder or any of its directors listed on the Register for Tender Defaulters in terms of section 29 of the Prevention and Combating of Corrupt Activities Act (No 12 of 2004)?</p> <p><b>The Register for Tender Defaulters can be accessed on the National Treasury's website (<a href="http://www.treasury.gov.za">www.treasury.gov.za</a>) by clicking on its link at the bottom of the home page.</b></p>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.2.1	If so, furnish particulars:		

4.3	Was the bidder or any of its directors convicted by a court of law (including a court outside of the Republic of South Africa) for fraud or corruption during the past five years?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.3.1	If so, furnish particulars:		
4.4	Was any contract between the bidder and any organ of state terminated during the past five years on account of failure to perform on or comply with the contract?	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4.4.1	If so, furnish particulars:		

### CERTIFICATION

**I, THE UNDERSIGNED (FULL NAME).....**

**CERTIFY THAT THE INFORMATION FURNISHED ON THIS DECLARATION FORM IS TRUE AND CORRECT.**

**I ACCEPT THAT, IN ADDITION TO CANCELLATION OF A CONTRACT, ACTION MAY BE TAKEN AGAINST ME SHOULD THIS DECLARATION PROVE TO BE FALSE.**

.....  
**Signature**

.....  
**Date**

.....  
**Position**

.....  
**Name of Bidder**

.....  
**Position**

.....  
**Name of Bidder**

## **Annexure E**

### **SBD 9**

#### **CERTIFICATE OF INDEPENDENT BID DETERMINATION**

- 1 This Standard Bidding Document (SBD) must form part of all bids<sup>1</sup> invited.
- 2 Section 4 (1) (b) (iii) of the Competition Act No. 89 of 1998, as amended, prohibits an agreement between, or concerted practice by, firms, or a decision by an association of firms, if it is between parties in a horizontal relationship and if it involves collusive bidding (or bid rigging).<sup>2</sup> Collusive bidding is a *pe se* prohibition meaning that it cannot be justified under any grounds.
- 3 Treasury Regulation 16A9 prescribes that accounting officers and accounting authorities must take all reasonable steps to prevent abuse of the supply chain management system and authorizes accounting officers and accounting authorities to:
  - a. disregard the bid of any bidder if that bidder, or any of its directors have abused the institution's supply chain management system and or committed fraud or any other improper conduct in relation to such system.
  - b. cancel a contract awarded to a supplier of goods and services if the supplier committed any corrupt or fraudulent act during the bidding process or the execution of that contract.
- 4 This SBD serves as a certificate of declaration that would be used by institutions to ensure that, when bids are considered, reasonable steps are taken to prevent any form of bid-rigging.
- 5 In order to give effect to the above, the attached Certificate of Bid Determination (SBD 9) must be completed and submitted with the bid:

<sup>1</sup> Includes price quotations, advertised competitive bids, limited bids and proposals.

<sup>2</sup> Bid rigging (or collusive bidding) occurs when businesses, that would otherwise be expected to compete, secretly conspire to raise prices or lower the quality of goods and / or services for purchasers who wish to acquire goods and / or services through a bidding process. Bid rigging is, therefore, an agreement between competitors not to compete.

**SBD 9**

**CERTIFICATE OF INDEPENDENT BID DETERMINATION**

I, the undersigned, in submitting the accompanying bid:

---

(Bid Number and Description)

in response to the invitation for the bid made by:

---

(Name of Institution)

do hereby make the following statements that I certify to be true and complete in every respect:

I certify, on behalf of: \_\_\_\_\_ that:

(Name of Bidder)

1. I have read and I understand the contents of this Certificate;
2. I understand that the accompanying bid will be disqualified if this Certificate is found not to be true and complete in every respect;
3. I am authorized by the bidder to sign this Certificate, and to submit the accompanying bid, on behalf of the bidder;
4. Each person whose signature appears on the accompanying bid has been authorized by the bidder to determine the terms of, and to sign the bid, on behalf of the bidder;
5. For the purposes of this Certificate and the accompanying bid, I understand that the word "competitor" shall include any individual or organization, other than the bidder, whether or not affiliated with the bidder, who:
  - (a) has been requested to submit a bid in response to this bid invitation;
  - (b) could potentially submit a bid in response to this bid invitation, based on their qualifications, abilities or experience; and

- (c) provides the same goods and services as the bidder and/or is in the same line of business as the bidder
6. The bidder has arrived at the accompanying bid independently from, and without consultation, communication, agreement or arrangement with any competitor. However communication between partners in a joint venture or consortium<sup>3</sup> will not be construed as collusive bidding.
7. In particular, without limiting the generality of paragraphs 6 above, there has been no consultation, communication, agreement or arrangement with any competitor regarding:
- (a) prices;
  - (b) geographical area where product or service will be rendered (market allocation)
  - (c) methods, factors or formulas used to calculate prices;
  - (d) the intention or decision to submit or not to submit, a bid;
  - (e) the submission of a bid which does not meet the specifications and conditions of the bid; or
  - (f) bidding with the intention not to win the bid.
8. In addition, there have been no consultations, communications, agreements or arrangements with any competitor regarding the quality, quantity, specifications and conditions or delivery particulars of the products or services to which this bid invitation relates.
9. The terms of the accompanying bid have not been, and will not be, disclosed by the bidder, directly or indirectly, to any competitor, prior to the date and time of the official bid opening or of the awarding of the contract.

<sup>3</sup> Joint venture or Consortium means an association of persons for the purpose of combining their expertise, property, capital, efforts, skill and knowledge in an activity for the execution of a contract.

**SBD 9**

10. I am aware that, in addition and without prejudice to any other remedy provided to combat any restrictive practices related to bids and contracts, bids that are suspicious will be reported to the Competition Commission for investigation and possible imposition of administrative penalties in terms of section 59 of the Competition Act No 89 of 1998 and or may be reported to the National Prosecuting Authority (NPA) for criminal investigation and or may be restricted from conducting business with the public sector for a period not exceeding ten (10) years in terms of the Prevention and Combating of Corrupt Activities Act No 12 of 2004 or any other applicable legislation.

.....  
SignatureDate

.....  
Position Name of Bidder

## **Annexure F**

**Bidders are required to include, as Annexure F to their Bids, certified copies of all relevant CIPC registration documents listing all members with percentages, in the case of a close corporation**

## **Annexure G**

**Bidders are required, as annexure G to their Bids, to submit certified copies of the latest share certificates of all relevant companies**

## **Annexure H**

**Bidders which submit Bids as an unincorporated joint venture, consortium or other association of persons are required to submit, as Annexure H, a breakdown of how the percentage (%) fees and work will be split between the various people or entities which constitute the Bidder.**

## **Annexure I**

**Bidders are required to include, as Annexure I to their Bids, supporting documents to their responses to the Pre- Qualifying Criteria and Evaluation Criteria.**

**Where the supporting document is the profile of a member of the Bidder's proposed team, this should be indicated.**

## **Annexure J**

### **[General Conditions of Contract]**

**PLEASE NOTE THAT ALL BIDDERS ARE REQUIRED TO READ THROUGH THE GENERAL CONDITIONS OF CONTRACT PRESCRIBED BY THE NATIONAL TREASURY. SUCH GENERAL CONDITIONS OF CONTRACT CAN BE ACCESSED ON THE NATIONAL TREASURY WEBSITE.**

**PLEASE NOTE FURTHER THAT ALL BIDDERS MUST ENSURE THAT THEY ARE WELL ACQUINTED WITH THE RIGHTS AND OBLIGATIONS OF ALL PARTIES INVOLVED IN DOING BUSINESS WITH GOVERNMENT.**

NOTE: All Bidders are required to confirm (*Tick applicable box*) below:

<b>Item</b>	<b>YES</b>	<b>NO</b>
Is the Bidder familiar with the General Conditions of Contract prescribed by the National Treasury?		

## Annexure K

### Tax Compliant Status and CSD Registration Requirements

**ALL PROSPECTIVE BIDDERS MUST HAVE A TAX COMPLIANT STATUS EITHER ON THE CENTRAL SUPPLIER DATABASE (CSD) OF THE NATIONAL TREASURY OR SARS E FILING PRIOR TO APPOINTMENT/AWARD OF THE BID.**

**REGISTRATION ON THE CSD SITE OF THE NATIONAL TREASURY IS A COMPULSORY REQUIREMENT FOR A BIDDER TO BE APPOINTED, TO CONDUCT BUSINESS WITH THE DBSA. THE ONUS IS ON THE SUCCESSFUL BIDDER TO REGISTER ON THE CSD SITE AND PROVIDE PROOF OF SUCH REGISTRATION PRIOR TO APPOINTMENT/AWARD OF THE BID.**

<b>CSD Registration Number:</b>	
---------------------------------	--



The Development Bank of Southern Africa has a Zero Tolerance on Fraud and Corruption. Report any incidents of Fraud and Corruption to Whistle Blowers on any of the following:

TollFree : 0800 20 49 33  
Email : [dbsa@whistleblowing.co.za](mailto:dbsa@whistleblowing.co.za)  
Free Post : Free Post KZN 665 | Musgrave | 4062  
SMS : 33490